

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chan, Ashely M.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 06/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Robert N.C. Nix Sr. Federal Courthouse 900 Market Street Suite 204 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 10/22/2012	Hangley Aronchick Segal Pudlin & Schiller 401k Plan - with former law firm, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3-27-2020	Matthew Bender & Co Inc - editor	\$1,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Airlines AAdvantage	credit card	J
2. Chase	credit card	K
3. Target	credit card	J
4. Mastercard	credit card	J
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank		None	J	T					
2. TD Bank		None	J	T					
3. Fidelity 401k (H)									
4. -Gabelli UST Money Market	A	Dividend	N	T	Buy	04/15/20	N		
5. -Spartan US Bond Index	A	Dividend			Sold	04/15/20	K		
6. -Spartan 500 Index	A	Dividend			Sold	04/15/20	M		
7. -Fidelity Freedom 2035 Fund	C	Dividend			Sold	04/15/20	L		
8. -Fidelity Overseas (formerly Harbor International)		None			Sold	04/15/20	L		
9. Schwab IRA (H)									
10. -Cash - Charles Schwab Bank	A	Int./Div.	L	T	Buy	05/26/20	L		
11. -American Century Real Estate Fund	A	Dividend			Sold	05/12/20	J		
12. -American Century Small Cap Growth		None			Sold	05/12/20	J	B	
13. -Capital World Growth & Income Fund	A	Dividend			Sold	05/12/20	J	A	
14. -Dreyfus Insured Deposit (X)		None			Sold	05/12/20	J	A	
15. -Fidelity Advisor Diversified International		None			Sold	05/12/20	J	A	
16. -Fidelity Advior New Insights Fund	A	Dividend			Sold	05/12/20	J	C	
17. -Fidelity Advisor Strategic Fund	A	Dividend			Sold	05/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Growth Fund of America.		None			Sold	05/12/20	J	C	
19. -iShares Trust Russell 2000 Value Index	A	Dividend			Sold	05/12/20	J	A	
20. -New World Fund		None			Sold	05/12/20	J	B	
21. -PIMCO Commodity Real Return Strategy	A	Dividend			Sold	05/12/20	J		
22. -Prudential Jennison Natural Resources		None			Sold	05/12/20	J		
23. -SPDR S&P 500 ETF Tr	A	Dividend			Sold	05/12/20	J	C	
24. -Vanguard GNMA Fund	A	Dividend			Sold	05/12/20	J	A	
25. -Vanguard Mid-Cap ETF	A	Dividend			Sold	05/12/20	J	C	
26. -Vanguard Primecap Fund		None			Sold	05/12/20	J	C	
27. Schwab Roth IRA (H)									
28. -Cash - Charles Schwab Bank	A	Interest	L	T	Buy	05/26/20	L		
29. -American Century Diversified Bond	A	Dividend			Sold	05/12/20	J	A	
30. -American Century Heritage Fund		None			Sold	05/12/20	J	B	
31. -American Heritage Strategic Allocation Moderate Fund		None			Sold	05/12/20	K		
32. -Fidelity Advisor New Insights Fund	A	Dividend			Sold	05/12/20	J	C	
33. Schwab Inherited IRA (H)									
34. -Cash - Charles Schwab Bank (X)	A	Interest	K	T	Buy	05/28/20	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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35. LPL Financial IRA (H)									
36. -Ally Bank 2.90% 1-31-2022	A	Interest	K	T					
37. -Alphabet Inc		None	K	T	Buy	04/07/20	K		
38. -AT&T Inc	A	Dividend	J	T	Buy	09/29/20	J		
39. -AT&T Inc 5.625% 8/1/2067	A	Interest	K	T	Buy	07/22/20	K		
40. -Bank of America 5.625% 7/1/2020	B	Interest			Sold	07/01/20	K		
41. -Becton Dickinson	A	Dividend	J	T	Buy	07/22/20	J		
42. -Blackbaud Inc		None	J	T	Buy	07/22/20	J		
43. -Brookfield Asset Management	A	Dividend			Sold	04/07/20	J	D	
44. -Capital One NZ 2.25% 6-7-2021	A	Interest	K	T					
45. -Cisco Systems	B	Dividend	K	T					
46. -Citibank NA 2.05% 11-4-2024	A	Interest			Sold	11/04/20	K		
47. -Comcast	A	Dividend	K	T					
48. -Conagra Brands	A	Dividend	K	T					
49. -Covanta Holding Corp	A	Dividend			Sold	04/07/20	J		
50. -Crown Castle Intl Corp	A	Dividend	K	T					
51. -CSX Transportation 9.75% 6/15/2020	B	Interest			Sold	06/15/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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52. -CVS Health Corp	A	Dividend	K	T					
53. -CVS Health Corp 4.10% 3-25-2025	A	Interest	K	T	Buy	07/22/20	K		
54. -Digital Realty Trust	A	Dividend	K	T					
55. -Emerson Electric	A	Dividend	J	T	Buy	09/29/20	J		
56. -Genuine Parts Co	A	Dividend	J	T	Buy	07/22/20	J		
57. -Gilead Science		None			Sold	03/11/20	K		
58. -Goldman Sachs 2-20% 6/28/2021	A	Interest	K	T					
59. -Harley Davidson	A	Dividend			Sold	04/13/20	J		
60. -Hewlitt Packard 4.30% 6/1/2021	A	Interest			Sold	07/22/20	K		
61. -Ingredion Inc	A	Dividend	J	T	Buy	07/22/20	J		
62. -International Paper	A	Dividend	K	T					
63. -iShares Intl Select Div ETF	B	Dividend			Sold	09/29/20	K		
64. -iShares JP Morgan EM Bond Fund	B	Dividend	K	T					
65. -Jonesboro AK 3.00% 2/1/2022	A	Interest			Sold	11/20/20	K		
66. -JP Morgan Chase & Co	B	Dividend			Sold	07/22/20	K	E	
67. -JP Morgan Chase Bank 2.00% 11/2025	A	Interest	K	T	Buy	02/19/20	K		
68. -JP Morgan Chase Bank 2.25% 11/15/2024	A	Interest			Sold	05/15/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. -Lamb Weston Holdings	A	Dividend	J	T					
70. -Leggett & Platt Inc	A	Dividend	J	T	Buy	07/22/20	J		
71. -Medtronic PLC	A	Dividend	K	T					
72. -Microsoft	A	Dividend	K	T	Buy	04/13/20	K		
73. -Morgan Stanley 5.50% 7/24/2020	B	Interest			Sold	07/24/20	K		
74. -Morgan Stanley Bank	A	Interest			Sold	11/15/20	M		
75. -Norfolk Southern 9.75% 6/15/2020	B	Interest			Sold	06/15/20	K		
76. -Pepsico	A	Dividend	K	T					
77. -Pfizer Inc	A	Dividend	J	T	Buy	09/29/20	J		
78. -Primerica Inc 4.75% 7/15/2022	B	Interest	K	T					
79. -Quest Diagnostics 4.75% 1/30/2020	A	Interest			Sold	01/15/20	K		
80. -Simon Property Group	A	Dividend			Sold	07/22/20	K		
81. -SPDR S&P Biotech	A	Dividend	J	T	Buy	07/22/20	J		
82. -Starbucks Corp	A	Dividend	J	T	Buy	09/29/20	J		
83. -Taiwan Semiconductor Mfg Co	A	Dividend	K	T	Sold (part)	09/29/20	J	D	
84. -Unilever PLC	A	Dividend	J	T					
85. -US Treasury Bill		None			Buy	09/29/20	L		

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86.					Sold	11/10/20	L	A	
87. -Vanguard FTSE Developed Markets E	A	Dividend			Sold	04/13/20	K		
88. -Viatris Inc		None	J	T	Buy	11/19/20	J		
89.					Sold (part)	11/20/20	J	A	
90. -Virtus Multi Select Short Term Bond T	A	Dividend	J	T	Sold (part)	07/16/20	J	A	
91. -Visa Inc	A	Dividend	K	T	Buy	04/13/20	K		
92. -VMWare Inc 2.95% 8/21/2022	A	Interest	K	T	Buy	07/22/20	K		
93. -Walt Disney Co Holding	A	Dividend	L	T					
94. -Wells Fargo Bank 3.15% 5/9/2023	A	Interest	K	T					
95. -Western Asset Short Term Bond C1	A	Dividend	J	T					
96. -Xylem Inc	A	Dividend	J	T	Buy	07/22/20	J		
97. -Zimmer Biomet Holdings Inc 3.55%	A	Interest	K	T	Buy	07/22/20	K		
98. -Cash	A	Int./Div.	M	T	Buy	11/01/20	M		
99. LPL Financial 8393 (H)									
100. -Cash	A	Interest	K	T	Buy	11/01/20	K		
101. -AT&T Inc 5.625% 8/1/2067	A	Interest	J	T	Buy	07/22/20	J		
102. -CVS Health Corp 4.10% 3/25/2025	A	Interest	J	T	Buy	07/22/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. -Hewlett Packard 4.90% 10/15/2025	A	Interest	J	T	Buy	07/22/20	J		
104. -Microsoft Corp	A	Dividend	K	T	Sold (part)	09/29/20	J	C	
105. -Pfizer Inc	A	Dividend	K	T					
106. -United States Treasury Bill		None			Buy	09/29/20	K		
107.					Sold	11/10/20	K	A	
108. -Verizon	A	Dividend	J	T	Sold (part)	07/22/20	J	D	
109. -Viatis		None	J	T	Buy	11/19/20	J		
110.					Sold (part)	11/20/20	J	A	
111. -VMWare Inc 2.95% 8-21-2022	A	Interest	J	T	Buy	07/22/20	J		
112. -Zimmer Biomet Holdings Inc 3.55%	A	Interest	J	T	Buy	07/22/20	J		
113. -Morgan Stanley Bank	A	Interest			Sold	11/01/20	K		
114. LPL Financial 4752 (H)									
115. -Cash	A	Interest	K	T	Buy	11/01/20	K		
116. -Anthem Inc 3.30% 1/15/2023	A	Interest	K	T					
117. -Apple Inc	A	Dividend	M	T	Sold (part)	10/23/20	J	B	
118. -AT&T Inc 5.625% 8/1/2067	A	Interest	K	T	Buy	07/29/20	K		
119. -Brighthouse Financial		None			Sold	07/29/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Chan, Ashely M.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Bristol Myers Squibb	A	Dividend	K	T					
121. -Chemours Co	A	Dividend	J	T					
122. -Chevron	A	Dividend			Sold	04/15/20	K		
123. -Corteva	A	Dividend	J	T					
124. -CVS Health Corp 4.10% 3-25-2025	A	Interest	K	T	Buy	07/29/20	K		
125. -Dow Inc	A	Dividend			Sold	07/29/20	J	C	
126. -DuPont De Nemours Inc	A	Dividend	J	T					
127. -Equity Residential	A	Dividend			Sold	07/29/20	K		
128. -H&R Block Inc	A	Dividend			Sold	10/23/20	J		
129. -Healthpeak Properties	A	Dividend			Sold	07/29/20	J		
130. -Honeywell International Inc	A	Dividend	K	T	Buy	04/15/20	J		
131. -Intel Corp	A	Dividend	K	T					
132. -JP Morgan Chase & Co	A	Dividend			Buy	04/15/20	J		
133.					Sold	07/29/20	J		
134. -Macquarie Infrastructure Co	A	Dividend	J	T					
135. -McDonalds	A	Dividend	K	T	Sold (part)	10/23/20	J	C	
136. -Metlife Inc	A	Dividend			Sold	07/29/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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Name of Person Reporting

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Microsoft Corp	B	Dividend	M	T	Sold (part)	10/23/20	J	B	
138. -Morgan Stanley Bank	A	Interest			Sold	11/01/20	K		
139. -Morgan Stanley 5.50% 7/24/2020	A	Interest			Sold	07/16/20	K		
140. -Morgan Stanley 5.75% 1/25/2021	A	Interest			Sold	07/16/20	K		
141. -Morgan Stanley Salt Lake City 2.25% 6/10/2028	A	Interest			Sold	07/16/20	K		
142. -New York State Thruway Auth 5.00% 3/15/2025	B	Interest	K	T					
143. -Pennsylvania State Genl Oblig 5.00% 1/15/2022	B	Interest	K	T					
144. -Pfizer Inc	A	Dividend	K	T					
145. -University of Cincinnati Gen Rcpts 4.00% 6/1/2025	A	Interest	K	T					
146. -Viatris Inc		None	J	T	Buy	11/19/20	J		
147.					Sold (part)	11/20/20	J	A	
148. VMWare Inc 2.95% 8-21-2022	A	Interest	K	T	Buy	07/29/20	K		
149. -Walgreens Boots Alliance Inc	A	Dividend	J	T					
150. -Washington State Genl Oblig 5.00% 7/1/2020	B	Interest			Sold	07/01/20	K		
151. -Washoe County NV Genl Oblig 3.00% 3/1/2023	A	Interest	K	T					
152. -Washoe County NV Genl Oblig 3/1/2024	A	Interest	K	T					
153. -Zimmer Biomet Hopldings Inc 3.55%	A	Interest	K	T	Buy	07/29/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Chan, Ashely M.

Date of Report

06/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. LPL Financial 7590 (H)									
155. -Cash	A	Interest	K	T	Buy	11/01/20	K		
156. -Allegheny Cnty PA Genl Oblig 5.0	B	Interest	K	T	Buy	03/20/20	K		
157. -Alphabet Inc Cl A		None	L	T					
158. -Amazon.com		None	K	T	Buy	04/07/20	K		
159. -American Express 2.25% 6-1-2021	A	Interest	K	T					
160. -American Express 2.40% 9-6-2022	A	Interest	K	T					
161. -Apple Inc	A	Dividend	M	T					
162. -AT&T Inc	B	Dividend	K	T					
163. -AT&T Inc 5.625% 81-2067	A	Interest	K	T	Buy	07/27/20	K		
164. -Becton Dickinson	A	Dividend	J	T	Buy	09/29/20	J		
165. -Blackstone Group LP	A	Dividend	K	T					
166. -Brighthouse Financial		None			Sold	07/27/20	J	A	
167. -Brookfield Asset Management	A	Dividend			Sold	04/07/20	J	D	
168. -Carrier Global Corp	A	Dividend	J	T	Spinoff (from line 206)	04/07/20	J		
169. -Chemours Co	A	Dividend			Sold	09/29/20	J	B	
170. -Connecticut St Genl 5.00% 11/15/2031	B	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -Corteva Inc	A	Dividend	J	T					
172. -CVS Health Corp 4.10% 3-25-2025	A	Interest	K	T	Buy	07/27/20	K		
173. -Digital Realty Trust	B	Dividend	K	T					
174. -Discover Financial Services	A	Dividend			Sold	09/29/20	J		
175. -Dow Inc	A	Dividend			Sold	07/27/20	J	C	
176. -Dupont De Nemours	A	Dividend	J	T					
177. -General Electric Cap Corp 5.30% 2/11/2021	B	Interest	K	T					
178. -Howard County MD 3.50% 2-15-2032	A	Interest	K	T					
179. -Hyster-Yale Materials Handling	A	Dividend	J	T	Buy	09/29/20	J		
180. -Ingredion Inc	A	Dividend	J	T	Buy	07/27/20	J		
181. -iShares JP Morgan Em Bond Fund	B	Dividend			Sold	07/27/20	K		
182. -JP Morgan Chase 2.25% 11/15/2024	A	Interest			Sold	05/15/20	K		
183. -McDonalds Corp	B	Dividend	K	T					
184. -Metlife Inc	A	Dividend			Sold	07/27/20	J	A	
185. -Microsoft Corp	A	Dividend	L	T	Sold (part)	02/04/20	K	D	
186. -Morgan Stanley 2.20% 10/30/2024	A	Interest			Sold	07/16/20	K		
187. -Morgan Stanley Bank	A	Interest			Sold	11/01/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -New York State Mtg Agy Homeowner 3.619% 4/1/2023	A	Interest	K	T					
189. -Nvent Electric PLC	A	Dividend			Sold	05/21/20	J	C	
190. -Otis Worldwide Corp	A	Dividend			Spinoff (from line 206)	04/07/20	J		
191.					Sold	09/29/20	J	A	
192. -Pentair PLC	A	Dividend	J	T					
193. -Pfizer	A	Dividend	K	T					
194. -Prudential Financial 4.125%	A	Interest	K	T	Buy	09/29/20	K		
195. -Qualcomm Inc	A	Dividend	K	T	Buy	04/14/20	J		
196. -Raytheon Technologies Corp	A	Dividend			Spinoff (from line 206)	04/07/20	J		
197.					Sold	07/27/20	J	A	
198. -Regional Trans Dist Colo Sales Tax Rev 5.00% 11/1/2027	B	Interest	K	T					
199. -San Antonio TX Pub Facs 3.00% 9/15/2027	A	Interest	K	T					
200. -Schlumberger Ltd	A	Dividend			Sold (part)	04/14/20	J		
201.					Sold	05/15/20	K		
202. -Starbucks Corp	A	Dividend	J	T	Buy	07/27/20	J		
203. -State Bank Ind New York 2.00%	A	Interest	K	T	Buy	01/18/20	K		
204. -UBS Bank USA Salt Lake City 3.45% 10/24/2023	A	Interest	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

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K =\$15,001 - \$50,000

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R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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P1 =\$1,000,001 - \$5,000,000

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S =Assessment

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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205. -United Parcel Service Inc Cl B	B	Dividend	L	T					
206. -United Technologies Corp		None			Closed	04/07/20	K		
207. -University of Washington Univ Revs Gebn 5.00% 7/1/2034	B	Interest	K	T					
208. -Viatisr		None	J	T	Buy	11/19/20	K		
209.					Sold (part)	11/20/20	J	A	
210. -VMWare Inc 2.95% 8-21-2022	A	Interest	K	T	Buy	07/27/20	K		
211. -Walt Disney Co Holding	A	Dividend	L	T					
212. -Wells Fargo 4.48% 1/16/2024	B	Interest	K	T					
213. -Zimmer Biomet Holdings Inc 3.55%	A	Interest	K	T	Buy	07/27/20	K		
214. LPL Financial 9687 (H)									
215. -Advanced Micro Devices		None	K	T					
216. -Creragon Networks		None	J	T					
217. -Morgan Stanley Bank		None			Sold	11/01/20	J		
218. Fidelity Investments (H)									
219. -Fidelity Government Money Market	A	Dividend	J	T					
220. -Invesco QQQ Trust Unit Ser 1	A	Dividend			Sold	05/18/20	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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C =\$2,501 - \$5,000

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Name of Person Reporting

Chan, Ashely M.

Date of Report

06/14/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In part VII line 206 United Technologies was reorganized as Carrier Global Corp, Otis Worldwide and Raytheon Technologies and no longer existed as United Technologies. On line 206 I indicated that the shares were closed on 4/7/2020 which was the date of the reorganization.

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Name of Person Reporting

Chan, Ashely M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ashely M. Chan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544