

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Creatura, J. Richard	2. Court or Organization U.S. District Court - Western District of Washington	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 1717 Pacific Ave. Tacoma, WA 98402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Manager (corporation)	JJC & LMC, L.L.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2010	Gordon Thomas Honeywell Malanca Peterson and Daheim, LLP retirement payments
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Columbia Bank Accounts (cash)	A	Interest	L	T					
3. Account #1 (H)									
4. American Growth Fd of America F2 (GFFFX) (X)		None	L	T					
5. Blackstone REIT	D	Dividend	M	T					
6. Account #2 (H)									
7. Bank Deposit Program Morgan Stanley Bank N.A. (cash)	A	Interest	M	T					
8. IShares Tips Bond ETF (TIP)	C	Dividend	N	T					
9. SPDR Gold Tr Gold Shs (GLD)		None	K	T	Buy	03/06/20	K		
10. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend	L	T					
11. Vanguard Sm Cap ETF (VB)	A	Dividend	K	T					
12. Vanguard Value ETF Index (VTV)	B	Dividend	L	T	Buy	03/09/20	L		
13. American Europacific Growth F2 (AEPFX)	A	Dividend	M	T	Buy (add'l)	02/11/20	K		
14. American Growth Fd of America F2 (GFFFX)	E	Dividend	N	T	Sold (part)	02/11/20	L	D	
15. Blackrock Equity Dividend I (MADVX)	E	Dividend	N	T	Sold (part)	02/11/20	K		
16. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	L	T					
17. First Eagle Overseas I (SGOIX)	A	Dividend	M	T	Buy (add'l)	02/11/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. Franklin Income Adv (FRIAX)	D	Dividend	M	T					
19. Invesco Opp Intl Bd Y (OIBYX)	A	Dividend			Sold	01/02/20	L	B	
20. JP Morgan Mid Cap Value I (JMV SX)	D	Dividend	M	T					
21. Pimco Total Return I2 (PTTPX)	E	Dividend	N	T					
22. Templeton Global Bond Fd Adv (TGBAX)	A	Dividend			Buy	01/02/20	L		
23.					Sold	03/06/20	L		
24. Thornburg Inv Inc Builder I (TIBIX)	D	Dividend	M	T					
25. Virtus Nwflt Mult-Sector S/T Bd I (PIM SX)	D	Dividend	N	T					
26. Account #3 (H)									
27. SPDR Gold TR Gold SHS (GLD)		None	J	T	Buy (add'l)	03/06/20	J		
28. Vanguard Real Estate ETF (VNQ)	A	Dividend			Buy	01/17/20	J		
29.					Sold	08/04/20	J		
30. Vanguard Sm Cap ETF (VB)	A	Dividend	J	T					
31. Vanguard Value ETF Index (VTV)	A	Dividend	J	T	Buy	03/09/20	J		
32. American Europacific Growth F2 (AEPFX)	A	Dividend	J	T					
33. American Growth Fd of America F2 (GFFFX)	A	Dividend	K	T					
34. Blackrock High Eq Inc I (BMCIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	J	T					
36. Diamond Hill Large Cap I (DHLRX)	A	Dividend	K	T					
37. Invesco Opp Intl Bd Y (OIBYX)	A	Dividend			Sold	01/02/20	J	A	
38. PGIM Short Term Corp Bond Z (PIFZX)	A	Dividend	J	T					
39. Pimco Total Return I2 (PTTPX)	A	Dividend	J	T					
40. Templeton Global Bd Fd Adv (TGBAX)	A	Dividend	J	T	Buy	01/02/20	J		
41.					Sold	03/06/20	J		
42.					Buy	08/04/20	J		
43. Thornburg Intl Value I (TGVIX)	A	Dividend	J	T					
44. Thornburg Inv Inc Builder I (TIBIX)	A	Dividend	J	T					
45. Voya Global Real Estate A (IGLAX)	A	Dividend			Sold	01/17/20	J		
46. Account #4 (H)									
47. Blackstone REIT	C	Dividend	L	T					
48. Account #5 (H)									
49. Bank Deposit Program Morgan Stanley Bank NA (cash)	A	Interest	M	T					
50. American AmCAP A Common (AMCPX)	D	Dividend	M	T	Sold (part)	07/01/20	J	A	
51. Invesco Equal Wghtd S&P 500 Mutual Fund (VADAX)	D	Dividend	M	T	Sold (part)	07/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. Invesco Equity and Income A (ACEIX)	A	Dividend			Sold	07/01/20	K	B	
53. Invesco Global Healthcare A Mutual Fund (GGHCX)	D	Dividend	M	T	Sold (part)	03/13/20	L		
54.					Sold (part)	07/01/20	J	B	
55. MS Insight A (CPOAX)	D	Dividend	M	T	Sold (part)	07/01/20	J	C	
56. MSIF Growth A (MSEGX)	D	Dividend	M	T					
57. Account #6 (H)									
58. Bank Deposit Program Morgan Stanley Bank NA (cash)	A	Interest	J	T					
59. SPDR Gold TR Gold SHS (GLD)		None	J	T					
60. Vanguard Real Estate ETF (VNQ)	A	Dividend			Sold	08/04/20	J	B	
61. Vanguard Sm Cap Growth ETF (VBK)	A	Dividend	K	T					
62. Vanguard Sm Cap ETF (VB)	A	Dividend	K	T					
63. Vanguard Value ETF Index (VTV)	B	Dividend	L	T					
64. American Balanced F2 (AMBFX)	B	Dividend	L	T					
65. American Europacific Growth F2 (AEPFX)	A	Dividend	K	T					
66. American Growth Fd of America F2 (GFFFX)	C	Dividend	L	T					
67. BNY Mellon GLB Fixed Inc I (SDGIX)	A	Dividend			Sold	08/06/20	K	B	
68. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. First Eagle Overseas I (SGOIX)	A	Dividend	K	T					
70. JP Morgan Mid Cap Value I (JMV SX)	C	Dividend	L	T					
71. Templeton Global Bd Fd Adv (TGBAX)	A	Dividend	K	T	Buy	08/04/20	J		
72.					Buy (add'l)	08/06/20	K		
73. Virtus Nwflt Mult-Sector S/T Bd I (PIM SX)	B	Dividend	K	T					
74. Account #7 (H)									
75. Bank Deposit Program Morgan Stanley Bank NA (cash)	A	Interest	M	T					
76. Capital One Bank, N.A. (cash)	B	Interest			Redeemed	12/29/20	L		
77. MSILF Govt Ptf Inst (MVRXX) (cash equiv)	A	Dividend	L	T					
78. Account #8 (H)									
79. Bank Deposit Program Morgan Stanley Bank NA (cash) (X)	A	Interest	J	T					
80. American Europacific Growth A. Mutual Fund (AEPGX)	A	Dividend	K	T					
81. American Smallcap World A (SMCWX)	A	Dividend	J	T					
82. American Washington Mutual A Common (AWSHX)	B	Dividend	K	T					
83. Putnam Equity Income A (PEYAX)	B	Dividend	K	T					
84. Account #9 (H)									
85. Bank Deposit Program Morgan Stanley Bank NA (cash) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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86. American Europacific Growth A. Mutual fund (AEPGX)	A	Dividend	J	T					
87. American Smallcap World A (SMCWX)	A	Dividend	J	T					
88. American Washington Mutual A Common (AWSHX)	A	Dividend	K	T					
89. Putnam Equity Income A (PEYAX)	A	Dividend	K	T					
90. Account #10 (H)									
91. Invesco Equal Wghtd S&P 500 Mutual Fund (VADAX)	C	Dividend	L	T	Sold (part)	06/30/20	K	B	
92. Account #11 (H)									
93. Bank Deposit Program Morgan Stanley Bank NA (cash)	A	Interest	J	T					
94. SPDR Gold TR Gold SHS (GLD)		None	K	T					
95. Vanguard FTSE Developed Mkts ETF (VEA)	B	Dividend	L	T	Sold (part)	05/18/20	K		
96. Vanguard Sm Cap ETF (VB)	A	Dividend	L	T	Sold (part)	05/18/20	J	B	
97. Vanguard Value ETF Index (VTV)	B	Dividend	K	T	Sold (part)	05/18/20	L	A	
98. American Balanced F2 (AMBFX)	C	Dividend	L	T	Sold (part)	05/18/20	K	B	
99. American Europacific Growth F2 (AEPFX)	A	Dividend	L	T	Sold (part)	05/18/20	K	C	
100. BNY Mellon GLB Fixed Inc I (SDGIX)	A	Dividend			Sold (part)	05/18/20	K	A	
101.					Sold	08/06/20	K	C	
102. Calamos Market Neutral Inc I (CMNIX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Fidelity Adv New Insights I (FINSX)	D	Dividend	L	T	Sold (part)	05/18/20	L	D	
104. Lord Abbett Sht Duration Inc F (LDLFX)	B	Dividend	K	T	Sold (part)	05/18/20	K		
105. Templeton Global Bd Fd Adv (TGBAX)	A	Dividend	K	T	Buy	08/06/20	K		
106. Metropolitan West Tot Ret Bd (MWTIX)	A	Dividend			Sold	01/02/20	L	C	
107. Thornburg Inv Inc Builder I (TIBIX)	B	Dividend	K	T					
108. Western Asset Adj Rate Inc I (SBAYX)	B	Dividend	L	T	Buy	01/02/20	L		
109.					Sold (part)	05/18/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Creatura, J. Richard

Date of Report

05/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Richard Creatura**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544