

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pannell, Charles A.	2. Court or Organization District Court-N.D.of Georgia	3. Date of Report 06/05/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 2367 U. S. Courthouse 75 Spring Street, S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Executor	Estate No. 1
2.	Operator	Farm #1
3.	Power of Attorney	Trust No. 1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Pannell, Charles A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Association of County Commissioners of Georgia Pension Trust	\$1,347.72
2. 2012	Georgia Judicial Retirement System	\$89,392.32
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Teachers Retirement System of Georgia
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Timberland, [REDACTED], 1/3 Interest		None	N	W					
2. Rental Property #1, [REDACTED]		None	J	W					
3. Estate #1									
4. -Farm #1 [REDACTED]	B	Rent	O	W					
5. - First National Bank (Savings Accounts and CDs)	B	Interest	M	T					
6. - Cohutta Bank Account	A	Interest	J	T					
7. IRA #1					Closed	12/19/12			
8. - STI	A	Dividend			Sold	12/10/12	J	D	
9. -Goldman Sachs, Insured Cash Acct.	A	Interest			Closed	12/19/12	J		
10. -Morgan Stanley, Insured Cash Account	A	Interest			Closed	12/19/12	J		
11. - American Funds Growth Fund of America AGTHX	A	Dividend			Sold	12/10/12	J	D	
12. Brokerage Account #1									
13. - Synovus, Common Stock (SNV)	A	Dividend	J	T					
14. -Total Sys Sves (TSS)	A	Dividend	K	T					
15. -Federated MOISS (QFMOQ)	A	Dividend	J	T					
16. Brokerage Account #2									
17. - American Funds - Investment Company of America (AIVSX)	A	Dividend	K	T	Sold (part)	02/10/12	J		see note

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - American Funds - Growth Fund of America (AGTHX)	A	Dividend	K	T	Sold (part)	02/10/12	J	B	
19. - American Funds - Small Cap World Fund (SMCWX)	A	Dividend	J	T					
20. - American Funds - New World Fund (NEWFX)	A	Dividend	J	T					
21. - American Funds - EUPAC	A	Dividend	J	T	Sold (part)	02/10/12	J	A	
22. -American Funds Bond Fund of America (ABNDX)	A	Dividend	K	T	Sold (part)	02/10/12	J		see note
23. -American Funds High Income (AHITX)	A	Dividend	J	T					
24. Brokerage Account #3									
25. -FDRXX	A	Dividend	J	T					
26. -Money Market, Fidelity Investments	A	Interest	K	T					
27. -Tyson Foods (TSN)	A	Dividend	J	T	Buy	09/24/12	J		
28. -Synovus Common Stock (SNV)		None	J	T	Buy	09/27/12	J		
29. Roth IRA #1 Fidelity Investments									
30. - LSI		None	J	T					
31. Roth IRA #2 Fidelity Investments Cash Reserves	A	Interest	J	T					
32. Farm #2, [REDACTED], limited interest		None	L	W					
33. Trust #1									
34. -First National Bank (CDs)	B	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Suntrust Bank (money market and checking)	A	Interest	K	T					
36. -House, Fulton County, Georgia		None	O	W					
37. -Employee's Retirement of Georgia		None							See Note
38. -Farm #2, [REDACTED] partial ownership	B	Rent	K	W					
39. -Timberland, [REDACTED]		None	K	W					
40. -Cohutta Checking Acct	A	Interest	J	T					
41. Rollover IRA									
42. -JPMorgan US Gov. MMKT Fds	A	Dividend	J	T					
43. -Loomis Sayles St Income High Yield Bond (NEFZX)	A	Dividend			Sold	04/04/12	K		
44. -Mainstay Funds High Yield Bond (MHYIX)	A	Dividend	J	T	Sold (part)	04/04/12	J		
45. -Vanguard Fixed Inc SEC FD (VFIIX)	A	Dividend			Sold	04/04/12	J		
46. -Dodget Cox Inc. FD (DODIX)	A	Dividend			Sold	04/04/12	K		
47. -Prudential Short Term Bond Fund (PIFZX)	A	Dividend			Sold	04/04/12	J		
48. -Suntrust Banks (STI)	A	Dividend			Sold	04/04/12	J		
49. -AMCAP CL F2 (AMCFX)	A	Dividend	J	T	Buy	04/04/12	J		
50. -ARTISAN MIO CAP FD (ARTMX)	A	Dividend	J	T	Buy	04/04/12	J		
51. -ARTISAN Small CAP FD (ARTVX)	A	Dividend	J	T	Buy	04/04/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Dreyfus App Fund (DGAGX)	A	Dividend			Buy	04/04/12	J		
53.					Sold	12/13/12	J		
54. -Columbia Dividend Income (GSFTX)	A	Dividend	J	T	Buy	04/04/12	J		
55. -Janus Investment Triton (JATTX)	A	Dividend	J	T	Buy	04/04/12	J		
56. -Janus Investment Perkins Mid Cap (JMCVX)	A	Dividend	J	T	Buy	04/04/12	J		
57. -Oppenheimer Dev Markets (ODVYX)	A	Dividend	J	T	Buy	04/04/12	J		
58. -JPMorgan Trst II (OMBIX)	A	Dividend	J	T	Buy	04/04/12	J		
59. -Principal Inc. PFD (PPSPX)	A	Dividend	J	T	Buy	04/04/12	J		
60. -Templeton Global Invnt (TGBAX)	A	Dividend	J	T	Buy	04/04/12	J		
61. -Thornburg Invnt TR INTL Value (TGVIX)	A	Dividend	J	T	Buy	04/04/12	J		
62. -DWS Alternative Asset (AAASX)	A	Dividend	J	T	Buy	04/04/12	J		
63. -Loomis Sayles FDS II INVEST Grade Bond (LSIIX)	A	Dividend	K	T	Buy	04/04/12	K		
64. Wells Fargo Savings Account (f/k/a Wachovia Savings Account)	A	Interest	J	T					see note
65. First National Bank, Accounts Checking and Money Market	A	Interest	L	T					
66. Cohutta Bank Checking and MMKT	A	Interest	J	T					
67. Associated Credit Union Money Market	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions: Further clarification to Answer 2 "Operator".

_____)

Part VII. INVESTMENTS AND TRUSTS

Lines 17 and 22, fund sold at a loss.

Lines 37: This is fixed retirement income. I have control by power of attorney. No value past the death of the beneficiary. (Per instruction VII, Para. C. Income, page 45.)

Line 64: This is the same account, but Wachovia has become Wells Fargo.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles A. Pannell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544