

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dinsmore, Mark J.	2. Court or Organization U.S. District Court for the Southern District of Indiana	3. Date of Report 09/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court for the Southern District of Indiana 257 United States Courthouse 46 East Ohio Street Indianapolis, Indiana 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Ascension Health Misistry Services as Agent for St. Vincent Hospital & Health (Wages)
2. 2020	Chegar Facial Plastic Surgery (Wages)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Indiana Members Credit Union	A	Interest	J	T					
2. American Funds EuroPacific Mutual Fund	A	Dividend			Sold	12/02/20	K		
3. Dodge & Cox Stock Mutual Fund	A	Dividend			Sold	12/02/20	K		
4. Transamerica Partners Institutional High Yield Bond Fund	A	Dividend			Sold	12/02/20	J		
5. Vanguard Institutional Index Fund	A	Dividend			Sold	12/02/20	K		
6. Vanguard Small Cap Index Fund	A	Dividend			Sold	12/02/20	K		
7. Credit Suisse 5.4% Bond Maturing 1/14/2020	A	Interest			Matured	01/14/20	K		
8. Goldman Sachs Group, Inc. 5.375% Bond Maturing 3/15/2020	A	Interest			Matured	03/15/20	K		
9. General Electric Cap. Corp. 5.65% Bond Maturing 3/15/2022	B	Interest	K	T					
10. Thornburg Investment Income Builder Mutual Fund	A	Dividend	J	T					
11. Janus Balanced Mutual Fund	B	Dividend	L	T					
12. DFA International Small Company Fund	A	Dividend			Sold	12/02/20	J		
13. Principal LargeCap Growth Fund	A	Dividend			Sold	12/02/20	K		
14. JP Morgan Equity Income Fund	B	Dividend	M	T	Buy (add'l)	04/02/20	J		
15. Bank of America, NA	A	Interest	J	T					
16. PowerShares QQQ (Invesco)	B	Dividend	N	T	Sold (part)	04/02/20	J		
17.					Buy (add'l)	12/15/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Baird Aggregate Bond Fund	A	Dividend			Sold	12/02/20	L		
19. Vanguard Prime Money Market Fund	A	Dividend			Sold	12/02/20	J		
20. DFA U.S. Targeted Value Fund	A	Dividend			Sold	12/02/20	J		
21. Hartford Small Cap Growth Fund	A	Dividend			Sold	12/02/20	J		
22. Janus Henderson Enterprise Fund Class N	A	Dividend			Sold	12/02/20	J		
23. ClearBridge Large Cap Growth Fund I	A	Dividend	M	T	Sold (part)	04/02/20	J		
24. Vanguard S&P 500 ETF Fund	C	Dividend	M	T	Buy (add'l)	04/02/20	J		
25. John Hancock International Growth Fund	A	Dividend			Buy (add'l)	04/02/20	J		
26.					Sold	05/04/20	K		
27. Virtus KAR Small-Cap Growth Fund	A	Dividend	M	T	Sold (part)	04/02/20	J		
28.					Buy (add'l)	12/15/20	K		
29. Fidelity Inflation-Protected Bond Index Fund	A	Dividend			Sold	12/02/20	K		
30. Causeway Emerging Markets Fund	A	Dividend			Sold	12/02/20	J		
31. Vanguard Real Estate Index Fund	A	Dividend			Sold	12/02/20	J		
32. SPDR Dow Jones Industrial Average ETF	C	Dividend	M	T	Buy (add'l)	04/02/20	J		
33.					Buy (add'l)	12/15/20	L		
34. MFS International Diversification Fund	A	Dividend	L	T	Buy (add'l)	04/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	12/15/20	K		
36. Touchstone Mid Cap Fund	A	Dividend	L	T	Buy (add'l)	04/02/20	J		
37.					Buy (add'l)	12/15/20	K		
38. Vanguard Mid-Cap Value Index Fund Admiral Shares	A	Dividend			Sold	12/02/20	J		
39. Schwab US Treasury Money Fund	A	Interest			Buy	03/25/20	L		
40.					Sold	06/23/20	L		
41. Schwab Value Advantage Fund	A	Interest	L	T	Buy	06/23/20	L		
42. Goldman Sachs GQG Partners International Opportunity Fund	A	Dividend	L	T	Buy	05/05/20	K		
43.					Buy (add'l)	12/15/20	K		
44. Akre Focus Institutional Fund	A	Dividend	L	T	Buy	12/15/20	L		
45. Vanguard Ultra Short Term Bond Fund	A	Interest	K	T	Buy	12/15/20	K		
46. Principal Equity Income Institutional Fund	A	Dividend	L	T	Buy	12/15/20	L		
47. SPDR S&P 500 ETF	A	Dividend	L	T	Buy	12/15/20	L		
48. Charles Schwab Bank	A	Interest	J	T	Open	02/05/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark J. Dinsmore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544