

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Durkin, Thomas M.	2. Court or Organization U.S. District Court - Northern District of Illinois	3. Date of Report 08/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Dirksen Federal Building 219 S. Dearborn Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. CD Capital One Bank - 1.75%	A	Interest			Redeemed	02/18/20	L		
2. IShares Russell 1000 Growth	B	Dividend	M	T	Buy	03/19/20	K		
3. IShares Russell 1000 Growth	B	Dividend	M	T	Sold (part)	04/24/20	K	A	
4. IShares Russell 1000 Growth	B	Dividend	M	T	Buy	10/27/20	K		
5. CD Discover Bank - 1.7%	A	Interest			Redeemed	02/18/20	K		
6. IShares Russell 1000 Value	C	Dividend	M	T	Buy	03/19/20	K		
7. IShares Russell 1000 Value	C	Dividend	M	T	Sold (part)	04/24/20	K	A	
8. IShares Russell 1000 Value	C	Dividend	M	T	Buy	10/27/20	K		
9. IShares Russell Midcap Growth	A	Dividend	M	T	Buy	03/19/20	J		
10. IShares Russell Midcap Growth	A	Dividend	M	T	Sold (part)	04/24/20	J	A	
11. IShares Russell Midcap Growth	A	Dividend	M	T	Buy	10/27/20	K		
12. IShares Russell Midcap Value	C	Dividend	M	T	Buy	03/19/20	J		
13. IShares Russell Midcap Value	C	Dividend	M	T	Sold (part)	04/24/20	J	A	
14. IShares Russell Midcap Value	C	Dividend	M	T	Buy	06/27/20	K		
15. IShares Gold Trust		None	K	T					
16. IShares Silver Trust		None	K	T					
17. CD Capital One - 2.25%	B	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. CD Key Bank - 1.7%	A	Interest			Redeemed	02/24/20	K		
19. Chase accounts (various cash accounts)	A	Interest	K	T					
20. CD Discover Bank - 2.25%	B	Interest	M	T					
21. Merrill Lynch/Bank of America Bank Deposit Program (cash account)	A	Interest	J	T					
22. ISHARES S&P Small Cap 600 Growth	A	Dividend	M	T	Buy	03/19/20	J		
23. ISHARES S&P Small Cap 600 Growth	A	Dividend	M	T	Sold (part)	04/24/20	J	A	
24. ISHARES S&P Small Cap 600 Growth	A	Dividend	M	T	Buy	10/27/20	J		
25. ISHARES S&P Small Cap 600 Value	B	Dividend	M	T	Buy	03/19/20	J		
26. ISHARES S&P Small Cap 600 Value	B	Dividend	M	T	Sold (part)	04/24/20	J	A	
27. ISHARES S&P Small Cap 600 Value	B	Dividend	M	T	Buy	10/27/20	J		
28. Franklin Moderate Allocation	A	Dividend	K	T					
29. JPM IT COR BD 1 (part of annuity)		None	L	T	Redeemed (part)	09/10/20	J	A	
30. NW NVIT MNY MKT 1 (part of annuity)		None	K	T	Buy	09/10/20	J		
31. JPM IT US EQ I (part of annuity)		None	K	T	Redeemed (part)	09/10/20	J	A	
32. CD Belmont Savings Bank 1.4%	A	Interest			Redeemed	03/23/20	L		
33. CD Capital One Bank USA 1.55%	C	Interest			Redeemed	11/09/20	M		
34. Vaneck Vectors Vietnam	A	Dividend			Sold	06/25/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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35. CD State Bank India	C	Interest	M	T					
36. CD Comenity Capital Bank 3.1%	A	Interest	M	T					
37. CD Ally Bank (2.4%)	B	Interest			Redeemed	03/09/20	M		
38. CD Goldman Sachs Bank USA 2.8%	C	Interest	M	T					
39. CD Morgan Stanley Bank NA	C	Interest	M	T					
40. CD Goldman Sachs Bank USA 2.9%	C	Interest	M	T					
41. I Shares Edge MSCI	B	Dividend	L	T					
42. Rental Property - purchased for \$765,000 on 3/10/16	E	Rent	O	R					
43. CD Shinhan Bank America	A	Interest	M	T	Buy	11/04/20	M		
44. CD BMW Bank of North America 1.45%	C	Interest	M	T	Buy	03/24/20	M		
45. CD Morgan Stanley PVT Bank 1.75%	B	Interest	M	T	Buy	02/12/20	M		

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Durkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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