

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Coleman, Sharon J.	2. Court or Organization Northern District of Illinois	3. Date of Report 01/06/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 219 S. Dearborn Street-Chambers 1260 Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct professor	Northwestern University School of Law, Chicago, Illinois
2. Member	The Women's Board of University of Chicago
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Coleman, Sharon J.

Date of Report

01/06/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Northwestern University School of Law-teaching	\$2,500.00
2. 2020	State of Illinois Employee Pension Fund: Pension	\$18,200.00
3. 2020	Cook County Employee Retirement Fund: Pension	\$2,700.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Executive Consultants United, LLC/United Med. Dept.; Consulting Business
2. 2020	Blue Cross Blue Shield of Michigan
3. 2020	Coleman Brothers Barber Shop, LLC
4. 2020	Fidelity Pension

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT
Page 3 of 16

Name of Person Reporting	Date of Report
Coleman, Sharon J.	01/06/2022

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. PHH (transferred from Bank of America)	Rental Property-Mortgage #1, Chicago, IL (Pt. VII, Line 3)	M
2. Cardmember Card	Credit Card	K
3. SBA	Business Loan	J
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Citibank	A	Interest	L	T					
2. Chase	A	Interest	J	T					
3. Fidelity Treasury Money Market Fund	A	Dividend	J	T	Buy	02/28/20	J		
4.	A	Dividend	J	T	Buy	03/31/20	J		
5. First Eagle Global Class I		None	J	T	Buy	02/05/20	J		
6.	A	Dividend	J	T	Buy	12/01/20	J		
7.	A	Dividend	J	T	Buy	12/01/20	J		
8. FPA Crescent Fund		None	J	T	Buy	02/05/20	J		
9.	A	Dividend	J	T	Buy	06/29/20	J		
10.	A	Dividend	J	T	Buy	06/29/20	J		
11.	A	Dividend	J	T	Buy	12/18/20	J		
12.	A	Dividend	J	T	Buy	12/18/20	J		
13. Invesco Intl Small Mid Company Y		None	J	T	Sold (part)	02/04/20	J	A	
14. Loomis Sayles Global Allocation Class Y		None	J	T	Sold (part)	02/04/20	J	A	
15. Permanent Portfolio		None	J	T	Sold (part)	02/04/20	J	A	
16. Thornburg Global Oppt Fd Instl Cl		None	J	T	Sold (part)	02/04/20	J	A	
17. Berkshire Hathaway Inc Com USD0.0033 Class B		None	K	T	Buy	02/04/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.			K	T	Buy	04/06/20	J		
19.			K	T	Buy	05/04/20	J		
20. DFA International Core Equity		None	K	T	Buy	02/04/20	J		
21.	A	Dividend	K	T	Buy	03/30/20	J		
22.			K	T	Buy	04/06/20	J		
23.			K	T	Buy	05/04/20	J		
24.	A	Dividend	K	T	Buy	06/29/20	J		
25.	A	Dividend	K	T	Buy	09/29/20	J		
26.	A	Dividend	K	T	Buy	12/14/20	J		
27. Fidelity Treasury Money Market Fund	A	Dividend	K	T	Buy	01/31/20	J		
28.	A	Dividend	K	T	Buy	02/28/20	J		
29.	A	Dividend	K	T	Buy	03/31/20	J		
30.	A	Dividend	K	T	Buy	04/30/20	J		
31.	A	Dividend	K	T	Buy	05/29/20	J		
32.	A	Dividend	K	T	Buy	06/30/20	J		
33.	A	Dividend	K	T	Buy	07/31/20	J		
34.	A	Dividend	K	T	Buy	08/31/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	A	Dividend	K	T	Buy	09/30/20	J		
36.	A	Dividend	K	T	Buy	10/30/20	J		
37.	A	Dividend	K	T	Buy	11/30/20	J		
38.	A	Dividend	K	T	Buy	12/31/20	J		
39. First Eagle Global Class I		None	K	T	Sold (part)	02/04/20	K	A	
40.			K	T	Buy	03/25/20	J		
41.	A	Dividend	K	T	Buy	12/01/20	J		
42.	A	Dividend	K	T	Buy	12/01/20	J		
43. FPA Crescent Fund		None	K	T	Sold (part)	02/04/20	K	A	
44.	A	Dividend	K	T	Buy	06/29/20	J		
45.	A	Dividend	K	T	Buy	06/29/20	J		
46.	A	Dividend	K	T	Buy	12/18/20	J		
47.	A	Dividend	K	T	Buy	12/18/20	J		
48. FPA New Income		None	K	T	Buy	08/07/20	K		
49.	A	Dividend	K	T	Buy	08/28/20	J		
50.	A	Dividend	K	T	Buy	09/29/20	J		
51.	A	Dividend	K	T	Buy	10/30/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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52.	A	Dividend	K	T	Buy	11/30/20	J		
53.	A	Dividend	K	T	Buy	12/18/20	J		
54. Invesco Intl Small Mid Company Y		None	J	T	Sold (part)	02/04/20	J	A	
55. IShares Core S&P Small-Cap E		None	J	T	Buy	02/04/20	J		
56.	A	Dividend	J	T	Buy	03/31/20	J		
57.			J	T	Buy	04/06/20	J		
58.			J	T	Buy	05/04/20	J		
59.	A	Dividend	J	T	Buy	06/19/20	J		
60.	A	Dividend	J	T	Buy	09/29/20	J		
61.	A	Dividend	J	T	Buy	12/18/20	J		
62. IShares Inc Core MSCI Emerging Mkts ETF		None	J	T	Buy	02/04/20	J		
63.			J	T	Sold (part)	03/20/20	J	A	
64.			J	T	Buy	04/06/20	J		
65.			J	T	Buy	05/04/20	J		
66.	A	Dividend	J	T	Buy	06/19/20	J		
67.	A	Dividend	J	T	Buy	12/18/20	J		
68. IShares S&P 500		None	K	T	Buy	02/04/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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69.	A	Dividend	K	T	Buy	03/31/20	J		
70.			K	T	Buy	04/06/20	J		
71.			K	T	Buy	05/04/20	J		
72.	A	Dividend	K	T	Buy	06/19/20	J		
73.	A	Dividend	K	T	Buy	09/29/20	J		
74.	A	Dividend	K	T	Buy	12/18/20	J		
75. Loomis Sayles Global Allocation Class Y		None	J	T	Sold (part)	02/04/20	K	B	
76. Oakmark Equity and Income FD Instl		None	J	T	Buy	02/04/20	J		
77.			J	T	Buy	04/06/20	J		
78.			J	T	Buy	05/04/20	J		
79.	A	Dividend	J	T	Buy	12/10/20	J		
80.	A	Dividend	J	T	Buy	12/10/20	J		
81. Permanent Portfolio		None	J	T	Sold (part)	02/04/20	K	A	
82. T Rowe Price Capital Appreciation I Class		None	J	T	Buy	02/04/20	J		
83.			J	T	Buy	04/06/20	J		
84.			J	T	Buy	05/04/20	J		
85.	A	Dividend	J	T	Buy	12/14/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

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86.	A	Dividend	J	T	Buy	12/14/20	J		
87.	A	Dividend	J	T	Buy	12/14/20	J		
88. Thornburg Global Oppt Fd Instl CL		None	J	T	Sold (part)	02/04/20	K	C	
89. United States Treas Bills Zero CPN 0.000000% 08/06/2020		None	J	T	Buy	02/05/20	K		
90.			J	T	Redeemed (part)	08/06/20	K	A	
91. Vaneck Vectors ETF TR Morningstar Wide		None	J	T	Buy	02/04/20	J		
92.			J	T	Buy	04/06/20	J		
93.			J	T	Buy	05/04/20	J		
94.	A	Dividend	J	T	Buy	12/28/20	J		
95. Angel Oak Multi Strategy Inc Instl	A	Dividend	J	T	Buy	01/31/20	J		
96.	A	Dividend	J	T	Buy	02/04/20	J		
97.			J	T	Sold (part)	02/04/20	K	B	
98. Berhshire Hathaway Inc Com USD0.0033 Class B		None	L	T	Buy	02/04/20	L		
99. DFA International Core Equity		None	L	T	Buy	02/04/20	L		
100.	A	Dividend	L	T	Buy	03/30/20	L		
101.	A	Dividend	L	T	Buy	06/29/20	L		
102.	A	Dividend	L	T	Buy	09/29/20	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.	A	Dividend	L	T	Buy	12/14/20	L		
104. DWS Global High Income Fund-Inst	A	Dividend	J	T	Buy	01/27/20	J		
105.			J	T	Sold (part)	02/04/20	K	C	
106.	A	Dividend	J	T	Buy	02/24/20	J		
107. Fidelity Treasury Money Market Fund	A	Dividend	P1	T	Buy	01/31/20	J		
108.	B	Dividend	P1	T	Buy	02/28/20	J		
109.	A	Dividend	P1	T	Buy	03/31/20	J		
110.	A	Dividend	P1	T	Buy	04/30/20	J		
111.	A	Dividend	P1	T	Buy	05/29/20	J		
112.	A	Dividend	P1	T	Buy	06/30/20	J		
113.	A	Dividend	P1	T	Buy	07/31/20	J		
114.	A	Dividend	P1	T	Buy	08/31/20	J		
115.	A	Dividend	P1	T	Buy	09/30/20	J		
116.	A	Dividend	P1	T	Buy	10/30/20	J		
117.	A	Dividend	P1	T	Buy	11/30/20	J		
118.	A	Dividend	P1	T	Buy	12/31/20	J		
119. First Eagle Global Class I		None	M	T	Buy	03/25/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.	B	Dividend	M	T	Buy	12/01/20	K		
121.	B	Dividend	M	T	Buy	12/01/20	K		
122. FPA Crescent Fund	B	Dividend	L	T	Buy	06/29/20	L		
123.	A	Dividend	L	T	Buy	06/29/20	L		
124.	B	Dividend	L	T	Buy	12/18/20	L		
125.	A	Dividend	L	T	Buy	12/18/20	L		
126. FPA New Income		None	M	T	Buy	08/07/20	M		
127.	A	Dividend	M	T	Buy	08/28/20	M		
128.	A	Dividend	M	T	Buy	09/29/20	M		
129.	A	Dividend	M	T	Buy	10/30/20	M		
130.	A	Dividend	M	T	Buy	11/30/20	M		
131.	A	Dividend	M	T	Buy	12/18/20	M		
132. Invesco Developing Markets Y		None	J	T	Sold (part)	02/04/20	M	E	
133. Invesco Intl Small Mid Company Y		None	J	T	Sold (part)	02/04/20	K	D	
134. Invesco Senior Floating Rate Y	A	Dividend	J	T	Buy	01/31/20	J		
135.			J	T	Sold (part)	02/04/20	M	D	
136.	A	Dividend	J	T	Buy	02/28/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. IShares Core S&P Small-Cap E		None	K	T	Buy	02/04/20	J		
138.	A	Dividend	K	T	Buy	03/31/20	J		
139.	A	Dividend	K	T	Buy	06/19/20	J		
140.	A	Dividend	K	T	Buy	09/29/20	J		
141.	A	Dividend	K	T	Buy	12/18/20	J		
142. IShares Inc Core MSCI Emerging Mkts ETF		None	J	T	Buy	02/04/20	K		
143.			J	T	Sold (part)	03/20/20	K	D	
144. IShares Russell 1000 Value ETF		None	J	T	Sold (part)	02/04/20	N	F	
145. IShares Russell 2000 Value ETF		None	J	T	Sold (part)	02/04/20	M	E	
146. IShares S&P 500		None	M	T	Buy	02/04/20	L		
147.	A	Dividend	M	T	Buy	03/31/20	L		
148.	A	Dividend	M	T	Buy	06/19/20	L		
149.	A	Dividend	M	T	Buy	09/29/20	L		
150.	A	Dividend	M	T	Buy	12/18/20	L		
151. IShares TR RUS 1000 GRW ETF		None	J	T	Sold (part)	02/04/20	M	G	
152.			J	T	Sold (part)	02/04/20	L	E	
153. Loomis Sayles Global Allocation Class Y		None	J	T	Sold (part)	02/04/20	L	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Coleman, Sharon J.

Date of Report

01/06/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Loomis Sayles Strategic INC Y	A	Dividend	J	T	Buy	01/30/20	J		
155.			J	T	Sold (part)	02/04/20	K	D	
156. Lord Abbett Bond Debenture Fund CL F	A	Dividend	J	T	Buy	01/31/20	J		
157.			J	T	Sold (part)	02/04/20	K	D	
158.	A	Dividend	J	T	Buy	02/28/20	J		
159. Lord Abbett Short Duration Income CL F	A	Dividend	J	T	Buy	01/31/20	J		
160.			J	T	Sold (part)	02/04/20	M	A	
161.	A	Dividend	J	T	Buy	02/28/20	J		
162. Mainstay Mackay Shrt Duration High Yld I	A	Dividend	J	T	Buy	01/31/20	J		
163.			J	T	Sold (part)	02/04/20	K	A	
164. Oakmark Equity and Income FD Instl		None	K	T	Buy	02/04/20	K		
165.	A	Dividend	K	T	Buy	12/10/20	K		
166.	B	Dividend	K	T	Buy	12/10/20	K		
167. Oakmark Intl Advisor Fund		None	J	T	Sold (part)	02/04/20	M	B	
168. Pear Tree Polaris Frgn Val SM Cap Inst		None	J	T	Sold (part)	02/04/20	K	A	
169. Permanent Portfolio		None	J	T	Sold (part)	02/04/20	L	C	
170. PGIM Short-Term Corp Bond CL Z	A	Dividend	J	T	Buy	01/31/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Coleman, Sharon J.

Date of Report

01/06/2022

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.			J	T	Sold (part)	02/04/20	M	A	
172.	A	Dividend	J	T	Buy	02/28/20	J		
173. PIMCO Total Return Fund CL I2	A	Dividend	J	T	Buy	01/31/20	J		
174.			J	T	Sold (part)	02/04/20	K	A	
175.	A	Dividend	J	T	Buy	02/28/20	J		
176. T Rowe Price Capital Appreciation I Class		None	K	T	Buy	02/04/20	K		
177.	A	Dividend	K	T	Buy	12/14/20	K		
178.	B	Dividend	K	T	Buy	12/14/20	K		
179.	A	Dividend	K	T	Buy	12/14/20	K		
180. Templeton Global Bond Advisor Class		None	J	T	Sold (part)	02/04/20	L	D	
181. Thornburg Global Oppt Fd Instl Cl		None	J	T	Sold (part)	02/04/20	L	E	
182. United States Tres Bills Zero CPN 0.00000% 08/16/2020		None	J	T	Buy (add'l)	02/05/20	M		
183.			J	T	Redeemed (part)	08/06/20	M	A	
184. Vaneck Vectors ETF TR Morningstar Wide		None	K	T	Buy	02/04/20	K		
185.	A	Dividend	K	T	Buy	12/28/20	K		
186. Wisdomtree Emerging Markets SmallCap Dividend Fund		None	J	T	Sold (part)	02/04/20	K	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting	Date of Report
Coleman, Sharon J.	01/06/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Coleman, Sharon J.

Date of Report

01/06/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sharon J. Coleman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544