

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) FELDMAN, MARTIN L.C.	2. Court or Organization EASTERN DISTRICT OF LOUISIANA	3. Date of Report 09/23/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active U S DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 500 POYDRAS STREET, Rm. 555 NEW ORLEANS, LA 70130-3313		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Home Life Ins. Co. Whole Life Insurance Policy	A	Dividend	K	T					
2. FHLMC CMO SER 1505-CL Q	A	Interest	J	T					
3. NexPoint Strategic Opportunities Fd.	A	Dividend	J	T	Sold (part)	05/14/20	J	A	
4. Equity Commonweath Com. Sh Ben.Int.	A	Int./Div.	K	T					
5. GNMA #1924 JUMBO	A	Interest	J	T					
6. Virtus Total Return Fd	A	Dividend	J	T					
7. FNMA CMO SER 1992-195	A	Interest	J	T					
8. AB International Value Fd. Cl C #0436	A	Dividend	J	T					
9. GNMA #2005-5 ea	A	Interest	J	T					
10. Blackrock FLTG Rate Inc. Strategies Fd II	A	Dividend	J	T					
11. Blackrock Ltd Duration Income Trust	B	Dividend	K	T					
12. Archer, Ltd	A	Dividend	J	T					
13. RAIT Financial Trust - 0403	A	Int./Div.	J	T					
14. Chase Bank	A	Interest	K	T					
15. Eaton Vance Ltd. Duration Income Fund	B	Dividend	K	T					
16. FNMA1993-82H, 0403	A	Interest	J	T					
17. Proshares Ultra Financials-IRA	A	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Blackrock Enhanced Equity Trust	A	Dividend	J	T					
19. Five Star Senior Living	A	Dividend	J	T					
20. Quicksilver Resources 0403	A	Dividend	J	T					
21. Surburban Propane Partnership LP	A	Distribution	J	T					
22. FHLMC CMO Series 3699 LA	B	Interest	K	T					
23. Eaton Vance Insured Municipal Bond Fund	A	Dividend	J	T					
24. FHLMC CMO Series 3772 NA #0403	A	Interest	J	T	Sold (part)	10/15/20	J	A	
25. FNMA CMO Series 2012-120QA	A	Interest	J	T					
26. Spyglass Res Corporation#0403	A	Dividend	J	T					
27. JPMorgan Prime MM 0403	A	Int./Div.	J	T					
28. Oaktree Specialty Lending	A	Dividend	J	T					
29. Blackstone Group Inc.	A	Distribution	J	T	Sold (part)	02/24/20	J	B	
30.					Sold (part)	05/16/20	K	D	
31. GNMA Remic Trust 3.5% 2013-104	B	Interest	J	T					
32. Eagle Class-JPMorgan Prime Money Market	A	Dividend	J	T					
33. Crestwood Equity Partners LP	A	Distribution	J	T					
34. GNMA Remic Trust 2013-131 KQ 3.5%	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Brookfield Real Assets Income Fund Inc.	A	Dividend	J	T					
36. JPMorgan Prime MM #0436	A	Dividend	J	T					
37. Two HBRS Invt. Corporation REIT #0403	A	Dividend	K	T					
38. Sandridge Permian Tr. Com. Unit Ben. Int.#0403	A	Distribution	J	T					
39. Barings Global Short Duration #0403	A	Dividend	K	T					
40. Cohen & Steers Quality Rlty Fd	A	Dividend	J	T					
41. FHLMC REMIC Series 4116 GA 2.5%	B	Interest	J	T					
42. General Electric Company	A	Dividend	J	T					
43. Amplify Energy Corp	A	Dividend	J	T					
44. ALERIAN ETF Trust	A	Dividend	J	T					
45. Consolidatd Comm. Hldgs. Inc. #0403	A	Dividend	J	T					
46. BGC Partners Inc.	A	Dividend	J	T					
47. Guggenheim MLP & Energy Fds. Port.	A	Dividend			Sold (part)	03/25/20	J	A	
48.					Sold	06/24/20	J	A	
49. Merck & Co.	A	Dividend	K	T	Buy (add'l)	02/07/20	J		
50. Putnam Premier Income Trust	A	Dividend	J	T					
51. Laredo Petroleum Inc.	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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52. First Trust Sabrient Dividend Portfolio Ser. 23	A	Dividend			Sold	04/28/20	J	A	
53. Eagle Growth and Income Opportunities Fd.	A	Dividend	K	T					
54. MPLX LP	A	Dividend	J	T					
55. Granite PT Mtg Tr. Inc.	A	Dividend			Sold	02/24/20	J	A	
56. Starwood Property Trust	A	Dividend	J	T					
57. Tortoise Pipeline & Energy #0403	A	Dividend	J	T					
58. Starwood Property Trust #0403	A	Distribution	K	T					
59. Monroe Cap. Corp.	A	Dividend			Sold	05/15/20	J	A	
60. Orbcomm Inc. #0436	A	Dividend	J	T					
61. Patterson Companies	A	Dividend	J	T					
62. Bristol Myers Squibb Co.	A	Dividend			Sold	03/13/20	J	A	
63. Commscope Hldg. Co.	A	Dividend	J	T					
64. Apollo Global Mgmt. Cl.	A	Dividend			Sold	03/23/20	J	A	
65. Whitehorse Fin. Inc.	A	Dividend			Sold	03/10/20	J	A	
66. Redwood Tr. Inc. REIT	A	Dividend	K	T					
67. First Trust High Dividend Equity Port. Series	A	Dividend	J	T					
68. FortressTrans. Infirst Invs.	A	Dividend			Sold	12/08/20	K	D	

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69. Ladder Cap Corp. CLA REIT	A	Dividend	J	T	Buy (add'l)	12/10/20	J		
70. Putnam Municipal Opportunities	A	Dividend	J	T					
71. Invesco Fin. Institutions Port. 193 Term	A	Dividend	J	T					
72. Guggenheim Diversified Cr. Port. of ETFs Term	A	Dividend	K	T					
73. Pennantpark Invt. Corp.	A	Dividend			Sold	03/06/20	J	A	
74. Capital Southwest Corp.	A	Dividend	K	T					
75. Solar Cap Ltd.	A	Dividend			Sold	03/16/20	K	A	
76. Wabtec Corp.	A	Dividend	J	T					
77. Ryder Systems Inc. #0403	A	Dividend	J	T					
78. Energy Transfer LP Com #0403	A	Distribution	J	T					
79. First Trust High Dividend Equity Port Ser. 40 #0403	A	Dividend	J	T					
80. Westrock Co. #0403	A	Dividend			Sold	01/23/20	J	A	
81. Pfizer Inc.	A	Dividend			Buy	01/21/20	K		
82.					Sold	03/23/20	J		
83. Metlife Inc.	A	Dividend	J	T	Buy	04/29/20	J		
84. Barings BDC Inc.	A	Dividend	J	T	Buy	04/29/20	J		
85. Guggenheim Conv. Inc. Portfolio FDS	C	Dividend	K	T	Buy	04/29/20	K		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86.					Buy (add'l)	07/21/20	J		
87.					Buy (add'l)	07/31/20	J		
88. Guggenheim US Capital Strength Port. 020 Term	A	Dividend	J	T	Buy	08/26/20	J		
89. Carlyle Group Inc.	A	Dividend	K	T	Buy	11/06/20	J		
90.					Buy (add'l)	11/12/20	J		
91. Equitable Holdings Inc.	A	Dividend	J	T	Buy	11/20/20	J		
92. TPG RE Fin. Tr Inc. REIT	A	Dividend	J	T	Buy	12/18/20	J		
93. Guggenheim Blue Chip Value Port.	A	Dividend	K	T	Buy	12/18/20	K		
94. Manulife Finl. Corp	A	Dividend			Buy	04/06/20	J		
95.					Sold	05/29/20	J		
96. NY Mellon Corp. #0403	A	Dividend			Buy	01/23/20	J		
97.					Sold	05/14/20	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MARTIN L.C. FELDMAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544