

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dow, Dennis R.	2. Court or Organization US Bankruptcy Ct-W Dist MO	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Charles E Whittaker United States Courthouse 400 E 9th St, Room 6562 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Trinity Management LLC - Salary reported on Form W-2
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. United Missouri Bank (UMB) Accounts	A	Interest	M	T					
2. The Lincoln National Life Ins Co Variable Life Insurance Policies: (H)									
3. -Am Fds Intl Fund (C2)	A	Dividend	J	T					
4. -Del VIP REIT Series (Std Cls)	A	Dividend	J	T					
5. -Del VIP Smid Cap Core Std Cls	A	Dividend	J	T					
6. -MFS VIT Total Return Series IC	A	Dividend	J	T					
7. -MFS VIT Utilities Series IC	A	Dividend	J	T					
8. -PIMCO VIT Com RR Strt Port AC	A	Dividend	J	T					
9. -Del VIP Emrg Mkt Srs (Std Cls)	A	Dividend	J	T					
10. -LVIP SSGA S&P 500 Index SC	A	Dividend	J	T					
11. -Fid VIP Mid Cap Port SVC	A	Dividend	J	T					
12. The Metropolitan Life Insurance Co Whole Life Insurance Policies	B	Dividend	M	T					
13. Investment Account #1-Fidelity (H)									
14. -Fidelity cash	A	Interest	K	T					
15. -Columbia Seligman Com and Info CL I2	B	Dividend	L	T					
16. -American Europacific Growth Fund F3	A	Dividend	K	T					
17. -Natixis US Equity Opportunities CL Y	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -The New Economy Fund F3	A	Dividend	K	T					
19. -American Small Cap World Fund F3	A	Dividend	K	T					
20. -Templeton Developing Markets Ttrust R6	A	Dividend	J	T					
21. -Proshares Trust S&P 500 Divid	A	Dividend	J	T					
22. -Fidelity MSCI Health Care Index	A	Dividend	J	T	Buy	04/02/20	J		
23. -Ishares TR MBS ETF	A	Dividend	J	T	Buy	10/16/20	J		
24. -Ishares TR US Treas BD ETF	A	Dividend	J	T	Buy	10/19/20	J		
25. -Ishares US Financials ETF	A	Dividend			Buy	06/03/20	J		
26.					Sold (part)	10/16/20	J	A	
27.					Sold	10/19/20	J	A	
28. Rollover IRA #3-Fidelity (H)									
29. -Ishares Core S&P Mid Cap ETF	A	Dividend			Buy (add'l)	03/18/20	K		
30.					Sold	03/24/20	L	A	
31. -Ishares Core S&P Small Cap ETF	A	Dividend			Buy (add'l)	03/18/20	K		
32.					Sold	03/24/20	L	A	
33. -Ishares Edge MSCI Min Vol USA ETF	C	Dividend	M	T	Buy (add'l)	03/18/20	J		
34. -Fidelity Government Cash Reserves	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. -Fidelity MSCI Health Care Index ETF	B	Dividend	K	T	Buy	04/02/20	J		
36.					Buy (add'l)	04/02/20	K		
37.					Buy (add'l)	04/21/20	K		
38. -Fidelity MSCI Information Technology Index ETF	B	Dividend	M	T	Buy (add'l)	03/18/20	K		
39. -Ishares Trust Core MSCI EAFE ETF	D	Dividend	M	T	Sold (part)	04/21/20	L	A	
40. -Ishares Inc Core MSCI Emergin Mkts ETF	C	Dividend	M	T	Sold (part)	06/01/20	L	A	
41. -Ishares Core S&P Total US Stock Market ETF	C	Dividend	N	T					
42. -Ishares Core S&P 500 ETF	D	Dividend	N	T	Sold (part)	03/26/20	M	A	
43. -Proshares Trust S&P 500 Divid Aristocrats ETF	B	Dividend	M	T	Buy (add'l)	03/06/20	K		
44.					Buy (add'l)	03/18/20	K		
45. -Fidelity Low Duration Bond Factor ETF	B	Dividend	L	T	Sold (part)	03/13/20	L	A	
46.					Buy (add'l)	04/08/20	L		
47. -Ishares TR 20 YR TR BD ETF	A	Dividend			Sold (part)	02/07/20	J	A	
48.					Sold	03/03/20	K	C	
49. -Ishares TR MBS ETF	B	Dividend	K	T	Buy (add'l)	10/16/20	K		
50.					Sold (part)	03/20/20	L	A	
51. -Ishares TR US TREAS BD ETF	B	Dividend	K	T	Sold (part)	03/13/20	M	E	

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52.					Buy (add'l)	10/19/20	K		
53. -Federated Hermes Kauffman Sm Cap IS	A	Dividend	L	T	Buy	04/06/20	K		
54. -Lord Abbett Bond Debenture Class I	A	Dividend	L	T	Buy	04/14/20	K		
55.					Buy (add'l)	06/02/20	K		
56. -First TR Exchange Traded Fd NY ARCA Biotechnology Index FD	A	Dividend	K	T	Buy	03/18/20	K		
57. -Ishares TR NASDAQ Biotech	A	Dividend	L	T	Buy	04/03/20	K		
58.					Buy (add'l)	04/21/20	K		
59. -Ishares TR US Med Dvc ETF	A	Dividend	L	T	Buy	04/21/20	K		
60. -Vaneck Vectors ETF TR Morningstar Wide	A	Dividend	K	T	Buy	03/18/20	K		
61. -Ishares TR IBOXX Hi Yd ETF	A	Dividend	L	T	Buy	05/12/20	L		
62. -Ishares TR ISHS 5-10Yr INVT	A	Dividend	L	T	Buy	04/02/20	L		
63. -Ishares TR ISHS 1-5Yr INVS	A	Dividend	L	T	Buy	05/08/20	L		
64. -Alphabet Inc Cap Stk CL C	A	Dividend	K	T	Buy	03/31/20	K		
65. -Amazon.Com Inc	A	Dividend	K	T	Buy	03/31/20	K		
66. -Apple Inc Com	A	Dividend	K	T	Buy	03/31/20	K		
67. -Microsoft Corp	A	Dividend	J	T	Buy	03/31/20	K		
68. -Realty Income Corp (Maryland)	A	Dividend			Buy	04/03/20	K		

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69.					Sold	04/17/20	K	B	
70. -Ishares US Financials ETF	A	Dividend			Buy	06/01/20	L		
71.					Sold (part)	10/16/20	K	B	
72.					Sold	10/19/20	K	B	
73. Section 529 Plan #1 (H)									
74. American Funds College 2027 Fund 529C	A	Dividend	J	T	Buy (add'l)	12/01/20	J		
75. Section 529 Plan #2 (H)									
76. American Funds College 2030 Fund 529C	A	Dividend	J	T	Buy (add'l)	12/01/20	J		
77. Section 529 Plan #3 (H)									
78. American Funds College 2033 Fund 529C	A	Dividend	J	T					
79. Section 529 Plan #4 (H)									
80. American Funds College 2033 Fund 529C	A	Dividend	J	T					
81. Section 529 Plan #4 (H)									
82. American Funds College 2036 Fund 529C	A	Dividend	J	T	Buy	02/25/20	J		
83. Roth IRA #1-Fidelity (H)									
84. -Fidelity Government Cash Reserves	A	Dividend	J	T					
85. -Fidelity MSCI Information Technology Index ETF	A	Dividend			Sold	03/17/20	J	A	

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86. -Ishares Core S&P 500 ETF	A	Dividend	J	T					
87. -Ishares Core S&P Mid Cap ETF	A	Dividend			Buy (add'l)	02/13/20	J		
88.					Sold	03/17/20	J	A	
89. -Ishares Core S&P US Growth ETF	A	Dividend			Sold	03/17/20	J	A	
90. -Ishares Core S&P Small Cap E	A	Dividend			Sold	03/17/20	J	A	
91. -Ishares Trust Core MSCI EAFE ETF	A	Dividend			Buy (add'l)	03/17/20	J		
92.					Sold	09/10/20	J	A	
93. -Ishares Inc Core MSCI Emerging Mkts ETF	A	Dividend			Sold	03/17/20	J	A	
94. -Ishares Core S&P Total US Stock Market	A	Dividend	J	T	Buy (add'l)	03/17/20	J		
95. -Ishares Edge MSCI Min Vol USA ETF	A	Dividend			Buy (add'l)	02/13/20	J		
96.					Sold	03/17/20	J	A	
97. -Proshares Trust S&P 500 Divid Aristocrats ETF	A	Dividend	J	T	Buy (add'l)	02/13/20	J		
98.					Sold (part)	03/17/20	J	A	
99. -Blackrock Mid Cap Growth Equity Instl	A	Dividend	J	T	Buy	09/09/20	J		
100. -Federated Hermes Kaufmann Sm Cap Is	A	Dividend	J	T	Buy	03/16/20	J		
101. -JP Morgan Emerging Mkts Equity Class I	A	Dividend	J	T	Buy	03/16/20	J		
102. -Morgan Stanley Intl Advantage Cl I	A	Dividend	J	T	Buy	09/09/20	J		

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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103. -ETF Managers TR Prime Mobile Pay	A	Dividend	J	T	Buy	09/10/20	J		
104. -Ishares TR NASDAQ Bio Tech	A	Dividend	J	T	Buy	03/17/20	J		
105. -Ishares TR US Med Dvc ETF	A	Dividend	J	T	Buy	09/10/20	J		
106. -Ishares TR MSCI USA Min Vol	A	Dividend	J	T	Buy	09/10/20	J		
107. -Apple Inc Com	A	Dividend	J	T	Buy	03/17/20	J		
108. -Microsoft Corp	A	Dividend	J	T	Buy	03/17/20	J		
109. -Ishares TR US Aer Def ETF	A	Dividend			Buy	03/17/20	J		
110.					Sold	09/10/20	J	A	
111. -Verizon Communications	A	Dividend			Buy	03/17/20	J		
112.					Sold	09/10/20	J	A	
113. -Fidelity MSCI Health Care Index ETF	A	Dividend			Buy	04/02/20	J		
114.					Sold	09/10/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Dow, Dennis R.

Date of Report

05/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Dow, Dennis R.

Date of Report

05/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dennis R. Dow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544