

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Finnegan, Sheila M.	2. Court or Organization District Court - Northern District of Illinois	3. Date of Report 12/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 219 S. Dearborn Street Room 2206 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Finnegan, Sheila M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Finnegan, Sheila M.	12/27/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Finnegan, Sheila M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Everbank Checking (now TIAA)	A	Interest	L	T					
2. U.S. Employee Credit Union (Checking and Saving)	A	Interest	J	T					
3. Pen Air Federal Credit Union (CD)	C	Interest	M	T	Buy	03/24/20	M		
4. American Express Natl Bank (CD)	C	Interest	N	T	Buy	03/26/20	N		
5. American Express Natl Bank (savings acct)	B	Interest	M	T	Buy	04/01/20	M		
6.					Sold (part)	04/22/20	L	A	
7. Marcus (Goldman Sachs) (savings acct)	C	Interest	M	T	Buy	03/27/20	N		
8.					Sold (part)	08/25/20	M	A	
9.					Sold (part)	08/26/20	L	A	
10.					Sold (part)	10/02/20	L	A	
11.					Sold (part)	12/10/20	K	A	
12. FIDELITY Brokerage Acct									
13. -Fidelity Municipal Money Mkt	A	Interest	L	T					
14. --Merck & Co	A	Dividend	J	T					
15. -VEU (Vanguard Intl Equity Index Fd FTSE All World Ex US)	B	Dividend	L	T					
16. -VTI (Vanguard Total Stk Mkt ETF)	E	Dividend	P1	T	Buy (add'l)	03/03/20	K		
17.					Buy (add'l)	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Finnegan, Sheila M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	03/23/20	K		
19.					Buy (add'l)	04/20/20	K		
20.					Buy (add'l)	06/11/20	K		
21.					Buy (add'l)	09/04/20	K		
22.					Buy (add'l)	09/21/20	K		
23.					Buy (add'l)	09/24/20	J		
24.					Buy (add'l)	12/10/20	K		
25.	-VWITX (Vanguard Interm Term Tax Exempt Inv)	A	Dividend		Sold	03/23/20	L		
26.	-FNSXX (Fid MMkt Institutional Class)	B	Dividend	K	T	Sold (part)	03/26/20	L	
27.					Sold (part)	03/11/20	L		
28.					Sold (part)	04/06/20	M		
29.					Buy (add'l)	08/11/20	L		
30.					Buy (add'l)	10/05/20	L		
31.					Sold (part)	10/19/20	L		
32.					Buy (add'l)	10/20/20	L		
33.					Sold (part)	12/10/20	M		
34.	-VXUS (Vanguard Star FD Total Intl Stock Index Fd)	D	Dividend	O	T	Buy (add'l)	03/16/20	J	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	03/23/20	K		
36.					Buy (add'l)	04/21/20	K		
37.					Buy (add'l)	06/11/20	J		
38.					Buy (add'l)	09/17/20	J		
39.					Buy (add'l)	09/21/20	J		
40.					Buy (add'l)	10/30/20	K		
41.					Buy (add'l)	12/10/20	K		
42. -FSMAX (Fid Extended Mkt Index Fund)	A	Dividend	K	T					
43. -AGG (iShare Core U.S. Aggregate Bond ETF)	E	Dividend	P1	T	Buy (add'l)	03/24/20	N		
44.					Buy (add'l)	08/27/20	M		
45.					Buy (add'l)	12/14/20	M		
46. -Arizona St. Transit Brd Hwy Rev. Bds Ser	A	Interest	K	T					
47. -Collin Cnty Tex Ultd Tax Rd and Ref Bds B	B	Interest	K	T					
48. -Elk Grove Village GO Bds Ser	A	Interest	K	T					
49. -Naperville ILL GO Ref BDS Ser	A	Interest	K	T					
50. -Ohio State Infrastr Impt GO Rev	A	Interest			Redeemed	08/03/20	K		
51. -Ohio St Univ Gen Rcpts	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Orange Cnty Fla Sales Tax Rev	B	Interest	K	T					
53. -Temple Ariz GO Bds Ser	A	Interest			Redeemed	07/01/20	K		
54. -Winnebago Cnty Ill Go Alt Ref BDS	A	Interest	K	T					
55. Rollover IRA (Fid-)									
56. -FDRXX (Fidelity Govt Cash Reserves)	A	Interest	J	T					
57. Rollover IRA 2 (Fid Multiple Roth)									
58. --TIP (Ishares Tips Bond ETF)	B	Dividend	M	T					
59. -VXUS (Vanguard Star FD Total Intl Stock Index ETF)	D	Dividend	M	T	Buy (add'l)	02/24/20	J		
60. -AGG (Ishares Core US Aggregate Bond ETF)	B	Dividend	L	T	Buy (add'l)	08/25/20	J		
61. -VTI (Vanguard Total Stock Mkt ETF)	E	Dividend	N	T	Buy (add'l)	02/24/20	K		
62. Roth IRA 1 (Fidelity)									
63. -FDRXSX (Fidelity cash reserves)	A	Dividend	J	T					
64. -Abbvie, Inc.	A	Dividend	J	T					
65. IRA Rollover Acct (Fidelity)									
66. -OPGSX (Oppenheimer Gold & Spec Minerals Cl A)	A	Dividend			Sold	03/24/20	J	A	
67. -AGG (iShare Core US Aggregate Bond ETF)	C	Dividend	M	T	Buy (add'l)	08/25/20	K		
68. Roth IRA Accts (Fidelity)									

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Name of Person Reporting

Finnegan, Sheila M.

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12/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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69. -FDRXX (Fidelity Cash Reserves)	A	Dividend	J	T					
70. -SPHIX (Fidelity High Income)	A	Dividend	J	T					
71. -AGG (Ishares Core U.S. Aggregate Bond ETF)	C	Dividend	M	T	Buy (add'l)	08/25/20	J		
72.									
73. Health Savings Acct IRA									
74. -VXUS (Vanguard Total Intl ETF)	A	Int./Div.	K	T					
75. -VTI (Vanguard Total Stock Mkt ETF)	B	Int./Div.	L	T					
76. -Federated Prime Cash Trust	A	Interest	J	T					
77.									
78. Fidelity 529 Plan (MA Portfolio 2015)	A	Int./Div.	K	T					
79. Illinois Bright Start 529 for (Index Age3 Based 12-14 Yrs)		None	K	T					
80. Illinois Bright Start for (Index Equity)	A	Int./Div.	K	T					
81. Illinois Bright Start for	A	Int./Div.	J	T	Buy	03/05/20	J		
82.					Buy (add'l)	11/19/20	J		
83. College Invest Stable Value Plan (MetLife) 529 Plan for	B	Int./Div.	K	T					
84.									
85.									

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Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Finnegan, Sheila M., 12/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period). Rows 86-91 are empty.

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Finnegan, Sheila M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sheila M. Finnegan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544