

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cohen, Mark H.	2. Court or Organization U. S. District Court, Georgia	3. Date of Report 05/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1921 United States Courthouse 75 Ted Turner Drive, S.W. Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Cohen, Mark H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (accounts)	A	Interest	N	T					
2. Bank OZK (CDs)	A	Interest	M	T					
3. Iberia Bank (CD)	B	Interest			Distributed	06/26/20	L	B	
4. Bank OZK (formerly Promise One Bank) (CD)	B	Interest			Distributed	11/20/20	L	D	
5. IRA Account #1									
6. --Alliance Fund Small Cap	A	Dividend	K	T	Buy	02/13/20	K		
7. --Amer Balanced Fund ABALX	D	Dividend	O	T					
8. --Amer Growth Fund AGTHX	B	Dividend	N	T	Sold (part)	02/14/20	K	A	
9. --Amer Fds Inc Fund AMECX	D	Dividend	N	T					
10. --Amer Smallcap World Fund SMCWX	D	Dividend	M	T	Sold (part)	02/13/20	K	A	
11. --Blackrock Eq. Fund MCDVX	A	Dividend	K	T	Buy	02/14/20	K		
12. --Capital Income Builder Fund CAIBX	D	Dividend	N	T	Sold (part)	02/14/20	K	A	
13. --Fidelity Strategy Find FCSDX	A	Dividend	K	T	Buy	02/14/20	K		
14. --New World Fund NEWFX	A	Dividend	M	T					
15. IRA Account #2									
16. --JP Morgan Large Cap Growth Fund OLGAX	E	Dividend	N	T					
17. --Metropolitan West Total Return Fund MWTIX	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. --Galliard Retirement Income Fund	B	Dividend	M	T					
19. Brokerage Account									
20. --A/F New World Fund NFFFX	A	Dividend	J	T	Buy	09/16/20	J		
21. --Amer Funds Washington Mut Fund WMFFX	A	Dividend	L	T					
22. --Amer Funds Bd. Fund ABNFX	A	Dividend	J	T	Buy	09/16/20	J		
23. --Amer Funds Smallcap SMCFX	A	Dividend	J	T	Buy	09/16/20	J		
24. --Amer Hi-Income Mun Fund AHMFX	A	Dividend	J	T	Buy	09/16/20	J		
25. --Amer Mutual Fund AMRFX	A	Dividend	J	T	Buy	09/16/20	J		
26. --Baron Asset Instit. Fund BARIX	A	Dividend	J	T	Buy	09/16/20	J		
27. --Baird Aggregate Fund BAGIX	A	Dividend	J	T	Buy	09/16/20	J		
28. --Blackrock Strat Income Fund BSIIX	A	Dividend	J	T	Buy	09/16/20	J		
29. --Credit Suisse Comm Ret Fund CRSOX	A	Dividend	J	T	Buy	09/16/20	J		
30. --Fidelity Adv Small Cap Fund FCIGX	A	Dividend	J	T	Buy	12/18/20	J		
31. --Fidelity Strat Fund FSIDX	A	Dividend	J	T	Buy	09/16/20	J		
32. --First Trust Capital ETF FTCS	A	Dividend	J	T	Buy	09/16/20	J		
33. --First Trust Managed ETF FMB	A	Dividend	J	T	Buy	09/16/20	J		
34. --Invesco Oppenheimer Devel Mkts Fund ODVYX	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. --John Hancock Classic Value Fund JCVIX	A	Dividend			Sold	09/16/20	J	C	
36. --Lord Abbott High Municipal Bond Fund HYMIX	A	Dividend	J	T	Sold (part)	03/17/20	J	A	
37. --Nuveen Mun Trust Class I Fund NUVBX	A	Dividend	J	T	Buy	09/16/20	J		
38. --MFS Ser TR I Value Fund MEIIX	A	Dividend			Sold	09/16/20	K	A	
39. --Metropolitan W Bd Fund MWTIX	A	Dividend	J	T	Buy	09/16/20	J		
40. --Pimco Emerg Mkt Bd Fund PEBIX	A	Dividend	J	T	Buy	09/16/20	J		
41. --Principal Funds, Inc. Global Real Estate POSIX	A	Dividend	K	T					
42. --Victory Sycamore Small Co. Opportunity Fund VSOIX	A	Dividend	K	T					
43. --Voya Large Cap Growth Fund PLCIX	A	Dividend	K	T					
44. --Wells Fargo Emerging Growth Fund WEMIX	A	Dividend	K	T					
45. --Alphabet Inc. Cap. Stock GOOGL	A	Interest	K	T	Buy	03/23/20	J		
46. --Ishares Russell 200 Value ETF IWN	A	Interest	J	T	Buy	09/16/20	J		
47. --Ishares S&P 500 ETF IVV	A	Interest	J	T	Buy	09/08/20	J		
48. --Ishares U. S. Real Estate Exch. Traded Fund IVR	A	Interest			Sold	09/16/20	K	C	
49. --Vanguard Growth ETF VUG	A	Interest	J	T	Buy	09/16/20	J		
50. --Vanguard Mid Cap ETF	A	Interest	J	T	Buy	09/16/20	J		
51. --City of Atlanta Airport Passenger Facility Bond	A	Interest			Sold	09/02/20	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. --City of Atlanta Water & Wastewater Rev Bond	A	Interest	K	T					
53. --City of Atlanta Devel. Auth. New Downtown Stadium Bond	A	Interest	J	T					
54. --Fulton County Devel. Auth. Rev. Bond	A	Interest	J	T					
55. --Georgia State Series A Tanche Bond	A	Interest	K	T					
56. --Georgia State Series A G/O Bond	A	Interest	K	T					
57. --Georgia Private Colleges & Univ. Rev. Bond	A	Interest			Sold	02/14/20	K	A	
58. New York Life Whole Life Policy	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark H. Cohen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544