

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Morgan, Jr., Henry C.	2. Court or Organization Eastern District of Virginia	3. Date of Report 03/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge Sr. Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States District Court 600 Granby Street, Room 307 Norfolk, VA 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/11-12/11	Real Estate Agent, Rose and Womble Realty- Commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. New York Intellectual Property Law Association	03/25-27/2011	New York, NY	Professional Association	Transportation, Lodging, Food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ROLLOVER UBS FINANCIAL SERVICES, INC.	E	Int./Div.	N	T					
2. - A.B. Watley Group, Inc. Stock (Common)									
3. - Allstate Corp. Stock (Common)									
4. - AT&T Stock (Common)									
5. - Bellsouth Corp. Stock (Common)									
6. - Diageo Capital PLC Stock (Common)									
7. - Dow Chemical Stock (Common)									
8. - Home Depot Stock (Common)									
9. - iRobot Corporation Stock (Common)					Buy	06/23/11	J		
10. - Marathon Oil Corp. Stock (Common)									
11. - Quest Diagnostics, Inc. Stock (Common)									
12. - TowneBank Portsmouth VA (Convertible Note)									
13. - Verizon Communications Stock (Common)									
14. IRA #1, UBS FINANCIAL SERVICES, INC.	D	Int./Div.	O	T					
15. - TowneBank Portsmouth VA Stock (Common)									
16. - Spdr KBW Bank ETF (Mutual Fund)									
17. IRA #2, UBS FINANCIAL SERVICES, INC. (Account Open)	B	Int./Div.	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Archer Daniels Midland Co. Stock (Common)									
19. - Bristol Myers Squibb Co. Stock (Common)									
20. - Celgene Corp. Stock (Common)									
21. - Coca Cola Co. Stock (Common)					Sold	12/29/11	J		
22. - Colgate Palmolive Co. Stock (Common)					Sold	01/06/11	J		
23. - Cracker Barrel Old Ctry Store, Inc. Stock (Common)									
24. - CSX Corporation Stock (Common)					Buy	01/06/11	J		
25. - Dominion Resources Inc. Va. Stock (Common)									
26. - Dow Chemical Stock (Common)									
27. - Marathon Oil Corp. Stock (Common)									
28. - Microsoft Corp. Stock (Common)					Sold	01/06/11	J		
29. - Nestle S A Sponsored ADR Stock (Common)									
30. - Nordic Amern Tanker Shipping, Ltd. Stock (Common)									
31. - Nuveen Limited Term Stock (Common)					Buy	01/06/11	J		
32. - PepsiCo Inc. Stock (Common)					Buy	12/29/11	J		
33. - Procter & Gamble Co. Stock (Common)									
34. - Seadrill Ltd. US Line Stock (Common)					Buy	11/10/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Spectra Energy Corp. Stock (Common)					Sold	05/02/11	J		
36. - TowneBank Portsmouth VA Stock (Common)									
37. - United Parcel Service Inc. CL B Stock (Common)					Buy	01/06/11	J		
38. EWZ - Brazil Stock (Common)	A	Dividend	J	T					
39. GID - Gold Stock (Common)	A	Dividend	J	T					
40.									
41. TowneBank Account	A	Interest	J	W					
42. TOWNEBANK SECURITIES									
43. - Columbia Acorn Int'l Fund Class B (Mutual Fund)	A	Dividend	J	T					
44.									
45.									
46. - Spdr KBW Bank ETF (Mutual Fund)	A	Dividend	J	T					
47. - Spdr Gold TR Gold Shs (Mutual Fund)	A	Dividend	J	T					
48. WELLS FARGO COMPANY									
49. - Computerized Thermal Imaging, Inc. Stock (Common)	A	Dividend	J	T					
50. - Middleby Corp. Stock (Common)	A	Dividend	J	T					
51. - Roche Holdings Ltd. ADR Stock (Common)	A	Dividend	J	T					

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52.									
53. - Wells Fargo Company Stock (Common)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry C. Morgan, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544