

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Robert M.	2. Court or Organization USDC EDNY	3. Date of Report 07/29/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	New York University School of Law
2. Adjunct Professor of Law	Brooklyn Law School
3. Lecturer in Law	Columbia Law School
4. Limited Partner	Fourlee Partnership
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. ongoing	ACLU Employee Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	New York University School of Law	\$14,000.00
2. 2017	Columbia Law School	\$7,605.00
3. 2017	Brooklyn Law School	\$5,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	credit card	K
2.	Citibank	credit card	J
3.	Mass Mutual	policy loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Life Ins--MML Gr Allocn	A	Dividend	J	T					
2. Mass Mutual Life Ins	A	Dividend	K	T					
3. Mass Mutual Life Ins	A	Dividend	K	T					
4. Mass Mutual Life Ins	A	Dividend	J	T					
5. Mass Mutual Life Ins	B	Dividend	K	T					
6. Mass Mutual Life Ins	C	Dividend	L	T					
7. Mass Mutual Variable Life MMI Equity	A	Dividend	J	T					
8. Mass Mutual Life	A	Dividend	J	T					
9. Four Lee Limited Partnership	C	Int./Div.	L	T					
10. Deferred Compensation Plan	F	Dividend	O	T					
11. -Copeland Stable Income Fd	B	Dividend	L	T					
12. -Copeland Stable Income Fd	B	Dividend	L	T	Buy (add'l)	04/13/17	J		
13. -Copeland Stable Income Fd	B	Dividend	L	T	Buy (add'l)	08/10/17	K		
14. -Copeland Stable Income Fd	B	Dividend	L	T	Buy (add'l)	08/23/17	L		
15. -Copeland Stable Income Fd	B	Dividend	L	T	Sold (part)	08/30/17	K	A	
16. -Copeland Stable Income Fd	A	Dividend	L	T	Sold (part)	09/29/17	K		
17. -Copeland Stable Income Fd	B	Dividend	L	T	Buy (add'l)	11/29/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Copeland Stable Income Fd	B	Dividend	L	T	Sold (part)	06/22/17	K	A	
19. -Copeland Stable INcome Fd	B	Dividend	L	T	Sold (part)	06/26/17	K	A	
20. -Fidelity OTC	E	Dividend	M	T	Buy (add'l)	11/29/17	K		
21. -Fidelity OTC	E	Dividend	M	T	Buy (add'l)	07/10/17	K		
22. -Fidelity OTC	E	Dividend	M	T	Buy (add'l)	08/30/17	K		
23. -Vanguard Cap Oppr Adml	D	Dividend			Sold	08/10/17	L	D	
24. -Vanguard Prem Cap Adml	E	Dividend	N	T	Sold (part)	03/01/17	K		
25. -Vanguard Prem Cap Adml	E	Dividend	N	T	Buy (add'l)	08/28/17	L		
26. -Vanguard Prem Cap Adml	E	Dividend	N	T	Buy (add'l)	12/18/17	K		
27. -TRoweRtrmt2020	C	Dividend			Sold	04/13/17	L	D	
28. -Vanguard Inst Indx Inst Pls	D	Dividend			Sold	07/07/17	M	D	
29. -VngrdWlngthAdml	C	Dividend	K	T	Sold (part)	08/10/17	L	C	
30. -Vngrd Cap Opp	B	Dividend	L	T	Buy	08/10/17	L		
31. -VngrdTtlBdMktIndx InstPls	A	Dividend			Sold	04/13/17	K	B	
32. -TRowePr Blue Chip Gr Trust	C	Int./Div.	L	T	Buy	09/29/17	K		
33. -TRowePr Blue Chip Gr Trust	C	Int./Div.	L	T	Buy (add'l)	11/29/17	K		
34. IRA: Stifel Nicolaus (contains investments listed in lines 35-38)	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -First Trust Sabrient Div 9 Mo Ca	A	Dividend			Sold	02/27/17	J	A	
36. -First Trust Equity Income Sel	A	Dividend			Sold	03/24/17	J	A	
37. -First Trust Amern Agenda Sa Ca	A	Dividend	J	T	Buy	03/24/17	J		
38. -Advisors Ubiquitous Strat 2017-1 MO CA	A	Dividend	J	T	Buy	02/27/17	J		
39. Ameriprise IRA (closed and rolled over; accounts listed lines 40-58)	D	Dividend	M	T					
40. -Alps Alerian Mlp Etf	A	Dividend			Sold	11/17/17	J	A	
41. OppenhmSteelpath MLP Select 40 CL A	A	Dividend			Sold	11/17/17	J	A	
42. -Pimco Total Return	A	Dividend			Sold	11/17/17	J	A	
43. -CNL Properties (REIT)	B	Distribution			Sold	08/10/17	K	B	
44. -Eaton Vance Strategic Income A	A	Dividend			Sold	08/17/17	J	A	
45. -Janus Bal A	A	Dividend			Sold	11/17/17	J	A	
46. -AMG Yaktman	A	Dividend			Sold	11/17/17	J	A	
47. -Fidelity Contrafund	A	Dividend			Sold	11/17/17	J	A	
48. -(Frkln)Mut Global Discovery	A	Dividend			Sold	08/17/17	J	A	
49. -Fidelity Adv Small Cap-A	A	Dividend			Sold	08/17/17	J	A	
50. -Fid Adv Strat Income-A	A	Dividend			Sold	08/17/17	J	A	
51. -Fid Adv New Insights	A	Dividend			Sold	08/17/17	J	A	

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52. -Thorn Intl Val-A	A	Dividend			Sold	11/17/17	J	A	
53. -1st Eagle Fund	A	Dividend			Sold	11/17/17	J	A	
54. -Doubleline Tot Ret Bd-N Doubleline Fds	A	Dividend			Sold	11/17/17	J	A	
55. -Franklin Income CL A	A	Dividend			Sold	08/17/17	J	A	
56. -Lord Abett Short Duration Income C1 A	A	Dividend			Sold	08/17/17	J	A	
57. -Trans America Short Term Bond	A	Dividend			Sold	08/17/17	J	A	
58. -Virtus Multi-Sector Shrt Term Bd	A	Dividend			Sold	08/17/17	J	A	
59. Rental of Vacation Home, Suffolk County, NY	F	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert M. Levy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544