

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carter, Austin E.	2. Court or Organization U.S. Bankruptcy Court, Middle District of Georgia	3. Date of Report 05/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 433 Cherry Street, First Floor Macon, GA 31201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	M. Gary Carter, M.D., P.A.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Mulberry Street United Methodist Church
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash Accounts; State Bank & Trust	A	Interest			Closed	01/02/20	J		
2. Brokerage Account (IRA) (H)									
3. - Pepsico common stock	A	Dividend	J	T					
4. - First Trust Sabrient Bakers Dozen Port 2018 Series Term 02/28/2017	A	Dividend			Sold	04/22/20	J	A	
5. - Invesco S&P 500 Equal Weight ETF	A	Dividend	J	T					
6. - Weyerhaeuser Co.	A	Dividend	J	T					
7. - Raymond James Bank, N.A.	A	Interest	J	T					
8. Vanguard Equity Income Fund (IRA)	D	Dividend	M	T					
9. Dodge & Cox International Stock Fund (IRA)	A	Dividend	J	T					
10. Franklin Mutual Beacon Fund (IRA)	A	Dividend	K	T					
11. Truist Financial Corporation 401(k) Savings Account (H)									
12. - Vanguard Russell 2000 Value Index Fund	A	Dividend			Sold	07/31/20	J	A	
13. - Vanguard Small Cap Value Index Fund	A	Dividend	J	T	Buy	07/31/20	J		
14. - Vanguard Total Bond Market Index Fund	A	Dividend			Sold	08/03/20	J	A	
15. - Fidelity Inflation-Protected Bond Index Fund	A	Dividend	J	T	Buy	08/03/20	J		
16. - Vanguard Inflation Protected Securities Fund	A	Dividend			Sold	08/03/20	J	A	
17. - Dodge & Cox Income Fund	A	Dividend	J	T	Buy	08/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Vanguard Target Retirement 2040 Fund	A	Dividend	K	T					
19. Mass Mutual Whole Life Policy	A	Dividend	K	T					
20. OneAmerica (State Life) Whole Life Policy (1/3 ownership)	A	Dividend	J	T					
21. Mass Mutual Whole Life Policy (1/4 ownership)	C	Dividend	M	T					
22. Mass Mutual Whole Life Policy (1/3 ownership)	D	Dividend	M	T					
23. Vanguard 529 College Savings Plan (Nevada) (H)									
24. - Vanguard Moderate Age-Based Portfolio	A	Int./Div.			Sold	10/30/20	J		
25. - Vanguard Target Enrollment 22/23 Portfolio	A	Int./Div.	J	T	Buy	10/30/20	J		
26. - Vanguard Value Index Fund	A	Int./Div.	K	T					
27. Vanguard 529 College Savings Plan (Nevada) (H)									
28. - Vanguard Value Index Fund	A	Int./Div.	K	T					
29. - Vanguard Aggressive Age-Based Portfolio	A	Int./Div.			Sold	10/30/20	J		
30. - Vanguard Target Enrollment 24/25 Portfolio	A	Int./Div.	J	T	Buy	10/30/20	J		
31. Vanguard 529 College Savings Plan (Nevada) (H)									
32. - Vanguard Aggressive Growth Portfolio	A	Int./Div.	K	T					
33. - Vanguard Value Index Fund	A	Int./Div.	K	T					
34. Taverna Buckhead, LP	C	Distribution	J	U					

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35. Westpath--United Methodist Personal Investment Plan (H)									
36. - Fixed Income Fund	A	Int./Div.	J	T					
37. - Extended Term Fixed Income Fund	A	Int./Div.	J	T					
38. - U.S. Equity Fund	A	Int./Div.	J	T					
39. - International Equity Fund	A	Int./Div.	J	T					
40. Cash Accounts; Robins Financial Credit Union	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, Line 11: Truist Financial Corporation 401(k) Savings Account is f/k/a as SunTrust Bank 401(k) Account. Changed due to merger of SunTrust Bank and BB&T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Austin E. Carter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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