

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trustee Account #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Butler Family Fuller Lake, LLC-K1 Royalties
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. PenFed Credit Union (X)	Mortgage secured by personal real estate, Scranton, PA (Prop sold January 2020)	None
2. FNB Bank (X)	Mortgage secured by personal real estate, Harrisburg, PA (Prop Sold Oct 2020)	None
3. First National Bank (X)	Mortgage secured by personal/rental real estate, Lewes, DE (Prop Purch April 2019)	O
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. First National Bank cash accounts (formerly referred to as FNB)	A	Interest	M	T					
2. Fidelity-Core Cash Acct X	A	Interest	J	T					
3. PenFEd Credit Union	A	Interest	K	T	Open	01/01/20	J		
4. RENTAL PROPERTY, Lewes, DE (Purch \$775,00)	E	Rent	O	R					
5. DowDuPont Inc. common stock	A	Dividend	J	T					
6.									
7. Charles Schwab Investment Portfolio #1(H)									
8. - Schwab Value Advantage Money Fund	A	Dividend	J	T					
9.									
10. Charles Schwab Investment Portfolio #2(H)									
11. - Schwab Value Advantage Money Fund	A	Dividend	J	T	Distributed (part)	01/02/20	L		
12.									
13. TRUSTEE ACCOUNT #1 (H)									
14. - Schwab One money market account	A	Interest	L	T					
15. - US Treasury Bill	A	Interest			Matured	01/15/20	L		
16. - US Treasury Bill	A	Interest			Matured	03/31/20	L		
17. - US Treasury Bill	A	Interest	K	T	Buy	03/31/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Berkshire Hathaway Class B common stock		None	J	T					
19. - Alphabet Inc Class C common stock		None			Sold	10/20/20	J	A	
20. -Amazon Inc Common		None	J	T	Buy	8/31/20	J		
21. -Microsoft Inc Common	A	Dividend	J	T	Buy	04/02/20	J		
22. -Ark Autonomous Tech	A	Dividend	J	T	Buy	12/17/20	J		
23. -Ark Genomic Revolution ETF	A	Dividend	J	T	Buy	8/18/20	J		
24. - Vanguard Dividend Growth Fund Investor shares	A	Dividend	K	T	Buy (add'l)	10/20/20	K		
25. -Communcation Svs Slct SPDR EFT	A	Dividend	J	T	Buy	4/24/20	J		
26.					Buy (add'l)	10/20/20	J		
27.					Sold (part)	11/02/20	J	A	
28. -First Trust NASDAQ	A	Dividend	J	T	Buy	12/17/20	J		
29. -Invesco Solar ETF	A	Dividend	K	T	Buy	08/18/20	K		
30. -iShares Global Clean	A	Dividend	K	T	Buy	12/17/20	K		
31. - iShares US Healthcare ETF	A	Dividend	J	T	Sold (part)	03/13/20	J	A	
32. - iShares US Medical Devices ETF	A	Dividend	J	T	Sold (part)	02/27/20	J	A	
33. - SPDR Gold Shares	A	Dividend	J	T	Sold (part)	11/25/20	K	D	
34. -US Global Jets ETF	A	Dividend	J	T	Buy	12/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - IShares MSCI Brazil ETF					Sold	01/30/20	J		
36. -Vanguard Hi Divd Yield ETF					Sold	02/27/20	J	A	
37. -Vanguard Divd Apprec EFT	A	Dividend	K	T	Buy	12/07/20	K		
38. -Western Asset Core Bond	A	Dividend	K	T	Buy	06/30/20	K		
39.					Buy (add'l)	10/20/20	K		
40.					Sold (part)	12/17/20	L	A	
41.									
42. CHARLES SCHWAB IRA #1 (H)									
43. - Charles Schwab Bank Sweep insured deposit account	A	Interest	J	T					
44.									
45. CHARLES SCHWAB IRA #2 (H)									
46. - Schwab Value Advantage Money Fund	A	Dividend	J	T	Distributed (part)	12/31/20	M		
47.									
48. FIDELITY IRA ACCOUNT #2 (H)									
49. - Fidelity Extended Market Index Investor Fund (X)	A	Dividend	J	T					
50. - Fidelity Select Biotechnology Fund (X)	A	Dividend			Redeemed	06/29/20	J		
51. - Fidelity NASDAQ Composite Index Fund (X)	A	Dividend	J	T	Buy	06/30/20	J		

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52.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts, Line 4 - Rental Property was purchased in Lewes, DE on April 18, 2019 for a total contract price of \$775,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher C. Conner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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