

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chutkan, Tanya S.	2. Court or Organization United States District Court for the District of Columbia	3. Date of Report 07/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address E. Barrett Prettyman U.S. Courthouse 333 Constitution Ave. NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Trustees	D.C. Circuit Historical Society
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Chutkan, Tanya S.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Chutkan, Tanya S.	07/29/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Chutkan, Tanya S.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash)	A	Interest	M	T					
3. Account #1 (H)									
4. Pace International Emerging Markets Equity Investments Cl P (PECMX)	A	Dividend	J	T					
5. Pace International Equity Investments Cl P (PCIEIX)	A	Dividend	J	T	Buy (add'l)	01/13/20	J		
6. Pace Large Co Growth Equity Investments Cl P (PCLCX)	C	Dividend	K	T					
7. Pace Large Co Value Equity Investments Cl P (PCLVX)	A	Dividend	J	T	Sold (part)	01/13/20	J	B	
8. Pace Small/Medium Co Growth Equity Investments Cl P (PCSGX)	A	Dividend	J	T	Sold (part)	01/13/20	J	A	
9. Pace Small/Medium Co Value Equity Investments Cl P (PCSVX)	A	Dividend	J	T					
10. Pace Global Fixed Income Investments Fund Cl P (PCGLX)	A	Dividend	J	T					
11. Pace High Yield Investments Cl P (PHYPX)	A	Dividend	J	T	Sold (part)	01/13/20	J		
12. Pace Mortgage-Backed Sec Fix Income Invt Fd Cl P (PCGTX)	A	Dividend	J	T					
13. Pace Strategic Fixed Income Investmetns Cl P (PCSIX)	A	Dividend	J	T					
14. Pace Alternatives Strategies Investments Cl P (PASPX)	B	Dividend	K	T	Buy (add'l)	01/13/20	J		
15. Alibaba Group Hldg Ltd Spon Adr (BABA)		None	K	T					
16. ETFMG Prime Cyber Security ETF (HACK)	A	Dividend	K	T					
17. Amer Funds Capital World Growth & Income Fund Cl A (CWGIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Fidelity Advisor Equity Growth Fund Cl M (FAEGX)	B	Dividend	J	T					
19. Invesco American Franchise Fund Cl A (VAFAX)	C	Dividend	K	T					
20. 13D Activist Fund CL A (DDDAX)	B	Dividend	K	T					
21. UNTS Ft Biotechnology Prtfo Ser 40		None			Redeemed	03/19/20	J		
22. UNTS Ft Biotechnology Prtfo Ser 48	A	Dividend	J	T	Buy	03/20/20	J		
23. Pioneer High Yield Fund Cl A (TAHYX)	A	Dividend	J	T					
24. iShares Gold Trust ETF (IAU)		None	J	T					
25. Account #2 (H)									
26. Fidelity Govt Mmkt Capital Reserves Cl (FZAXX) (cash)	A	Dividend	K	T					
27. FS KKR Capital Corp Com (FSK)	A	Dividend			Sold	09/22/20	J		
28. Genworth Financial Inc Com (GNW)		None	K	T					
29. Inovio Pharmaceuticals Inc (INO)		None			Sold	03/11/20	J	B	
30. SFL Corporation LTD Com (SFL)	A	Dividend	J	T					
31. United States Oil Fund Lp Units (USO)		None	K	T	Buy (add'l)	03/10/20	J		
32.					Buy (add'l)	04/27/20	J		
33.					Buy (add'l)	09/22/20	K		
34. Vroom Inc Com (VRM)		None	J	T	Buy	06/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Delaware Covered Call Strategy Instl (FRCDX)	A	Dividend	K	T					
36. FS Credit Income Fund Cl I (FCRIX)	A	Dividend	K	T	Buy	12/15/20	K		
37. FS Multi Strategy Alternatives Fd I (FSMSX)	A	Dividend	K	T	Buy	12/15/20	K		
38. Goldman Sachs Real Estate Diversified Inc W (WRREX)	B	Dividend	K	T	Sold (part)	10/16/20	J		
39. Predex Fund (PRDEX)	A	Dividend	K	T	Buy	02/26/20	K		
40. Resource Credit Income Fd Cl W (RCIWX)	A	Dividend			Sold (part)	10/16/20	J		
41.					Sold	11/19/20	J		
42. Alternative Credit Income Fd I (RCIIX)	A	Dividend	J	T	Buy	11/19/20	J		
43. Amplify Etf Tr Online Retail Etf (IBUY)	A	Dividend			Sold	09/22/20	L	E	
44. Chiron Capital Allocation Fund Cl I (CCAPX)	A	Dividend	K	T	Buy	12/15/20	K		
45. ETF Ser Solutions US Glb Jets (JETS)		None			Buy	03/10/20	K		
46.					Sold	12/16/20	K	A	
47. Flexshares Ready Access Variable Income Fund (RAVI)	A	Dividend	L	T	Buy	09/24/20	M		
48.					Sold (part)	12/16/20	L		
49. Flexshares Tr Stox Esg Index (ESG)	A	Dividend			Sold	09/22/20	K	D	
50. Invesco Exchng Traded Fd Tr II CEF Inm (PCEF)	A	Dividend			Sold	09/22/20	J		
51. SPDR S&P500 Etf Trust (SPY)	B	Dividend			Sold	02/04/20	L	D	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy	02/27/20	K		
53.					Sold	03/31/20	K		
54.					Buy	05/13/20	L		
55.					Sold	09/22/20	L	D	
56. Account #3 (H)									
57. QS Legg Mason Dynamic Multi-Strategy Portfolio		None	L	T					
58. Janus Henderson Low Vol VIT		None	L	T					
59. Fidelity VIP Target Vol Portfolio		None	L	T					
60. TOPS MR Moderate Growth		None	L	T					
61. Account #4 (H)									
62. UBS Bank Deposit Account (cash) (X)	A	Interest	J	T					
63. Cisco Systems Inc (CSCO)	A	Dividend	J	T					
64. Coca Cola Co Com (KO)	A	Dividend	J	T					
65. Johnson & Johnson Com (JNJ)	A	Dividend	J	T					
66. Microsoft Corp (MSFT)	A	Dividend	J	T					
67. ETFMG Prime Cyber Security ETF (HACK)	A	Dividend	K	T					
68. Allianzgi Nfj Mid-Cap Value Fund Cl A (PQNAX)	A	Dividend	K	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Blackrock Advantage Large Cap Growth Fund Cl A (BMCAX)	B	Dividend	K	T					
70. Center Coast Brookfield Mlp Focus Fund Cl A (CCCAX)	A	Dividend	J	T					
71. First Eagle Overseas Fund Cl A (SGOVX)	A	Dividend	K	T					
72. Mainstay Cushing Mlp Premier Fund Cl A (CSHAX) (X)	A	Dividend	J	T					
73. Oakmark Intl Fund Class Investor (OAKIX)	A	Dividend	K	T					
74. 13D Activist Fund Cl A (DDDAX)	A	Dividend	J	T					
75. Unts Ft Biotechnology Prtfo Ser 40		None			Redeemed	03/19/20	J		
76. Unts Ft Biotechnology Prtfo Ser 48	A	Dividend	J	T	Buy	03/20/20	J		
77. Account #5 (H)									
78. Fidelity Govt Mmkt Capital Reserves Cl (FZAXX) (cash) (Y)									
79. Capital One Finl Corp Preferred Stock (COFPRP)	A	Dividend			Redeemed	03/02/20	J	A	
80. Highland Income Fund (HFRO)	A	Dividend	J	T					
81. District Columbia HSG AGY Multi-Family 12/1/21 3.35% (25477PMF9)	A	Interest	J	T					
82. Puerto Rico Commonwealth 4% 7/1/22 (74514LD46)	A	Interest	K	T					
83. District Columbia Income Tax Rev 5% 12/1/27 (25477GEC5)	A	Interest			Redeemed	06/01/20	J		
84. Flexshares Ready Access Variable Income Fund (RAVI)	A	Dividend	K	T	Buy	09/24/20	K		
85. Account #6 (H)									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. DC College Savings Intermediate-Term Bond Portfolio		None	J	T	Sold (part)	07/29/20	J		
87.					Sold (part)	12/14/20	J		
88. DC College Savings U.S. Total Stock Market Index Portfolio		None	J	T	Sold (part)	07/29/20	J		
89.					Sold (part)	12/14/20	J		
90. DC College Savings U.S. Large Cap Equity Portfolio		None	J	T	Sold (part)	07/29/20	K		
91.					Sold (part)	12/14/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account #3 is an Ohio National Life Variable Annuity; underlying assets are listed in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII: Goldman Sachs Real Estate Diversified Inc W (WRREX) was Resource Real Estate Diversified Inc W (WRREX).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Tanya S. Chutkan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544