

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burroughs, Allison D.	2. Court or Organization U.S. District Court, Massachusetts	3. Date of Report 04/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Court John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Suite 5730 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Agassiz Village
2. Trustee	Trust #1
3. Trustee	Trust #2
4. Trustee	Trust #3
5. Trustee	Trust #4

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Sappi North America, Inc., salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Summer Camp	Tuition Agreements	J
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Middlesex Savings Bank, accounts	A	Interest	L	T					
2. Capital One 360, bank accounts	A	Interest	M	T					
3. Hanscom Federal Credit Union, accounts	A	Interest	K	T					
4. Nationstar Mortgage LLC, cash account (Y)									
5. Gold Coins		None	J	T					
6. Hopster's LLC Series A-2 Preferred Units		None	J	U					
7. HWS Baseball VI, LLC, membership interest		None	K	U					
8. Longleaf Partners Fund	A	Dividend	J	T					
9. Longleaf Partners International Fund	A	Dividend	K	T					
10. Sappi Limited Performance Shares (vested)		None			Buy	12/09/20	J		Sappi Limited
11.					Sold	12/09/20	J		
12. SPDR S&P 500 ETF Trust	A	Dividend	L	T					
13. U.S. Savings Bonds, Series EE		None	J	T					
14. Vanguard Federal Money Market Fund	A	Dividend	K	T	Buy (add'l)	11/10/20	J		
15.					Buy (add'l)	11/10/20	J		
16.					Sold (part)	12/07/20	J		
17.					Sold (part)	12/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Vanguard GNMA Fund	B	Dividend	L	T					
19. Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T					
20. Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	11/09/20	J	D	
21.					Sold (part)	11/09/20	J	D	
22. 401K #2 (H)									
23. -American Funds EuroPacific Growth Fund	A	Dividend	L	T	Sold (part)	11/09/20	J	A	
24. -Vanguard Retirement Savings Trust II	D	Dividend			Sold	09/21/20	O		
25. -Vanguard Retirement Savings Trust III	D	Dividend	O	T	Buy	09/21/20	O		
26.					Buy (add'l)	11/09/20	M		
27.					Buy (add'l)	11/09/20	J		
28. -Vanguard Total Stock Market Index Fund	D	Dividend	O	T	Sold (part)	11/09/20	M	G	
29. IRA #1 (H)									
30. -Vanguard GNMA Fund	A	Dividend	K	T	Buy (add'l)	11/09/20	J		
31. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy (add'l)	11/09/20	J		
32. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
33. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	11/09/20	J	C	
34.					Sold (part)	11/09/20	J	C	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Trust #1 (H)									
36. -Brookfield Real Assets I: RA	D	Dividend	L	T					
37. -Chipotle Mexican Grl.(X)		None	J	T					
38. -Duke Energy Corp.	A	Dividend	K	T	Buy	07/14/20	K		
39. -Netflix Inc.		None	J	T					
40. -Royal Dutch Shell ADRs	A	Dividend	K	T					
41. -Schwab Value Advantage Money Fund	B	Dividend	M	T	Sold (part)	01/29/20	J		
42.					Sold (part)	02/20/20	J		
43.					Sold (part)	03/20/20	L		
44.					Sold (part)	11/23/20	K		
45. -Southern Co.	A	Dividend	K	T	Buy	07/24/20	K		
46. -Charles Schwab & Co., Inc., cash balance	A	Interest	K	T	Buy (add'l)	01/30/20	J		
47.					Sold (part)	02/20/20	J		
48.					Buy (add'l)	02/21/20	J		
49.					Buy (add'l)	03/23/20	L		
50.					Sold (part)	05/14/20	J		
51.					Sold (part)	07/16/20	K		

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52.					Sold (part)	07/16/20	K		
53.					Sold (part)	07/16/20	J		
54.					Buy (add'l)	11/23/20	K		
55.					Sold (part)	11/23/20	K		
56. Trust #2 (H)									
57. -Vanguard GNMA Fund	A	Dividend	K	T					
58. -Vanguard Federal Money Market Fund	A	Dividend	K	T	Sold (part)	01/08/20	J		
59.					Buy (add'l)	05/01/20	J		
60.					Buy (add'l)	11/10/20	K		
61. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T					
62. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
63. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	11/09/20	K	D	
64. Trust #3 (H)									
65. -Vanguard GNMA Fund	A	Dividend	K	T					
66. -Vanguard Federal Money Market Fund	A	Dividend	K	T	Buy (add'l)	05/01/20	J		
67.					Buy (add'l)	11/10/20	K		
68. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
70. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Sold (part)	11/09/20	K	D	
71. IRA #2 (H)									
72. -Vanguard GNMA Fund	C	Dividend	M	T	Buy (add'l)	11/09/20	L		
73. -Vanguard Short-Term Investment Grade Fund	C	Dividend	M	T	Buy (add'l)	11/09/20	L		
74. -Vanguard Total International Stock Market Index Fund	A	Dividend	K	T					
75. -Vanguard Total Stock Market Index Fund	D	Dividend	N	T	Sold (part)	11/09/20	L	E	
76.					Sold (part)	11/09/20	L	E	
77. Trust #4 (H)									
78. -Middlesex Savings Bank, account		None	J	T					
79. Trust #5 (H)									
80. -Middlesex Savings Bank, account		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allison D. Burroughs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544