

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ferenbach, Vincent C.	2. Court or Organization U. S. District Court, Nevada	3. Date of Report 03/22/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Lloyd D. George U. S. Courthouse 333 Las Vegas Boulevard South Room #3005 Las Vegas, NV 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust (Revocable Trust for Estate Planning Purposes,)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 01/01/20	Legal Aid Center of Southern Nevada - Salary (employed full time)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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Ferenbach, Vincent C.	03/22/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking/Money Market Accounts	A	Interest	M	T					
2. Trust - U. S. Trust Account (H)									
3. Cash or Cash Equivalents	A	Interest	K	T					
4. Columbia Dividend Income Fund Class Z Shares	A	Dividend	K	T					
5. Columbia Large Cap Growth Fund		None	K	T					
6. Touchstone Sands Cap Select Growth Fund		None	K	T					
7. Columbia Mid Cap Index Fund Class Z Shares	A	Dividend	K	T					
8. Clearbridge Small Cap Growth Fund CL Y		None	K	T					
9. Artisan International Fund	A	Dividend	K	T					
10. Ishares MSCI Emerging Markets ETF	A	Dividend			Sold	04/14/20	J	A	
11. Columbia Intermediate Municipal Bond Fund Class Z Shares	A	Interest	J	T					
12. Columbia High Yield Municipal FD Class Z Shares	A	Interest	J	T					
13. Pimco All Asset Fund Inst CL	A	Dividend	J	T					
14. Testamentary Trust - U. S. Trust Account (H)									
15. Cash or Cash Equivalment	A	Interest	J	T					
16. SPDR S&P 500 EFT TR UNIT SER 1 Ticker: SPY	A	Dividend	L	T	Buy	01/28/20	K		
17.					Buy (add'l)	02/27/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	03/04/20	J		
19.					Buy (add'l)	03/26/20	J		
20. ISHARES RUSSELL MID-CAP ETF Ticker: IWR	A	Dividend	K	T	Buy	01/28/20	J		
21.					Buy (add'l)	02/27/20	J		
22.					Buy (add'l)	03/04/20	J		
23. ISHARES RUSSELL 2000 ETF Ticker: IWM	A	Dividend	K	T	Buy	01/28/20	J		
24.					Buy (add'l)	02/27/20	J		
25.					Buy (add'l)	03/04/20	J		
26. ISHARES MSCI EMERGING MARKETS ETF	A	Dividend	J	T	Buy	01/28/20	J		
27.					Buy (add'l)	03/04/20	J		
28. ISHARES SHORT TERM NATL AMT- FREE MUNI BOND ETF	A	Dividend	J	T	Buy	01/28/20	J		
29.					Buy	02/27/20	J		
30. Merrill Edge Roth IRA(H)									
31. SPDR S&P 500 ETF TRUST :SPY	A	Dividend	L	T	Buy	02/27/20	L		
32. VANGUARD TOTAL BOND MKT: BND	A	Interest	K	T	Buy	06/08/20	K		
33. VANGUARD S&P 500 GROWTH: VOOG	A	Dividend	L	T	Buy	03/12/20	L		
34. Life Insurance Trust (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Bank of America Account Cash Equivalent	A	Interest	J	T					
36. New York Life - Whole Life #1	C	Dividend	M	T					
37. Held Individually (H)									
38. New York Life - Whole Life #2	B	Dividend	L	T					
39. USAA SUBSCRIBER SAVINGS ACCOUNT	A	Distribution	J	U					
40. Shares - Pocono Mountain Bluestone Corporation		None	L	W					
41. 403(b) Account with Mutual of America(H)									
42. Mutual of America Moderate Allocation Fund		None	M	T	Buy (add'l)	01/15/20	J		
43.					Buy (add'l)	01/31/20	J		
44.					Buy (add'l)	02/15/20	J		
45.					Buy (add'l)	02/28/20	J		
46.					Buy (add'l)	03/13/20	J		
47.					Buy (add'l)	03/31/20	J		
48.					Buy (add'l)	04/15/20	J		
49.					Buy (add'l)	04/30/20	J		
50.					Buy (add'l)	05/15/20	J		
51.					Buy (add'l)	05/29/20	J		

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52.					Buy (add'l)	06/15/20	J		
53.					Buy (add'l)	06/30/20	J		
54.					Buy (add'l)	07/15/20	J		
55.					Buy (add'l)	08/15/20	J		
56.					Buy (add'l)	08/31/20	J		
57.					Buy (add'l)	09/15/20	J		
58.					Buy (add'l)	09/30/20	J		
59.					Buy (add'l)	10/15/20	J		
60.					Buy (add'l)	10/30/20	J		
61.					Buy (add'l)	11/13/20	J		
62.					Buy (add'l)	11/30/20	J		
63.					Buy (add'l)	12/15/20	J		
64.					Buy (add'l)	12/31/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Vincent C. Ferenbach**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544