

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Christel, David W.	2. Court or Organization U.S. District Court, Western District of Washington	3. Date of Report 02/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1717 Pacific Avenue Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Manager/Member	Double Cut Management, LLC
2. Manager/Member	Kick Point, LLC
3. Manager/Member	Pelican Bay Management, LLC
4. Manager/Member	Topspin Properties, LLC
5. Member	MAJ Airport, LLC
6. Attorney in Fact	POA #1
7. Manager/Member	Inward9 Properties, LLC
8. Manager/Member	Double Green Properties, LLC
9. Member	MAJ Fife, LLC
10. Manager/Member	Pin High NW, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Umpqua Bank	Financing on commercial property, Sunnybrook, OR	P2
2. First Republic Bank	Financing on MAJ Airport, LLC, Portland, OR	P1
3. First Republic Bank	Financing on commercial property, Stockton, CA (Topspin, Properties, LLC)	None
4. First Republic Bank	Financing on commercial property, Vancouver, WA (Pin High NW, LLC)	P2
5. First Republic Bank	Financing on commercial property, Puyallup, WA (Inward9 Properties, LLC)	P1
6. First Republic Bank	Financing on commercial property, Fife, WA (MAJ Fife, LLC)	None

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Chase (cash)	A	Interest	O	T					
3. Columbia Community Credit Union (cash)	A	Interest	J	T					
4. IQ Credit Union (cash)	A	Interest	K	T					
5. Rental Property, Clackamas, OR (Double Cut Mgmt, LLC)	D	Rent	N	W					
6. Rental Property, Clackamas, OR (Pelican Bay Mgmt, LLC)	D	Rent	L	W					
7. Rental Property, Portland, OR (MAJ Airport, LLC)	D	Rent	M	W					
8. Investment Property, Stockton, CA (Topspin Properties)		None	N	W					
9. Rental Property, Brooklyn, NY (Kick Point, LLC)	E	Rent	P1	W					
10. MAJ Fife, LLC		None	O	W					
11. Rental Property, Vancouver, WA (Double Green Properties, LLC)	D	Rent	N	W					
12. MAJ University Place Apartments LLC (Pin High NW, LLC)		None	O	W					
13. Account #1 (H)									
14. Morgan Stanley Bank Account (cash)	A	Interest	J	T					
15. Invesco QQQ TR (QQQ)	A	Dividend	L	T	Sold (part)	07/01/20	J	C	
16.					Sold (part)	08/07/20	J	B	
17. Invesco S&P 500 Equal Weight E (RSP)	A	Dividend	K	T	Buy	11/18/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ishares 3-7 Yr Treasury Bd ETF (IEI)	A	Dividend			Buy	03/05/20	K		
19.					Sold (part)	03/30/20	J	A	
20.					Sold	04/14/20	K	A	
21. Ishares Edge MSCI US Qlty FAC (QUAL)	B	Dividend	L	T	Buy (add'l)	01/16/20	K		
22.					Buy (add'l)	02/24/20	J		
23.					Buy (add'l)	03/31/20	J		
24.					Sold (part)	07/01/20	J	A	
25.					Sold (part)	12/30/20	J	A	
26. Proshares Large Cap Core Plus (CSM)	B	Dividend	L	T	Sold (part)	01/15/20	J	C	
27.					Sold (part)	01/16/20	K	E	
28.					Sold (part)	06/30/20	J	B	
29.					Sold (part)	08/12/20	J	A	
30.					Sold (part)	10/01/20	J	C	
31. SPDR S&P 500 ETF Trust (SPY)	C	Dividend	M	T	Sold (part)	01/28/20	J	C	
32.					Buy (add'l)	03/26/20	J		
33.					Sold (part)	07/01/20	J	B	
34.					Sold (part)	08/12/20	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. Vanguard Dividend Appreciation (VIG)	B	Dividend	L	T	Buy (add'l)	03/18/20	J		
36.					Buy (add'l)	03/19/20	K		
37.					Sold (part)	05/20/20	J		
38.					Sold (part)	12/30/20	J	A	
39. AQR LG Cap Defnsve Style Inst (AUEIX)	B	Dividend	M	T	Sold (part)	01/15/20	J	A	
40.					Sold (part)	11/18/20	J	B	
41. Blackrock Health Science Opp I (SHSSX)		None			Buy	04/23/20	K		
42.					Sold	11/18/20	K	C	
43. Blackrock Hi Yield Bd PTF Inst (BHYIX)	A	Dividend	K	T	Buy	04/14/20	K		
44.					Buy (add'l)	11/16/20	J		
45. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	L	T	Buy (add'l)	08/12/20	J		
46. Doubleline Shiller Enh Cape I (DSEEX)	A	Dividend			Sold (part)	01/15/20	J	A	
47.					Sold (part)	03/19/20	K		
48.					Sold (part)	04/23/20	K		
49.					Sold	06/24/20	K	B	
50. Fidelity Adv Total Bond I (FEPIX)	C	Dividend	L	T	Sold (part)	03/24/20	J		
51.					Buy (add'l)	08/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. JPMorgan Emerging Markets Eq I (JEMSX)		None			Buy	01/16/20	K		
53.					Sold	04/23/20	K		
54. PGIM Short Term Corp Bd Z (PIFZX)	B	Dividend	L	T	Sold (part)	03/24/20	J		
55.					Sold (part)	04/07/20	K		
56.					Sold (part)	05/20/20	J		
57.					Buy (add'l)	11/16/20	J		
58. PGIM Short Duration High Yield Inc Z (HYSZX)	B	Dividend	K	T	Buy	04/07/20	K		
59.					Buy (add'l)	05/20/20	J		
60.					Buy (add'l)	11/16/20	J		
61. Pimco Income (PONPX)	C	Dividend	L	T	Buy (add'l)	08/12/20	K		
62. Pimco Invest Grade Cred Bd I2 (PBDPX)	B	Dividend	K	T	Buy	02/24/20	K		
63.					Buy (add'l)	03/05/20	K		
64.					Buy (add'l)	03/30/20	J		
65.					Buy (add'l)	03/31/20	J		
66.					Sold (part)	12/03/20	K	A	
67. Pimco Low Duration Inc 12 (PFTPX)	A	Dividend			Sold	03/05/20	L		
68. Pimco Mortgage Opp & Bd I2 (PMZPX)	A	Dividend	K	T	Buy	12/03/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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69. Pimco Short Term I-2 (PTSPX)	A	Dividend			Sold (part)	01/15/20	K		
70.					Sold	02/24/20	K		
71. T Rowe Price Mid Cap Gr (RPMGX)	C	Dividend	L	T	Buy (add'l)	04/09/20	J		
72.					Sold (part)	08/11/20	J	A	
73. Virtus Kar Small-Cap Growth I (PXSGX)	C	Dividend	K	T	Buy	04/23/20	K		
74.					Buy (add'l)	05/20/20	J		
75. WCM Focused Intl Gwth Inst (WCMIX)	A	Dividend	K	T	Buy	06/24/20	K		
76.					Buy (add'l)	10/01/20	J		
77. Account #2 (H)									
78. Morgan Stanley Bank Account (cash)	A	Dividend	J	T					
79. Invesco QQQ TR (QQQ)	A	Dividend	K	T	Sold (part)	07/24/20	J	B	
80. Invesco S&P 500 Equal Weight E (RSP)		None	J	T	Buy	11/18/20	J		
81. Ishares 3-7 Yr Treasury Bd ETF (IEI)	A	Dividend			Buy	03/05/20	J		
82.					Sold	04/14/20	J	A	
83. Ishares Edge MSCI US Qlty FAC (QUAL)	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
84.					Buy (add'l)	03/31/20	J		
85. Proshares Large Cap Core Plus (CSM)	A	Dividend	K	T	Sold (part)	01/15/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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86.					Sold (part)	01/16/20	J	B	
87.					Sold (part)	10/01/20	J	B	
88. SPDR S&P 500 ETF Trust (SPY)	A	Dividend	L	T	Sold (part)	01/28/20	J	A	
89.					Buy (add'l)	03/26/20	J		
90.					Sold (part)	12/30/20	J	A	
91. Vanguard Dividend Appreciation (VIG)	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
92.					Sold (part)	05/20/20	J		
93. AQR LG Cap Defnsve Style Inst (AUEIX)	A	Dividend	K	T	Sold (part)	11/18/20	J	A	
94. Blackrock Health Science Opp I (SHSSX)		None			Buy	04/23/20	J		
95.					Sold	11/18/20	J	A	
96. Blackrock Hi Yield Bd PTF Inst (BHYIX)	A	Dividend	J	T	Buy	04/14/20	J		
97. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	J	T					
98. Doubleline Shiller Enh Cape I (DSEEX)	A	Dividend			Sold (part)	03/19/20	J		
99.					Sold (part)	04/23/20	J		
100.					Sold	06/24/20	J	A	
101. Fidelity Adv Total Bond I (FEPIX)	B	Dividend	K	T	Sold (part)	03/24/20	J		
102. JPMorgan Emerging Markets Eq I (JEMSX)		None			Buy	01/16/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103.					Sold	04/23/20	J		
104. PGIM Short Term Corp Bd Z (PIFZX)	A	Dividend	J	T	Sold (part)	03/24/20	J		
105.					Sold (part)	04/07/20	J		
106.					Sold (part)	05/20/20	J		
107.					Buy (add'l)	08/12/20	J		
108. PGIM Short Duration High Yield Inc Z (HYSZX)	A	Dividend	J	T	Buy	04/07/20	J		
109.					Buy (add'l)	05/20/20	J		
110. Pimco Income (PONPX)	B	Dividend	K	T					
111. Pimco Invest Grade Cred Bd I2 (PBDPX)	A	Dividend	J	T	Buy	02/24/20	J		
112.					Buy (add'l)	03/05/20	J		
113.					Buy (add'l)	03/30/20	J		
114.					Buy (add'l)	03/31/20	J		
115.					Sold (part)	12/03/20	J	A	
116. Pimco Low Duration Inc 12 (PFTPX)	A	Dividend			Sold	03/05/20	J		
117. Pimco Mortgage Opp & Bd I2 (PMZPX)	A	Dividend	J	T	Buy	12/03/20	J		
118. Pimco Short Term I-2 (PTSPX)	A	Dividend			Sold (part)	01/15/20	J		
119.					Sold	02/24/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. T Rowe Price Mid Cap Gr (RPMGX)	A	Dividend	K	T	Sold (part)	08/11/20	J	A	
121. Virtus Kar Small-Cap Growth I (PXSGX)	B	Dividend	J	T	Buy	04/23/20	J		
122.					Buy (add'l)	05/20/20	J		
123. WCM Focused Intl Gwth Inst (WCMIX)	A	Dividend	J	T	Buy	06/24/20	J		
124.					Buy (add'l)	10/01/20	J		
125. Account #3 (H)									
126. Invesco QQQ TR (QQQ)	A	Dividend	J	T					
127. Ishares Edge MSCI US Qlty Fac (QUAL)	A	Dividend	J	T					
128. Proshares Large Cap Core Plus (CSM)	A	Dividend	J	T					
129. SPDR S&P 500 ETF Trust (SPY)	A	Dividend	J	T					
130. Vanguard Dividend Appreciation (VIG)	A	Dividend	J	T					
131. AQR Lg Cap Defnsve Style Inst (AUEIX)	A	Dividend	J	T					
132. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	J	T					
133. Doubleline Shiller Enh Cape I (DSEEX)	A	Dividend	J	T					
134. Fidelity Adv Total Bond I (FEPIX)	A	Dividend	J	T					
135. PGIM Short Term Corp Bd Z (PIFZX)	A	Dividend	J	T					
136. Pimco Income (PONPX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Pimco Low Duration Inc 12 (PFTPX)	A	Dividend	J	T					
138. Pimco Short Term I-2 (PTSPX)	A	Dividend	J	T					
139. T Rowe Price Mid Cap Gr (RPMGX)	A	Dividend	J	T					
140. Account #4 (H)									
141. Morgan Stanley Bank Account (cash)	A	Interest	J	T					
142. Abbott Laboratories (ABT)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
143.					Buy (add'l)	04/14/20	J		
144.					Buy (add'l)	06/11/20	J		
145. Activision Blizzard Inc (ATVI)		None	J	T	Buy	06/10/20	J		
146. Adobe Inc (ADBE)		None	K	T	Buy (add'l)	01/06/20	J		
147.					Buy (add'l)	01/17/20	J		
148.					Buy (add'l)	02/24/20	J		
149.					Sold (part)	09/11/20	J	B	
150.					Buy (add'l)	12/07/20	J		
151. Ally Financial Inc (ALLY)		None	J	T	Buy	12/02/20	J		
152. Alphabet Inc Cl A (GOOGL)		None	K	T					
153. Amazon Com (AMZN)		None	L	T	Buy (add'l)	01/06/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/08/20	J		
155.					Buy (add'l)	12/03/20	J		
156.					Buy (add'l)	12/07/20	J		
157. American Express Co (AXP)	A	Dividend	K	T	Buy (add'l)	01/13/20	J		
158.					Buy (add'l)	03/26/20	J		
159.					Buy (add'l)	04/09/20	J		
160.					Buy (add'l)	05/14/20	J		
161.					Sold (part)	11/09/20	J	A	
162. Apple (AAPL)	A	Dividend	L	T	Buy (add'l)	02/28/20	J		
163.					Sold (part)	07/01/20	J	C	
164. AT&T Inc (ATT)	A	Dividend			Sold (part)	01/15/20	J	A	
165.					Sold	03/20/20	K		
166. Blackrock (BLK)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
167. Boeing (BA)	A	Dividend			Buy (add'l)	01/13/20	J		
168.					Buy (add'l)	06/11/20	J		
169.					Sold	09/10/20	J		
170. Caterpillar Inc (CAT)	A	Dividend			Buy (add'l)	01/06/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold	01/28/20	J		
172. Chevron (CVX)	B	Dividend			Buy (add'l)	04/09/20	J		
173.					Buy (add'l)	05/26/20	J		
174.					Sold	11/18/20	K		
175. Chubb (CB)	A	Dividend			Sold	03/26/20	J	A	
176. Cisco Sys Inc (CSCO)	A	Dividend			Sold	02/24/20	J	A	
177. Comcast Corp (New) Class A (CMCSA)	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
178.					Buy (add'l)	07/01/20	J		
179. Deere & Co (DE)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
180.					Buy (add'l)	04/09/20	J		
181.					Buy (add'l)	05/08/20	J		
182.					Sold (part)	12/30/20	J	C	
183. Discover Fincl Svcs (DFS)		None	J	T	Buy	12/02/20	J		
184. Edward Lifesciences (EW)		None	K	T	Buy (add'l)	01/06/20	J		
185.					Buy (add'l)	06/11/20	J		
186. Estee Lauder Co Inc Cl A (EL)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
187.					Sold (part)	04/15/20	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Facebook Inc Cl-A (FB)		None	K	T	Buy (add'l)	05/08/20	J		
189. General Electric Co (GE)	A	Dividend			Buy	03/02/20	J		
190.					Sold	08/26/20	J		
191. Honeywell International (HON)	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
192.					Sold (part)	04/15/20	J	D	
193. Int Business Machines Corp (IBM)		None			Sold	01/17/20	J		
194. Invesco S&P 500 Low Volatility (SPLV)		None			Sold	01/13/20	K	B	
195. Johnson & Johnson (JNJ)	A	Dividend	K	T	Buy	07/21/20	J		
196.					Buy (add'l)	08/28/20	J		
197. JPMorgan Chase (JPM)	A	Dividend	K	T	Buy (add'l)	03/05/20	J		
198.					Buy (add'l)	04/09/20	J		
199.					Sold (part)	12/02/20	J	C	
200. Knight-Swift Transn Hldgs Cl A (KNX)	A	Dividend	J	T	Buy	07/21/20	J		
201.					Buy (add'l)	09/09/20	J		
202. Linde PLC (LIN)	A	Dividend	J	T	Buy	08/26/20	J		
203. Micron Tech Inc (MU)		None	K	T	Buy	02/24/20	J		
204.					Buy (add'l)	10/20/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	12/02/20	J		
206. Microsoft (MSFT)	A	Dividend	L	T	Buy (add'l)	05/08/20	J		
207.					Buy (add'l)	12/07/20	J		
208. Nextera Energy (NEE)	A	Dividend	J	T	Sold (part)	04/15/20	J	C	
209.					Sold (part)	12/02/20	J	D	
210. Nike (NKE)	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
211. Palo Alto Networks (PANW)		None	K	T	Buy (add'l)	02/24/20	J		
212.					Buy (add'l)	05/08/20	J		
213.					Sold (part)	12/30/20	J	A	
214. Paypal Holdings Inc Com (PYPL)		None	K	T	Buy	01/08/20	J		
215.					Buy (add'l)	01/17/20	J		
216.					Buy (add'l)	02/24/20	J		
217.					Sold (part)	09/11/20	J	B	
218. Pepsico (PEP)	A	Dividend	K	T	Buy (add'l)	05/08/20	J		
219.					Sold (part)	05/26/20	J	A	
220. Proctor & Gamble (PG)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
221. Raytheon Technologies (RTX) (was Raytheon (RTN))	A	Dividend	K	T	Buy (add'l)	03/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	04/09/20	J		
223.					Buy (add'l)	06/11/20	J		
224. Ross Stores (ROST)	A	Dividend	K	T	Sold (part)	01/28/20	J	A	
225.					Buy (add'l)	03/26/20	J		
226.					Buy (add'l)	04/09/20	J		
227. S&P Global Inc Com (SPGI)	A	Dividend	K	T	Buy	03/26/20	J		
228.					Buy (add'l)	05/08/20	J		
229. Salesforce.com Inc. (CRM)		None	K	T	Buy (add'l)	01/06/20	J		
230. Sherwin Williams Company Ohio (SHW)	A	Dividend	K	T	Buy	04/15/20	J		
231.					Buy (add'l)	05/20/20	J		
232.					Buy (add'l)	06/11/20	J		
233. Southwest Airlines (LUV)		None	J	T	Buy	09/09/20	J		
234.					Buy (add'l)	12/02/20	J		
235. Starbucks Corp Washington (SBUX)	A	Dividend	J	T	Buy	03/26/20	J		
236.					Buy (add'l)	04/28/20	J		
237. Thermo Fisher Scientific (TMO)	A	Dividend	K	T	Buy (add'l)	05/08/20	J		
238.					Sold (part)	09/04/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	12/03/20	J		
240. Uber Technologies Inc (UBER)		None	J	T					
241. United Health GP (UNH)	A	Dividend	K	T	Buy (add'l)	12/03/20	J		
242.					Buy (add'l)	12/07/20	J		
243. Valero Energy CP Dela New (VLO)	A	Dividend			Buy (add'l)	01/13/20	J		
244.					Buy (add'l)	05/26/20	J		
245.					Buy (add'l)	06/11/20	J		
246.					Sold (part)	09/15/20	J		
247.					Sold	10/05/20	J		
248. Verizon Communications (VZ)	A	Dividend	K	T	Buy	03/20/20	J		
249.					Buy (add'l)	04/09/20	J		
250.					Buy (add'l)	05/08/20	J		
251. Visa Inc Cl A (V)	A	Dividend	L	T	Buy (add'l)	05/08/20	J		
252.					Buy (add'l)	12/07/20	J		
253. Voya Finl (VOYA)	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
254.					Sold (part)	12/02/20	J	C	
255. Walmart Inc (WMT)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Christel, David W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Walt Disney Co Holding (DIS)	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
257.					Sold (part)	11/09/20	J	B	
258. Health Care Sel Sect SPDR Fd (XLV)	A	Dividend			Sold	05/26/20	K	B	
259. Invesco QQQ TR (QQQ)	A	Dividend	K	T	Sold (part)	08/28/20	J	C	
260. Invesco S&P 500 Equal Weight E (RSP)	A	Dividend	K	T	Buy	11/13/20	K		
261. Ishares Edge MSCI US Quality Factor (QUAL)	A	Dividend			Buy	01/13/20	K		
262.					Buy (add'l)	04/09/20	J		
263.					Sold (part)	08/28/20	J	A	
264.					Sold	11/13/20	K	C	
265. Vanguard Energy ETD (VDE)	A	Dividend	K	T	Buy	11/19/20	K		
266. Vanguard Small Cap ETF (VB)	A	Dividend	K	T	Buy	05/26/20	K		
267.					Buy (add'l)	10/05/20	J		
268. Invesco Prem US Govt Mny Inst (IUGXX) (cash)	A	Dividend			Sold	05/26/20	K		
269. Account #5 (H)									
270. Morgan Stanley Bank Account (cash)	A	Interest	J	T					
271. Invesco QQQ TR (QQQ)	A	Dividend	K	T	Sold (part)	07/01/20	J	B	
272.					Sold (part)	08/07/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Christel, David W.

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02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Invesco S&P 500 Equal Weight E (RSP)	A	Dividend	J	T	Buy	11/18/20	J		
274. Ishares 3-7 Yr Treasury Bd ETF (IEI)	A	Dividend			Buy	03/05/20	J		
275.					Sold (part)	03/30/20	J	A	
276.					Sold	04/14/20	J	A	
277. Ishares Edge MSCI US Qlty FAC (QUAL)	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
278.					Buy (add'l)	03/31/20	J		
279.					Sold (part)	12/30/20	J	A	
280. Proshares Large Cap Core Plus (CSM)	A	Dividend	K	T	Sold (part)	01/15/20	J	A	
281.					Sold (part)	01/16/20	J	C	
282.					Sold (part)	10/01/20	J	B	
283. SPDR S&P 500 ETF Trust (SPY)	B	Dividend	L	T	Sold (part)	01/28/20	J	B	
284.					Buy (add'l)	03/26/20	J		
285.					Sold (part)	07/01/20	J	A	
286.					Sold (part)	12/30/20	J	B	
287. Vanguard Dividend Appreciation (VIG)	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
288.					Sold (part)	05/20/20	J		
289. AQR LG Cap Defnsve Style Inst (AUEIX)	A	Dividend	K	T	Sold (part)	11/18/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Blackrock Health Science Opp I (SHSSX)		None			Buy	04/23/20	J		
291.					Sold	11/18/20	J	A	
292. Blackrock Hi Yield Bd PTF Inst (BHYIX)	A	Dividend	J	T	Buy	04/14/20	J		
293. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	K	T					
294. Calvert Emerging Markets Eq I (CVMIX) (Y)									
295. Doubleline Shiller Enh Cape I (DSEEX)	A	Dividend			Sold (part)	01/15/20	J	A	
296.					Sold (part)	03/19/20	J		
297.					Sold (part)	04/23/20	J		
298.					Sold	06/24/20	J		
299. Fidelity Adv Total Bond I (FEPIX)	B	Dividend	K	T	Sold (part)	03/24/20	J		
300. JPMorgan Emerging Markets Eq I (JEMSX)		None			Buy	01/16/20	J		
301.					Sold	04/23/20	J		
302. PGIM Short Term Corp Bd Z (PIFZX)	A	Dividend	J	T	Sold (part)	03/24/20	J		
303.					Sold (part)	04/07/20	J		
304.					Sold (part)	05/20/20	J		
305. PGIM Short Duration High Yield Inc Z (HYSZX)	A	Dividend	J	T	Buy	04/07/20	J		
306.					Buy (add'l)	05/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Christel, David W.

Date of Report

02/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Pimco Income (PONPX)	B	Dividend	K	T					
308. Pimco Invest Grade Cred Bd I2 (PBDPX)	A	Dividend	J	T	Buy	02/24/20	J		
309.					Buy (add'l)	03/05/20	J		
310.					Buy (add'l)	03/30/20	J		
311.					Buy (add'l)	03/31/20	J		
312.					Sold (part)	12/03/20	J	A	
313. Pimco Low Duration Inc 12 (PFTPX)	A	Dividend			Sold	03/05/20	K		
314. Pimco Mortgage Opp & Bd I2 (PMZPX)	A	Dividend	J	T	Buy	12/03/20	J		
315. Pimco Short Term I-2 (PTSPX)	A	Dividend			Sold (part)	01/15/20	J		
316.					Sold	02/24/20	J		
317. T Rowe Price Mid Cap Gr (RPMGX)	B	Dividend	K	T	Sold (part)	08/11/20	J	A	
318. Virtus Kar Small-Cap Growth I (PXSGX)	B	Dividend	K	T	Buy	04/23/20	J		
319.					Buy (add'l)	05/20/20	J		
320. WCM Focused Intl Gwth Inst (WCMIX)	A	Dividend	J	T	Buy	06/24/20	J		
321.					Buy (add'l)	10/01/20	J		
322. Account #6 (H)									
323. Morgan Stanley Bank Account (cash)	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. MSBNA Preferred Savings (cash)	B	Interest	M	T	Open	01/02/20	M		
325. Blackstone Group (BX)	A	Dividend	J	T					
326. Energy Transfer Partners LP (ET)	A	Dividend			Sold	11/10/20	J		
327. Enterprise Prod Partners LP (EPD)	A	Dividend	J	T					
328. Morgan Stanley (MS)	A	Dividend	K	T	Buy	04/02/20	J		
329.					Buy (add'l)	04/23/20	J		
330.					Sold (part)	12/04/20	J	C	
331. Twitter Inc (TWTR) (X)		None	J	T					
332. MS 8.15% CNTG Coupon Note Linked to S&P 500 Rate	B	Interest	K	T					
333. Invesco Prem US Govt Mny Inst (IUGXX) (cash) (Y)									
334. Templeton Global Total Ret A (TGTRX) (was C (TTRCX))	A	Dividend	J	T					
335. Gold Bar Ten Troy Ounce		None	M	T	Buy	07/09/20	L		
336.					Buy (add'l)	09/17/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Christel, David W.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI: Value codes are based upon gross value, although the filer owns only a fractional share of the underlying assets.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Christel, David W.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. Christel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544