

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burns, Larry A.	2. Court or Organization U.S. District Court - Southern District of California	3. Date of Report 08/05/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 333 West Broadway San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/05/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Matthew Bender Publications (book royalties)	\$1,980.09
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Burns, Larry A.	08/05/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ACCOUNT #1 (H)									
2. JPMORGAN CHASE BANK (cash)	A	Interest	M	T					
3. CITIBANK(cash) (Y)									
4. WELLS FARGO (cash) (Y)									
5. ETRADE BANK BALANCE (cash)	A	Interest	K	T					
6. ALIBABA GROUP HOLDING LTD (BABA)		None	O	T	Buy	12/24/20	O		
7. CALL INVITAE CORP OPTION 01/15/21 - 20		None			Sold	06/22/20	K		
8. PUT FACEBOOK OPTION 5/8/20 - 135		None			Sold	04/09/20	J		
9. PUT FACEBOOK OPTION 5/29/20 - 165		None			Sold	04/29/20	J		
10. PUT FACEBOOK OPTION 5/29/20 - 185		None			Sold	05/05/20	J		
11. PUT FACEBOOK OPTION 6/12/20 - 185		None			Sold	05/20/20	J		
12. PUT FACEBOOK OPTION 6/12/20 - 205		None			Sold	05/26/20	J		
13. PUT ALIBABA OPTION 1/21/22 - 150		None			Buy	01/02/20	M		
14.					Expired	01/31/20	M		
15. PUT ALIBABA OPTION 1/21/22 - 155		None			Sold	02/18/20	K		
16.					Sold	02/19/20	L		
17.					Buy	07/08/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Expired	07/31/20	L		
19. PUT ALIBABA OPTION 1/21/22 - 175		None			Sold	01/02/20	M		
20.					Buy	03/16/20	N		
21.					Buy (add'l)	07/06/20	M		
22.					Expired	07/31/20	N		
23. PUT ALIBABA OPTION 1/8/21 - 210		None			Sold	12/07/20	J		
24. PUT ALIBABA OPTION 1/15/21 - 155		None			Sold	02/12/20	K		
25.					Buy	02/18/20	J		
26.					Buy (add'l)	02/19/20	K		
27.					Expired	02/28/20	K		
28. PUT ALIBABA OPTION 1/15/21 - 220		None			Sold	12/11/20	J		
29. PUT ALIBABA OPTION 1/22/21 - 230		None			Sold	12/08/20	J		
30. PUT ALIBABA OPTION 1/22/21 - 237.50		None			Sold	12/28/20	J		
31. PUT ALIBABA OPTION 1/29/21 - 225		None			Sold	12/28/20	K		
32. PUT ALIBABA OPTION 1/17/20 - 205		None			Sold	01/02/20	J		
33. PUT ALIBABA OPTION 1/31/20 - 207.50		None			Sold	01/08/20	J		
34.					Buy	01/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/05/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Expired	01/31/20	J		
36. PUT ALIBABA OPTION 1/31/20 - 210		None			Sold	01/09/20	J		
37.					Buy	01/29/20	J		
38.					Expired	01/31/20	J		
39. PUT ALIBABA OPTION 1/10/20 - 197.50		None			Sold	01/10/20	J		
40. PUT ALIBABA OPTION 2/7/20 - 200		None			Sold	01/10/20	J		
41. PUT ALIBABA OPTION 1/24/20 - 212.50		None			Sold	01/13/20	J		
42. PUT ALIBABA OPTION 2/14/20 - 197.50		None			Buy	01/17/20	J		
43.					Expired	02/14/20	J		
44. PUT ALIBABA OPTION 2/14/20 - 210		None			Sold	01/17/20	J		
45.					Buy	01/29/20	J		
46.					Expired	02/14/20	J		
47. PUT ALIBABA OPTION 2/14/20 - 205		None			Sold	01/29/20	J		
48.					Sold	02/11/20	J		
49. CALL ALIBABA OPTION 2/28/20 - 215		None			Buy	01/28/20	K		
50.					Sold	02/10/20	K		
51. PUT ALIBABA OPTION 2/28/20 - 210		None			Sold	01/29/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy	02/10/20	K		
53.					Expired	02/28/20	K		
54. PUT ALIBABA OPTION 2/21/20 - 210		None			Sold	01/29/20	J		
55.					Buy	02/10/20	J		
56.					Expired	02/21/20	J		
57. PUT ALIBABA OPTION 2/21/20 - 207.50		None			Sold	02/12/20	J		
58. PUT ALIBABA OPTION 3/6/20 - 200		None			Buy	02/28/20	K		
59.					Expired	03/06/20	K		
60. PUT ALIBABA OPTION 3/13/20 - 190		None			Buy	02/28/20	J		
61.					Expired	03/13/20	J		
62. PUT ALIBABA OPTION 3/27/20 - 175		None			Sold	03/04/20	J		
63.					Buy	03/16/20	K		
64.					Expired	03/27/20	K		
65. PUT ALIBABA OPTION 4/3/20 - 155		None			Sold	03/27/20	J		
66. PUT ALIBABA OPTION 4/3/20 - 185		None			Sold	02/28/20	J		
67.					Buy	03/13/20	K		
68.					Expired	04/03/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. PUT ALIBABA OPTION 4/17/20 - 140		None			Sold	03/25/20	J		
70. PUT ALIBABA OPTION 4/24/20 - 140		None			Sold	03/30/20	J		
71. PUT ALIBABA OPTION 5/1/20 - 145		None			Sold	04/02/20	J		
72. PUT ALIBABA OPTION 5/15/20 - 200		None			Sold	02/28/20	K		
73.					Buy	03/17/20	L		
74.					Expired	05/15/20	L		
75. PUT ALIBABA OPTION 6/19/20 - 185		None			Sold	05/15/20	K		
76.					Buy	03/24/20	K		
77.					Expired	06/19/20	K		
78. PUT ALIBABA OPTION 6/19/20 - 150		None			Sold	03/16/20	K		
79.					Buy	04/06/20	J		
80.					Expired	06/19/20	J		
81. PUT ALIBABA OPTION 6/19/20 - 125		None			Sold	04/06/20	J		
82. PUT ALIBABA OPTION 6/19/20 - 155		None			Sold	05/06/20	J		
83. PUT ALIBABA OPTION 7/17/20 - 120		None			Sold	04/06/20	J		
84. PUT ALIBABA OPTION 7/17/20 - 155		None			Sold	04/07/20	J		
85.					Buy	04/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

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86.					Expired	07/17/20	J		
87. PUT ALIBABA OPTION 7/17/20 - 150		None			Sold	04/16/20	J		
88.					Buy	05/20/20	J		
89.					Expired	07/17/20	J		
90. PUT ALIBABA OPTION 7/17/20 -135		None			Sold	04/20/20	J		
91. PUT ALIBABA OPTION 7/31/20 - 195		None			Sold	07/08/20	J		
92. PUT ALIBABA OPTION 8/14/20 - 200		None			Sold	07/13/20	J		
93. PUT ALIBABA OPTION 8/21/20 - 175		None			Sold	06/23/20	J		
94. PUT ALIBABA OPTION 8/28/20 - 190		None			Sold	07/20/20	J		
95. PUT ALIBABA OPTION 9/4/20 - 190		None			Sold	08/11/20	J		
96. PUT ALIBABA OPTION 9/11/20 - 210		None			Sold	08/18/20	J		
97. PUT ALIBABA OPTION 9/18/20 - 135		None			Sold	04/06/20	J		
98.					Buy	04/20/20	J		
99.					Expired	09/18/20	J		
100. PUT ALIBABA OPTION 9/18/20 - 200		None			Sold	08/03/20	J		
101. PUT ALIBABA OPTION 9/25/20 - 195		None			Sold	08/21/20	J		
102. PUT ALIBABA OPTION 9/25/20 - 237.50		None			Sold	08/25/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. PUT ALIBABA OPTION 10/2/20 - 200		None			Sold	08/24/20	J		
104. PUT ALIBABA OPTION 10/16/20 - 140		None			Sold	04/14/20	J		
105. PUT ALIBABA OPTION 10/16/20 - 100		None			Sold	04/14/20	J		
106. PUT ALIBABA OPTION 10/16/20 - 190		None			Sold	07/21/20	J		
107.					Sold	08/24/20	J		
108. PUT ALIBABA OPTION 10/16/20 - 240		None			Sold	09/23/20	J		
109. PUT ALIBABA OPTION 10/16/20 - 235		None			Sold	09/25/20	J		
110. PUT ALIBABA OPTION 10/16/20 - 270		None			Sold	10/07/20	J		
111. PUT ALIBABA OPTION 10/23/20 - 240		None			Sold	09/30/20	J		
112. PUT ALIBABA OPTION 10/23/20 - 255		None			Sold	10/05/20	J		
113. PUT ALIBABA OPTION 10/23/20 - 270		None			Sold	10/09/20	J		
114. PUT ALIBABA OPTION 10/30/20 - 240		None			Sold	10/06/20	J		
115. PUT ALIBABA OPTION 10/30/20 - 270		None			Sold	10/13/20	J		
116.					Sold	10/16/20	J		
117. PUT ALIBABA OPTION 10/30/20 - 285		None			Sold	10/26/20	J		
118. PUT ALIBABA OPTION 11/06/20 - 242.50		None			Sold	10/13/20	J		
119.					Buy	10/27/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Expired	11/06/20	J		
121. PUT ALIBABA OPTION 11/06/20 - 260		None			Sold	10/23/20	J		
122. PUT ALIBABA OPTION 11/6/20 - 270		None			Sold	10/27/20	J		
123. PUT ALIBABA OPTION 11/13/20 - 235		None			Sold	11/02/20	J		
124. PUT ALIBABA OPTION 11/13/20 - 250		None			Sold	10/19/20	J		
125.					Sold	11/11/20	J		
126. PUT ALIBABA OPTION 11/13/20 - 260		None			Sold	11/05/20	J		
127.					Buy	11/11/20	J		
128.					Expired	11/13/20	J		
129. PUT ALIBABA OPTION 11/20/20 - 225		None			Sold	11/02/20	J		
130. PUT ALIBABA OPTION 11/20/20 - 245		None			Sold	10/21/20	J		
131. PUT ALIBABA OPTION 11/27/20 - 260		None			Sold	11/09/20	J		
132.					Buy	11/23/20	J		
133.					Expired	11/27/20	J		
134. PUT ALIBABA OPTION 12/04/20 - 245		None			Sold	11/20/20	J		
135. PUT ALIBABA OPTION 12/04/20 - 250		None			Sold	11/09/20	J		
136. PUT ALIBABA OPTION 12/11/20 - 250		None			Sold	11/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. PUT ALIBABA OPTION 12/18/20 - 230		None			Sold	11/20/20	J		
138. PUT ALIBABA OPTION 12/24/20 - 237.50		None			Sold	11/24/20	J		
139. PUT ALIBABA OPTION 12/31/20 - 235		None			Sold	11/24/20	J		
140.					Buy	12/28/20	K		
141.					Expired	12/31/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burns, Larry A.

Date of Report

08/05/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts. They do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Burns, Larry A.

Date of Report

08/05/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Larry A. Burns**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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