

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flanagan, Louise W.	2. Court or Organization US District Court, NC	3. Date of Report 5/7/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address US Courthouse 413 Middle Street New Bern, NC 28560		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Flanagan, Louise W.	5/7/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	First Citizens Bank	Mortgage on Rental Property, Morehead City, NC (Part VII, line 2)	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deposits at First Citizens Bank	A	Interest	J	T					
2. Rental Property, Morehead City, NC (\$330,000, 7/14/2017)	E	Rent	N	R					
3. Receivable-Noucoux UN, LLC (Buyout of LLC Interest) (X)	B	Interest	L	T					
4. Reliance MetLife Series 25053 (formerly MET GAC Series)		None			Sold (part)	01/29/20	K	C	
5.					Buy (add'l)	02/10/20	J		
6.					Sold (part)	03/16/20	J	A	
7.					Sold (part)	03/20/20	J	A	
8.					Buy (add'l)	05/13/20	J		
9.					Sold (part)	06/15/20	J	A	
10.					Sold (part)	06/30/20	J	A	
11.					Buy (add'l)	08/07/20	J		
12.					Sold (part)	08/31/20	J	A	
13.					Sold (part)	09/01/20	O	F	
14.					Buy (add'l)	11/03/20	J		
15.					Sold	11/04/20	J	A	
16. Fidelity 500 Index Inv	B	Dividend			Sold (part)	01/29/20	J	B	
17.					Buy (add'l)	02/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	03/16/20	J	A	
19.					Sold (part)	03/20/20	J	A	
20.					Buy (add'l)	05/13/20	J		
21.					Sold (part)	06/15/20	J	A	
22.					Sold (part)	06/30/20	J	A	
23.					Buy (add'l)	08/07/20	J		
24.					Sold (part)	08/31/20	J	A	
25.					Sold (part)	09/01/20	M	E	
26.					Buy (add'l)	11/03/20	J		
27.					Sold	11/04/20	J	A	
28. Fidelity ExtMkt Index Inv	B	Dividend			Sold (part)	01/29/20	J	A	
29.					Buy (add'l)	02/10/20	J		
30.					Sold (part)	03/16/20	J	A	
31.					Sold (part)	03/20/20	J	A	
32.					Buy (add'l)	05/13/20	J		
33.					Sold (part)	06/15/20	J	A	
34.					Sold (part)	06/30/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	08/07/20	J		
36.					Sold (part)	08/31/20	J	A	
37.					Sold (part)	09/01/20	L	D	
38.					Buy (add'l)	11/03/20	J		
39.					Sold	11/04/20	J	A	
40. Europacific Growth R6		None			Sold (part)	01/29/20	J	A	
41.					Buy (add'l)	02/10/20	J		
42.					Sold (part)	03/16/20	J	A	
43.					Sold (part)	03/20/20	J	A	
44.					Buy (add'l)	05/13/20	J		
45.					Sold (part)	06/15/20	J	A	
46.					Sold (part)	06/30/20	J	A	
47.					Buy (add'l)	08/07/20	J		
48.					Sold (part)	08/31/20	J	A	
49.					Sold (part)	09/01/20	K	D	
50.					Buy (add'l)	11/03/20	J		
51.					Sold	11/04/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Mainstay Large Cap Growth I		None			Sold (part)	01/29/20	J	A	
53.					Buy (add'l)	02/10/20	J		
54.					Sold (part)	03/16/20	J	A	
55.					Sold (part)	03/20/20	J	A	
56.					Buy (add'l)	05/13/20	J		
57.					Sold (part)	06/15/20	J	A	
58.					Sold (part)	06/30/20	J	A	
59.					Buy (add'l)	08/07/20	J		
60.					Sold (part)	08/31/20	J	A	
61.					Sold (part)	09/01/20	M	F	
62.					Buy (add'l)	11/03/20	J		
63.					Sold	11/04/20	J	A	
64. MFS Value R4	B	Dividend			Sold (part)	01/29/20	J	B	
65.					Buy (add'l)	02/10/20	J		
66.					Sold (part)	03/16/20	J	A	
67.					Sold (part)	03/20/20	J	A	
68.					Buy (add'l)	05/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	06/15/20	J	A	
70.					Sold (part)	06/30/20	J	A	
71.					Buy (add'l)	08/07/20	J		
72.					Sold (part)	08/31/20	J	A	
73.					Sold (part)	09/01/20	M	E	
74.					Buy (add'l)	11/03/20	J		
75.					Sold	11/04/20	J	A	
76. J Hancock Disciplined Val MCap I		None			Sold (part)	01/29/20	J	A	
77.					Buy (add'l)	02/10/20	J		
78.					Sold (part)	03/16/20	J	A	
79.					Sold (part)	03/20/20	J	A	
80.					Buy (add'l)	05/13/20	J		
81.					Sold (part)	06/15/20	J	A	
82.					Sold (part)	06/30/20	J	A	
83.					Buy (add'l)	08/07/20	J		
84.					Sold (part)	08/31/20	J	A	
85.					Sold (part)	09/01/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	11/03/20	J		
87.					Sold	11/04/20	J	A	
88. Vanguard Total Int'l Stock Index	A	Dividend			Sold (part)	01/29/20	J	A	
89.					Buy (add'l)	02/10/20	J		
90.					Sold (part)	03/16/20	J	A	
91.					Sold (part)	03/20/20	J	A	
92.					Buy (add'l)	05/13/20	J		
93.					Sold (part)	06/15/20	J	A	
94.					Sold (part)	06/30/20	J	A	
95.					Buy (add'l)	08/07/20	J		
96.					Sold (part)	08/31/20	J	A	
97.					Sold (part)	09/01/20	K	C	
98.					Buy (add'l)	11/03/20	J		
99.					Sold	11/04/20	J	A	
100. American Balanced R6	B	Dividend			Sold (part)	01/29/20	J	A	
101.					Buy (add'l)	02/10/20	J		
102.					Sold (part)	03/16/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	03/20/20	J	A	
104.					Buy (add'l)	05/13/20	J		
105.					Sold (part)	06/15/20	J	A	
106.					Sold (part)	06/30/20	J	A	
107.					Buy (add'l)	08/07/20	J		
108.					Sold (part)	08/31/20	J	A	
109.					Sold (part)	09/01/20	M	E	
110.					Buy (add'l)	11/03/20	J		
111.					Sold	11/04/20	J	A	
112. Eagles Small Cap Growth		None			Sold (part)	01/29/20	J	A	
113.					Buy (add'l)	02/10/20	J		
114.					Sold (part)	03/16/20	J	A	
115.					Sold (part)	03/20/20	J	A	
116.					Buy (add'l)	05/13/20	J		
117.					Sold (part)	06/15/20	J	A	
118.					Sold (part)	06/30/20	J	A	
119.					Buy (add'l)	08/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 11 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	08/31/20	J	A	
121.					Sold	09/01/20	L	B	
122. Delaware Small Cap Value Inst'l		None			Sold (part)	01/29/20	J	A	
123.					Buy (add'l)	02/10/20	J		
124.					Sold (part)	03/16/20	J	A	
125.					Sold (part)	03/20/20	J	A	
126.					Buy (add'l)	05/13/20	J		
127.					Sold (part)	06/15/20	J	A	
128.					Sold (part)	06/30/20	J	A	
129.					Buy (add'l)	08/07/20	J		
130.					Sold (part)	08/31/20	J	A	
131.					Sold (part)	09/01/20	K	A	
132.					Buy (add'l)	11/03/20	J		
133.					Sold	11/04/20	J	A	
134. Metropolitan West Total Return	A	Interest			Sold (part)	01/29/20	J	A	
135.					Sold (part)	03/16/20	J	A	
136.					Sold (part)	03/20/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/15/20	J	A	
138.					Sold (part)	06/30/20	J	A	
139.					Sold (part)	08/31/20	J	A	
140.					Sold	09/01/20	J	A	
141. DoubleLine Total Return Bond	B	Dividend	L	T	Buy (add'l)	01/24/20	J		
142.					Buy (add'l)	04/06/20	L		
143.					Buy (add'l)	06/17/20	J		
144. PIMCO Income Fund Inst'l Class	C	Dividend	L	T	Buy (add'l)	01/24/20	K		
145.					Buy (add'l)	05/08/20	J		
146. Dreyfus Insured Deposit Acct	A	Int./Div.	N	T					
147. American Balance Fund Class 3	B	Dividend	K	T					
148. Angel Oak Multi Strategy Income	A	Dividend			Buy (add'l)	01/24/20	J		
149.					Sold	04/03/20	K	A	
150. Federated Strategic Value	C	Dividend	L	T	Buy (add'l)	06/17/20	J		
151.					Sold (part)	07/22/20	K	A	
152. Franklin Income Fund Advisor	C	Dividend	L	T					
153. Barrings Global Credit Income Fund	B	Dividend			Buy (add'l)	01/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/18/20	J		
155.					Sold (part)	03/23/20	K	A	
156.					Buy (add'l)	05/08/20	L		
157.					Sold	08/07/20	L	D	
158. DoubleLine Low Duration Bond	B	Dividend	N	T	Buy	10/01/20	N		
159. Guggenheim Total Return Bond	A	Dividend	K	T	Buy	07/22/20	K		
160. Guggenheim Limited Duration	B	Dividend	N	T	Buy	10/01/20	N		
161. Stone Ridge Alternative Funding	A	Dividend	J	T	Sold (part)	03/17/20	J	A	
162.					Sold (part)	06/16/20	J	A	
163.					Sold (part)	09/17/20	J	A	
164.					Sold (part)	12/10/20	J	A	
165. Blackrock Global Dividend	C	Dividend	L	T					
166. Thornburg Investment Income	B	Dividend	L	T					
167. Versus Capital Multi-Manager	A	Dividend			Sold	01/17/20	L	B	
168. JP Morgan Global Bond Opportunity	B	Dividend	K	T	Buy (add'l)	01/24/20	J		
169.					Buy (add'l)	06/17/20	J		
170. JP Morgan Income Builder	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. PIMCO Flexible Credit Income Fund	C	Dividend			Sold	05/05/20	L	A	
172. Pacific Funds Floating Rate	A	Dividend			Buy (add'l)	01/24/20	J		
173.					Sold	03/23/20	K	A	
174. PIMCO Low Duration Income Fund	B	Dividend	N	T	Buy	10/01/20	N		
175. PIMCO Short-Term Fund Institution	B	Dividend	N	T	Buy	10/01/20	N		
176. Putnam Ultra Short Duration Income	A	Dividend	N	T	Buy	10/01/20	N		
177. Ishares TR Select Divid ETF	B	Dividend	K	T					
178. Eagle Mid-Cap Growth	B	Dividend	L	T					
179. First Eagle Global Fund Class I	B	Dividend	K	T	Sold (part)	01/28/20	K	B	
180.					Sold (part)	07/22/20	K	C	
181. Fundamental Investors Class	B	Dividend	L	T					
182. JP Morgan Large-Cap Growth	B	Dividend	K	T	Buy	07/22/20	K		
183. JP Morgan Small-Cap Equity	A	Dividend	K	T					
184. Pershing Gov't Money Mkt Acct		None	J	T					
185. Alger Spectra Fund Class Z (formerly Alger Spectra Fund Class I)	C	Dividend	L	T					
186. Federated Strategic Value	A	Dividend			Sold (part)	01/28/20	K	A	
187.					Sold	03/26/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. MFS Mid-Cap Value Fund Class I	A	Dividend	L	T					
189. ARK ETF TR Innovation	A	Dividend	K	T	Buy	07/22/20	K		
190. ISHARES TR Core S&P Mid-Cap	B	Dividend	M	T	Sold (part)	01/28/20	K	C	
191. ISHARES TR Core S&P Small-Cap	B	Dividend	M	T	Sold (part)	01/28/20	K	C	
192. Prudential Jennison Global Opport	A	Dividend	K	T	Buy	07/22/20	K		
193. SPDR S&P 500 ETF TR Unit	B	Dividend	M	T					
194. Dreyfus Insured Deposit Acct	A	Int./Div.	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

Flanagan, Louise W.

Date of Report

5/7/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louise W. Flanagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544