

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Eagles, Catherine C.	2. Court or Organization Middle District of North Carolina	3. Date of Report 12/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 324 W. Market Street Greensboro, NC 27401		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Consolidated Judicial Retirement System of NC - Pension	\$73,467.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	William A. Eagles, PLLC - Earnings from law practice (Attorney/self-employed)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University Law & Economics Center	February 1, 2020 to February 5, 2020	Key West, Florida	Seminar - Short course on Economics for Judges	Food, lodging, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage Account #1 (H)									
2. Dominion Energy Inc. - common stock	C	Dividend	L	T					
3. Duke Energy Corp - common stock	C	Dividend	M	T					
4. Southern Bancshares NC Inc. - common stock	B	Dividend	N	T					
5. Enbridge, Inc. (formerly Spectra Energy Corp) - common stock	C	Dividend	L	T					
6. Southern Company - common stock	A	Dividend	J	T					
7. Invesco Charter Fund Cl A - Mutual Fund	A	Dividend	J	T					
8. American Funds The Growth Fund of America Cl A - Mutual Fund (AGTHX)	E	Dividend	N	T					
9. American Funds The Investment Co. of America Cl A - Mutual Fund (AIVSX)	D	Dividend	O	T	Buy (add'l)	01/10/20	J		
10.					Buy (add'l)	02/10/20	J		
11.					Buy (add'l)	03/10/20	J		
12.					Buy (add'l)	04/10/20	J		
13.					Buy (add'l)	05/10/20	J		
14.					Buy (add'l)	06/10/20	J		
15.					Buy (add'l)	07/10/20	J		
16.					Buy (add'l)	08/10/20	J		
17.					Buy (add'l)	09/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	10/10/20	J		
19.					Buy (add'l)	11/10/20	J		
20.					Buy (add'l)	12/10/20	J		
21. American Funds Wash Mutual Investors Fd Inc. Cl A-Mutual Fund (AWSHX)	C	Dividend	M	T					
22. American Funds Income Fund of America Inc A-Mutual Fund (AMECX)	D	Dividend	M	T					
23. Limited Term Tax Expt Bond Fd of Amer (LTEBX)-Mutual Fund	B	Dividend	M	T					
24. Woodbury Financial - Insured Deposit Program	A	Interest	L	T					
25. IRA #1 (H)									
26. Amer. Balanced Fd Cl A - Mutual Fund	D	Dividend	M	T					
27. American Funds Investment Co. of America Cl A - Mutual Fund	D	Dividend	N	T					
28. American Funds Income Fund of America Inc A - Mutual Fund	C	Dividend	M	T					
29. IRA #2 (H)									
30. Amer. Balanced Fd Cl A - Mutual Fund	D	Dividend	M	T					
31. IRA #3 (H)									
32. American Funds Growth Fund Am, Cl. A-Mutual Fund	B	Dividend	K	T					
33. IRS #4 (H)									
34. American Funds Inv. Co. Am Cl A (AIVSX)-Mutual Fund	D	Dividend	N	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. American Funds Amer Balaced Fd CL A - Mutual Fund	D	Dividend	N	T					
36. Capital Income Bldr CL A-Mutual Fund	E	Dividend	N	T					
37. American Funds New Perspective Fd CL A-Mutual Fund	C	Dividend	L	T					
38. IRA #5 (H)									
39. American Funds Growth Fund Am CL A (AGTHX) - Mutual Fund	A	Dividend	K	T					
40. Other Assets (H)									
41. Lincoln National Life Insurance Co Policies Surrender Value-WHOLE LIFE	C	Interest	L	T					
42. Wells Fargo - Bank Account	A	Interest	K	T					
43. Bank of America-Bank Account		None	K	T					
44. Bank of America-Bank Account		None	K	T					
45. Bank of America-Bank Account		None	J	T					
46. State Employees' Credit Union-Shares Account	A	Interest	J	T					
47. State Employees' Credit Union-Dividend Checking (X)	A	Interest	J	T	Open	11/10/20	J		
48.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine C. Eagles**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544