

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabb, Barbara B.	2. Court or Organization U.S. District Court, Western District of Wisconsin	3. Date of Report 04/23/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 120 North Henry Street Suite 540 Madison, WI 53703		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Facktor-Mervis charitable foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Crabb, Barbara B.

Date of Report

04/23/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Wisconsin Department of Employee Trust Funds
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Crabb, Barbara B.	04/23/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Crabb, Barbara B.

Date of Report

04/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AXA Variable Life Ins Annuity (formerly Equitable Life) comprising:	B								
2. - EQ/Int'l Equity	A	Interest	K	T					
3. - AXA Int'l Core Volatility	A	Interest	K	T					
4. - EQ/Equity 500 Index	A	Interest	L	T					
5. -EQ/Mid Cap Index	A	Interest	J	T					
6. - EQ/Small Company	A	Interest	J	T					
7.									
8. Ed Vest -529 College Savings Plan (Balanced Portfolio 3437-Med. Risk)	E	Interest	M	T					
9.									
10. First Trust North American (EMLP)	A	Dividend	J	T	Buy (add'l)	01/17/20	J		
11.					Buy (add'l)	01/17/20	J		
12.					Sold (part)	04/13/20	J	A	
13.					Sold (part)	04/13/20	J	A	
14.					Sold (part)	10/27/20	K	A	
15.					Sold (part)	10/27/20	K	A	
16.									
17. Harding Loevner Intl Eqty (HLMIX)	A	Dividend	J	T	Sold (part)	01/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000 M =\$100,001 - \$250,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	01/17/20	J	A	
19.					Sold (part)	04/13/20	J	A	
20.					Sold (part)	04/13/20	J	A	
21.									
22. Home Savings & Loan	A	Interest	K	T					
23.									
24. Ishares Edge MSCI Min Vol (EFAV)	B	Dividend	M	T					
25.									
26. ishares Edge MSCI Emerg Mkt Min.Vol (EEMV)	C	Dividend	M	T	Buy (add'l)	04/13/20	J		
27.					Buy (add'l)	04/13/20	J		
28.									
29. Ishares Russell Midcap ETF (IWP) (G)	A	Dividend	K	T	Sold (part)	01/17/20	J	A	
30.					Sold (part)	01/17/20	J	A	
31.					Buy (add'l)	04/13/20	J		
32.					Buy (add'l)	04/13/20	J		
33.									
34. Ishares Russell Midcap (IWS) (V)	A	Dividend	K	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/13/20	J		
36.					Buy (add'l)	04/13/20	J		
37.									
38. Ishares Russell 1000 Growth Index (IWF)	C	Dividend	N	T	Sold (part)	01/17/20	J	A	
39.					Sold (part)	01/17/20	J	A	
40.					Buy (add'l)	04/13/20	J		
41.					Buy (add'l)	04/13/20	J		
42.									
43. Ishares Russell 1000 Value (IWD)	C	Dividend	M	T					
44.									
45. Ishares Russell 2000 Growth Fund (IWO)	A	Dividend	K	T	Sold (part)	04/13/20	J	B	
46.					Sold (part)	04/13/20	J	B	
47.									
48. Ishares Russell 2000 Value (IWN)	A	Dividend	M	T					
49.									
50. Lazard Emerg Mkts Core EQ (EMBIX)	A	Dividend			Buy (add'l)	01/17/20	J		
51.					Buy (add'l)	01/17/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold	10/19/20	J	A	
53.									
54. Lazard Int'l Strat EQ (LISIX)	A	Dividend			Sold (part)	01/17/20	J	B	
55.					Sold (part)	01/17/20	J	B	
56.					Sold	10/17/20	J	B	
57.									
58. Met Life	A	Interest	J	T					
59.									
60. Morgan Stanley Private Bank NA (MSPBNA)	A	Interest	K	T					
61.									
62. Nuveen Int Dur Muni Bond (NUVBX)	E	Dividend	N	T	Buy (add'l)	03/20/20	K		
63.					Buy (add'l)	03/23/20	K		
64.					Buy (add'l)	04/22/20	K		
65.					Buy (add'l)	06/19/20	K		
66.					Buy (add'l)	08/03/20	J		
67.					Buy (add'l)	09/17/20	J		
68.					Buy (add'l)	10/19/20	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

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69.									
70. Nuveen Ltd Term Muni Bd (FLTRX)	D	Dividend	N	T					
71.									
72. Oakmark (OAYMX/OAKMX) f/n/a Oakmak Fund Advisor	A	Interest			Sold	01/17/20	J	A	
73.					Sold	01/17/20	J	A	
74.									
75. SPDR Doubleline Tr Tact ETF (TOTL)	C	Dividend	J	T					
76.									
77. Synchrony Bank Acct	B	Interest	M	T					
78.									
79. UW Credit Union	A	Interest	K	T					
80.									
81. Vanguard European MSCI ETF (VGK)	A	Dividend	L	T					
82.									
83. WisdomTree Trust Japan Hedge EQ (DXJ)	B	Dividend	L	T					
84.									
85. Angel Oak Multi Strat Inc (ANGIX)	A	Dividend	J	T	Sold (part)	01/17/20	J	A	

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	01/17/20	J	A	
87.					Sold (part)	04/13/20	J	A	
88.					Sold (part)	04/13/20	J	A	
89.									
90. PIMCO Enhanced Short Term Maturity	B	Dividend	J	T					
91.									
92. Fuller and Thaler Behavioral Small Cap Equity (FTHSX)	B	Dividend	J	T	Sold (part)	01/17/20	J	A	
93.					Sold (part)	01/17/20	J	A	
94.					Sold (part)	04/13/20	J	A	
95.					Sold (part)	04/13/20	J	A	
96.									
97. Blackstone Alt Mult Strat Inst. (BXMIX)	A	Dividend	J	T	Buy (add'l)	01/17/20	J		
98.					Buy (add'l)	01/17/20	J		
99.					Sold (part)	04/13/20	J	A	
100.					Sold (part)	04/13/20	J	A	
101.									
102. Pioneer Mult Asset Ultrashort Income (MYFRX)	A	Dividend	K	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

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H1 =\$1,000,001 - \$5,000,000

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P4 =More than \$50,000,000

S =Assessment

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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103.					Buy (add'l)	01/17/20	J		
104.					Sold (part)	04/13/20	J	A	
105.					Sold (part)	04/13/20	J	A	
106.									
107. Western Asset Core (WACPX)	A	Dividend	K	T	Sold (part)	01/17/20	J	A	
108.					Sold (part)	01/17/20	J	A	
109.					Sold (part)	04/13/20	J	A	
110.					Sold (part)	04/13/20	J	A	
111.									
112. Ishares National Muni Bond (MUB)	B	Dividend	J	T					
113.									
114. Ishares MSCI Japan (EWJ) (**) Y	A	Dividend			Buy (add'l)	01/17/20	J		
115.					Buy (add'l)	01/17/20	J		
116.					Sold	04/13/20	J	A	
117.					Sold	04/13/20	J	A	
118.									
119. Wisdomtree Emerging Markets (XSOE)	A	Dividend	J	T	Sold (part)	01/17/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

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R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	01/17/20	J	A	
121.					Sold (part)	04/13/20	J	A	
122.					Sold (part)	04/13/20	J	A	
123.					Buy (add'l)	10/19/20	J		
124.					Buy (add'l)	10/19/20	J		
125.									
126. Vanguard Dividend Appreciation (VIG)	A	Dividend	K	T	Sold (part)	01/17/20	J	A	
127.					Sold (part)	01/17/20	J	A	
128.					Buy (add'l)	04/13/20	J		
129.					Buy (add'l)	04/13/20	J		
130.									
131. Alerian MLP (AMLP)	B	Dividend	K	T	Buy (add'l)	10/27/20	K		
132.					Buy (add'l)	10/27/20	K		
133.									
134. Ishares S&P US Preferred Stock Index Fund (PFF)	A	Dividend	J	T					
135.									
136. NEW: Wisdom Tree Floating Rate Treasury Fund (USFR)	A	Dividend	J	T	Buy	04/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy	04/13/20	J		
138.									
139.									
140.									
141.									
142. NEW: Lazard U.S. Equity Concentrated (LEVIX)	A	Dividend	J	T	Buy	04/13/20	J		
143.					Buy (add'l)	04/13/20	J		
144.									
145. NEW: Wisdomtree U.S. Large Cap (DLN)	A	Dividend			Buy	01/17/20	J		
146.					Buy (add'l)	01/17/20	J		
147.					Sold	04/13/20	J	A	
148.					Sold	04/13/20	J	A	
149.									
150. NEW- GQG Partners Emerging Markets Equity Fund(GQGIX)	A	Dividend	J	T	Buy	10/19/20	J		
151.					Buy (add'l)	10/19/20	J		
152.									
153. NEW - Causeway International, Value (CIVIX)	A	Dividend	J	T	Buy	01/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/17/20	J		
155.					Sold (part)	04/14/20	J	A	
156.					Sold (part)	04/14/20	J	A	
157.									
158.									
159. NEW-Vanguard Short Term Corporate (VCSH)	A	Dividend	J	T	Buy	04/13/20	J		
160.					Buy (add'l)	04/13/20	J		
161.									
162.									
163.									
164. NEW-Lazard Emerging Market Equity Blend (EMBIX)	A	Dividend			Buy	04/13/20	J		
165.					Buy (add'l)	04/13/20	J		
166.					Sold	10/19/20	J	A	
167.									
168. Matthews Asian Japan (MUFOX)	A	Dividend	J	T					
169.									
170. Great Midwest Bank	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172.									

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Crabb, Barbara B.

Date of Report

04/23/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In reviewing the report, you will notice that in some places, I have reported more than one dividend or more than one sale or purchase on the same date. This may look like an unintended duplication, but it is actually a consequence of having four separate funds, each with a separate purpose. One of the consequences of this arrangement is that the sales, purchases and dividend payments for each fund do not necessarily occur on the same dates. I considered lumping them together, regardless of the date paid, but decided that this created more complexity than clarity.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Crabb, Barbara B.

Date of Report

04/23/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara B. Crabb**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544