

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, Anne C.	2. Court or Organization Middle District of Florida	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Room 6750 401 W. Central Blvd Orlando, FL 32801-0675		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Board of Advisors	Center for Governmental Responsibility, University of Florida College of Law
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting Conway, Anne C.	Date of Report 05/10/2021
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smokey Partnership, LLLP, Ltd Ptnr	D	Interest	P1	U					
2. IRA #1 (H):									
3. -TDA FDIC Insured Dep Acct IDA12 (MMDA12)	A	Interest	N	T					
4. - Federated Prime Cash Obligations IS (PRCXX)	A	Interest	N	T					
5. - Umpqua Bank Roseburg OR CD	B	Interest			Buy	02/04/20	M		
6. - Umpqua Bank Roseburg OR CD (continuation of line 5)					Sold	08/21/20	M		
7. - Dodge & Cox Stock Fund (DODGX)	C	Dividend	L	T	Buy	02/27/20	K		
8. - Dodge & Cox Stock Fund (DODGX) (continuation of line 7)					Buy (add'l)	04/02/20	K		
9. - Ishares Edge MSCI Min Vol USA Fund (USMV)	B	Dividend	L	T	Buy	02/24/20	L		
10. - Ishares Edge MSCI Min Vol USA Fund (USMV) (continuation of line 9)					Buy (add'l)	04/02/20	K		
11. - Vanguard Dividend Growth (VDIGX)	B	Dividend	L	T	Buy	02/24/20	K		
12. - Ishares Russell 1000 Growth Fund (IWF)	A	Dividend	K	T	Buy	02/27/20	K		
13. Wells Fargo - Cash Checking acct	A	Interest	K	T					
14. Smokey Partnership, LLLP, Gen Ptr	A	Interest	K	U					
15. Wells Fargo - Cash Savings acct	A	Interest	L	T					
16. Ameritrade Brokerage acct (H):									
17. - Berkshire Hathaway Inc Del Class B (BRKB)		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Ishares Barclays US Aggregate Bond Fund (AGG)	C	Dividend	M	T					
19. - US Treas Sec Stripped Int Pmt 02/15/2021	B	Interest	L	T					
20. - US Treas Sec Stripped Int Pmt 02/15/2022	B	Interest	L	T					
21. - US Treas Sec Stripped Int Pmt 02/15/2023	B	Interest	L	T					
22. -DFA US Core Equity 2 I (DFQTX)	D	Dividend	N	T					
23. -DFA International Core Equity (DFIEX)	A	Dividend	K	T					
24. - Loomis Sayles Bond Fund Instl (LSBDX)	D	Dividend	M	T					
25. - Invesco Developing Markets Y (ODVYX)	A	Dividend	K	T					
26. - Pimco Emerging Local Bond Instl (PELBX)	B	Dividend	K	T	Buy (add'l)	10/30/20	J		
27. - Vanguard Int-Term T/E Bond Inv (VWITX)	D	Dividend	N	T	Buy (add'l)	11/02/20	J		
28. - TDA FDIC Insured Deposit Acct IDA12 (MMDA12)	A	Interest	M	T					
29. - Templeton Global Bond Fund (Y)									See Part VIII
30. Trust #1 (H):									
31. - Wells Fargo, common stock	A	Dividend	K	T					
32. - Hubbel, Inc. Class B common stock	D	Dividend	M	T					
33. - VF Corporation common stock	D	Dividend	M	T					
34. - Kontoor Brands, Inc common stock	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - Laurel Homes, Inc common stock		None	O	U					
36. - Arbor Properties, Inc common stock	F	Distribution			Closed	12/31/20	J		See Part VIII
37. - Laurel Agency, Inc. common stock		None	J	U					
38. - Laurel Builders, Inc. common stock		None	N	U					
39. - Schrimsher Exploration, Ltd - Ltd Partner		None	J	U					
40. - Smokey Partnership, LLP,Ltd Ptr	B	Interest	M	U					
41. - Ameritrade Brokerage (H):									
42. --Ishares Russell 1000 Growth Fund (IWF)	D	Dividend	P1	T					
43. --DFA One-Year Fixed Income (DFIHX)	D	Dividend	N	T					
44. -- DFA International Small Company (DFISX)	B	Dividend	L	T					
45. --DFA Emerging Markets I (DFEMX)	B	Dividend	M	T					
46. --DFA Large Cap International I (DFALX)	C	Dividend	M	T					
47. -- Vanguard Emerging Markets ETF (VWO)	B	Dividend	M	T					
48. --Vanguard Short-Term Treasury Bond Inv (VFISX)	C	Dividend	M	T					
49. --Vanguard Inflation Protected Securities Inv (VIPSX)	C	Dividend	N	T					
50. --Vanguard Value Index Adm (VVIAX)	D	Dividend	O	T					
51. --Vanguard Small Cap Value Index Adm (VSIAX)	D	Dividend	N	T					

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52. --TDA FDIC Insured Deposit Acct IDA12 (MMDA12)	A	Interest	N	T					
53. -- Ishares Russell 2000 Growth Fund (IWO)	C	Dividend	O	T					
54. --Morgan Stanley Bank CD	C	Interest			Sold	05/01/20	M	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Item 29 - The prior year report reflected this asset as Sold (part), whereas it was Sold in total.

Part VII Item 36 - On 12/31/20 Arbor Properties, Inc (S Corp) liquidated and closed down.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anne C. Conway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544