

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, Sr., Stephen R.	2. Court or Organization United States District Court-Eastern District of Missouri	3. Date of Report 05/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 111 S. 10th Street Room 14.150 Saint Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Sole member	Clark Law Firm, LLC d/b/a RUNNYMEDE law group
2. Member, (share held under Trust Agreement #1)	
3. Shareholder (share held under Trust Agreement#1)	
4. Trustee	Trust Agreement #1
5. Advisor	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 05/22/2019	Stephen R. Clark, Sr. and RUNNYMEDE law group agreee that RUNNYMEDE law group will pay Stephen R. Clark, Sr.all net income of the firm.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	George Mason University Antonin Scalia Law School - Law and Economics Center	September 27- October 2, 2020	Sage Lodge	Legal education.	Transportation, hotel, and meals.
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Chase Bank	credit card	J
2. Collector of Revenue	property tax bill	None
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. UMB Bank Personal Accounts	B	Interest	N	T					
2. HSA Optum Bank Account		None	K	T					
3. HSA Optum Investment Account (H)									
4. -American Funds Capital World (CWGFX)	A	Dividend			Sold	03/25/20	J		
5. -American Funds Cap World GR & Inc. R6 (RWIGX)	A	Dividend	J	T	Buy	03/25/20	J		
6. -BlackRock Equity Dividend K Fund (MKDVX)	A	Dividend	J	T					
7. -Goldman Sachs Gr & Inc. Strat (GOIAX)	A	Dividend	J	T					
8. -John Hancock Fundamental Large Cap Core Equity (TAGRX)					Sold (part)	03/25/20	J		
9.					Sold	03/25/20	J		
10. -John Hancock Fundamental Large Cap CORE (JLVIX)	A	Dividend	J	T	Buy	03/25/20	J		
11.					Buy (add'l)	03/25/20	J		
12. -John Hancock High Yield A (JHHBX)	A	Dividend	J	T					
13. -Keely Small Cap Div Value FD (KSDVX)	A	Dividend	J	T					
14. -Neuberger Berman Genesis Fund (NBGIX)	A	Dividend	J	T					
15. -PiMCo Real Return Fund (PCRAX)	A	Dividend			Sold	03/25/20	J		
16. PiMCo Real Return Fund Institutional Class (PRRIX)	A	Dividend	J	T	Buy	03/25/20	J		
17. -Vanguard 500 Index (VFIAX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Vanguard Global Equity Fund (VHGEX)	A	Dividend	J	T					
19. -Vanguard Treasury Money Market (VUSXX)	A	Dividend	J	T					
20. -Vanguard Wellington Fund (VWENX)	A	Dividend	J	T					
21. Trust #1 (H)									
22. -Shareholder, [REDACTED]		None	K	U					
23. Member, RUNNYMEDE law group	F	Distribution	J	U					
24. Trust #2 (H)									
25. -Microsoft Corp Stock (MSFT)	A	Dividend	J	T					
26. Trust #3 (H)									
27. -Microsoft Corp stock (MSFT)	C	Dividend	N	T					
28. -International Business Machines Corp (IBM)	A	Dividend	J	T					
29. -Fidelity Government MMKT Capital Reserves (FZAXX)	A	Dividend	L	T	Sold (part)	06/09/20	L		
30.					Sold (part)	12/15/20	J		
31. IRA #1 (H)									
32. -AMCAP Fund A (AMCPX)	D	Dividend	M	T					
33. -Capital Income Builder-A (CAIBX)	C	Dividend	M	T					
34. -Capital World Bond Fund-A (CWBFX)	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Capital World Growth and Income Fund-A (CWGIX)	B	Dividend	M	T					
36. -EuroPacific Growth Fund-A (AEGPX)	A	Dividend	M	T					
37. -Global Balanced Fund-A (GBLAX)	A	Dividend	L	T					
38. -The Growth Fund of America-A (AGTHX)	D	Dividend	M	T					
39. -The Investment Company of America-A (AIVSX)	C	Dividend	N	T					
40. -New World Fund-A (NEWFX)	A	Dividend	L	T					
41. -SMALLCAP World Fund-A (SMCWX)	B	Dividend	L	T					
42. -Washington Mutual Investors Fund-A (AWSHX)	C	Dividend	M	T					
43. ROTH IRA #1 (H)									
44. -AMCAP Fund A (AMCPX)	B	Dividend	L	T					
45. -Capital Income Builder-A (CAIBX)	A	Dividend	K	T					
46. -Capital World Bond Fund-A (CWBFX)	A	Dividend	J	T					
47. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	K	T					
48. -EuroPacific Growth Fund-A (AEGPX)	A	Dividend	K	T					
49. -Global Balanced Fund-A (GBLAX)	A	Dividend	K	T					
50. -The Growth Fund of America-A (AGTHX)	C	Dividend	L	T					
51. -The Investment Company of America-A (AIVSX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. -New World Fund-A (NEWFX)	A	Dividend	K	T					
53. -SMALLCAP World Fund-A (SMCWX)	B	Dividend	L	T					
54. -Washington Mutual Investors Fund-A (AWSHX)	A	Dividend	K	T					
55. ROTH IRA #2 (H)									
56. -Wasatch (WMICX)	D	Dividend	N	T					
57. ROTH IRA #3 (H)									
58. -AMCAP Fund A (AMCPX)	A	Dividend	K	T					
59. -Capital Income Builder-A (CAIBX)	A	Dividend	J	T					
60. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	K	T					
61. -EuroPacific Growth Fund-A (AEGPX)	A	Dividend	K	T					
62. -The Growth Fund of America-A (AGTHX)	B	Dividend	K	T					
63. -The Investment Company of America-A (AIVSX)	A	Dividend	K	T					
64. Mass Mutual Whole Life Policy	A	Dividend	L	T					
65. MOST 529 Individual College Savings Plan #1 (H)									
66. -Vanguard Interest Accumulation Portfolio		None	J	T	Distributed (part)	12/10/20	K	C	
67. MOST 529 Individual College Savings Plan #2 (H)									
68. -Vanguard Interest Accumulation Portfolio		None	J	T	Sold (part)	01/21/20	K	D	

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69.					Buy (add'l)	05/19/20	J		
70.					Sold (part)	07/30/20	K	D	
71.					Buy (add'l)	12/10/20	K		
72.					Sold (part)	12/14/20	K	D	
73. MOST 529 Individual College Savings Plan #3 (H)									
74. -Vanguard Interest Accumulation Portfolio			M	T	Sold (part)	02/20/20	J	C	
75.					Buy (add'l)	04/07/20	K		
76.					Sold (part)	07/30/20	J	C	
77.					Sold (part)	12/01/20	K	C	
78.					Sold (part)	12/15/20	J	B	
79. Private College 529 Savings Plan #1		None			Sold	04/07/20	K	B	
80. IRA #2 (H)									
81. -Amcap Fund A (AMCPX)	C	Dividend	L	T					
82. -Capital Income Builder-A (CAIBX)	B	Dividend	L	T					
83. -Capital World Bond Fund-A (CWBFX)	A	Dividend	K	T					
84. -Capital World Growth and Income Fund-A (CWGIX)	A	Dividend	L	T					
85. -EuroPacific Growth Fund-A (AEPGX)	A	Dividend	L	T					

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86. -Global Balanced Fund-A (GBLAX)	A	Dividend	K	T					
87. -The Growth Fund of America-A (AGTHX)	C	Dividend	L	T					
88. -The Investment Company of America-A (AIVSX)	B	Dividend	M	T					
89. -New World Fund-A (NEWFX)	A	Dividend	K	T					
90. -SMALLCAP World Fund-A (SMCWX)	A	Dividend	K	T					
91. -Washington Mutual Investors Fund-A (AWSHX)	B	Dividend	L	T					
92. ROTH IRA #4 (H)									
93. -Fidelity Govenment Market (SPAXX)	A	Interest	J	T	Buy	02/12/20	J		
94.					Buy (add'l)	12/17/20	J		
95.					Sold (part)	12/21/20	J		
96. Ishares S&P 500 (IVV)		None	J	T	Buy	12/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I: I am a member of [REDACTED] which is an entity that sold its only asset last year. The entity still exists, which is why I have listed it in Part 1.
2. Part I: I am no longer the trustee of Irrev. Trust #1 listed on my previous reports.
3. Part I: I am no longer the trustee of Irrev. Trust #2 listed on my previous reports.
4. Part VII, Lines 4-5, 8-11, and 15-16 represent an exchange of assets held in an HSA account made by the fund manager. The monthly statements do not report any realized gains on the transfers of these assets, and despite my diligent efforts to obtain further information, the fund manager did not provide further details.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen R. Clark, Sr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544