

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Collier, Lacey A.	2. Court or Organization Northern District of Florida	3. Date of Report 12/07/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address One North Palafox Street Pensacola, FL 32502		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Directors	Florida State University College of Medicine, West Florida, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Collier, Lacey A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	AER		None			Buy (add'l)	01/07/20	J		
2.	AER		None			Buy (add'l)	01/14/20	J		
3.	AER		None			Sold	03/18/20	J		
4.	GOOGL		None			Buy (add'l)	03/26/20	J		
5.	GOOGL		None	L	T	Sold (part)	12/31/20	L	E	
6.	ATUS		None			Buy (add'l)	11/12/20	J		
7.	ATUS		None	K	T	Sold (part)	12/31/20	K	D	
8.	AMZN		None			Buy	03/05/20	K		
9.	AMZN		None			Buy (add'l)	03/11/20	J		
10.	AMZN		None			Buy (add'l)	03/12/20	J		
11.	AMZN		None	K	T	Sold	12/31/20	K	E	
12.	BKNG		None			Buy (add'l)	02/24/20	J		
13.	BKNG		None			Sold	03/23/20	J		
14.	CNP		None			Buy	02/19/20	K		
15.	CNP		None			Sold	03/18/20	J		
16.	SCHW	A	Dividend			Sold	03/17/20			
17.	CTVA	A	Dividend			Buy (add'l)	05/12/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CTVA					Sold	09/03/20	K	B	
19. HCA		None			Buy	04/24/20	J		
20. HCA		None			Buy (add'l)	05/04/20	J		
21. HCA		None			Buy (add'l)	09/21/20	J		
22. HCA		None	K	T	Sold	12/31/20	K	D	
23. MSG		None			Sold	08/21/20	J		
24. SAVE		None			Sold	03/11/20	J		
25. WFC	A	Dividend			Sold	03/16/20	J		
26. MO	A	Dividend			Sold	03/18/20	J		
27. NLY	A	Dividend			Sold	03/18/20	J		
28. CARR		None			Sold	04/16/20	J		
29. DG	A	Dividend			Sold	03/12/20	K	D	
30. DSEEX	A	Distribution			Sold	10/22/20	J	B	
31. DOW	A	Dividend			Sold	03/12/20	J		
32. DD	A	Dividend			Sold	03/09/20	J		
33. RYT		None			Sold	03/18/20	J		
34. JNJ	A	Dividend			Sold	03/13/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. OTIS		None			Sold	04/16/20	J		
36. PM	A	Dividend			Sold	03/13/20	J		
37. RTX	A	Dividend			Sold	11/02/20	J		
38. UPS	A	Dividend			Sold	06/19/20	J	B	
39. WMT	A	Dividend			Sold	03/12/20	K		
40. BX	A	Dividend			Sold	03/23/20	J		
41. ANTM	A	Dividend	K	T	Buy	06/12/20	J		
42. AAPL	A	Dividend	K	T					
43. BRKB		None			Buy (add'l)	01/22/20	J		
44. BRKB		None			Buy (add'l)	02/12/20	J		
45. BRKB		None			Buy (add'l)	02/27/20	J		
46. BRKB		None			Buy (add'l)	03/09/20	J		
47. BRKB		None			Buy (add'l)	03/09/20	J		
48. BRKB		None			Buy (add'l)	03/18/20	J		
49. BRKB		None			Buy (add'l)	06/24/20	K		
50. BRKB		None			Buy (add'l)	10/14/20	J		
51. BRKB		None	M	T	Buy (add'l)	12/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

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52. BAM	A	Dividend	J	T	Buy	04/09/20	J		
53. CHTR		None	L	T					
54. CMCSA	A	Dividend	K	T	Buy (add'l)	01/31/20	J		
55. DUK					Buy	05/22/20	J		
56. DUK					Buy (add'l)	06/10/20	J		
57. DUK	A	Dividend	K	T	Buy (add'l)	09/10/20	J		
58. ENB	A	Dividend	K	T					
59. FB		None	K	T	Buy (add'l)	03/13/20	J		
60. KKR	A	Dividend	L	T	Buy (add'l)	02/27/20	J		
61. MCD	A	Dividend	J	T	Buy	03/26/20	J		
62. PPT	A	Dividend	J	T					
63. SO	A	Dividend	K	T	Buy (add'l)	03/16/20	J		
64. TWTR		None			Buy	06/02/20	J		
65. TWTR		None	K	T	Buy (add'l)	07/27/20	J		
66. UNH		None	K	T	Buy (add'l)	02/24/20	J		
67. UNH	A	Dividend			Buy (add'l)	03/16/20	J		
68. DIS	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. CLNY+E	A	Dividend							
70. NTDOY					Buy	08/11/20	J		
71. NTDOY					Buy (add'l)	08/12/20	J		
72. NTDOY					Buy (add'l)	10/27/20	K		
73. NTDOY					Buy (add'l)	11/10/20	J		
74. NTDOY	A	Dividend	K	T	Buy (add'l)	11/11/20	J		
75. 451102BF3 ICAHN ENTERPRIS 5.875%22	A	Interest			Redeemed	02/01/20	K		
76. 451102BQ9 ICAHN ENTERPRIS 6.375%25	A	Interest	J	T	Buy	05/04/20	J		
77. 87264AAM7 T-MOBILE USA, INC. 6%23	A	Interest	J	T	Buy	05/01/20	J		
78. 87264AAM7 T-MOBILE USA, INC. 6%23					Buy (add'l)	05/08/20	J		
79. 24702RAQ4 DELL TECHNOLOGI 4.625%21		None			Sold	02/27/20	J	A	
80. 268648AQ5 DELL EMC 2.65%20		None			Sold	02/25/20	J	A	
81. FCAU		None			Sold	03/05/20	J		
82. LENB	A	Dividend			Sold	03/17/20	J		
83. SRC	A	Dividend							
84. UTX	A	Dividend							
85. WFC+V					Buy	01/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. WFC+V	A	Dividend			Sold	11/11/20	J		
87. NFCU Share Account	A	Interest	M	T					
88. Suntrust Bank Accounts	A	Interest	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lacey A. Collier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544