

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FUNK, JERRY A.	2. Court or Organization BANKRUPTCY COURT	3. Date of Report 05/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 NORTH HOGAN ST #4-104 JACKSONVILLE, FLORIDA 32202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Abbvie Inc. Com	A	Dividend	J	T	Buy	07/10/20	J		
2. Abbvie Inc. Com		None			Buy (add'l)	10/02/20	J		
3. Alerian MLP ETF		None			Sold	01/06/20	J	B	
4. Amer Cent Small Cap Growth Inst # [REDACTED]		None			Sold (part)	03/25/20	J	A	
5. Amer Cent Small Cap Growth Y# [REDACTED]	D	Distribution	M	T	Spinoff (from line 4)	05/21/20	J		
6. Am South Bank of FLA IRA	A	Interest	J	T					
7. Artisan Intl FD # [REDACTED]	A	Dividend	L	T	Sold (part)	01/31/20	L	D	
8. Artisan Intl FD # [REDACTED]	B	Distribution	L	T	Sold (part)	03/25/20	K	C	
9. Artisan Mid Cap Fund # [REDACTED]	E	Distribution	M	T	Buy	03/25/20	L		
10. Ashmore Emerg Mkts CR DB-Ins	C	Dividend			Sold	03/25/20	M	A	
11. AT&T Inc.	A	Dividend	J	T					
12. Atlanta, GA WTR & WSTEWTR X	A	Interest	J	T					
13. Black Rock GL L/S Credit-k # [REDACTED]		None			Sold	01/31/20	L	B	
14. Blackstone Group Inc. CL A	A	Dividend	J	T	Buy	08/28/20	J		
15. Berkshire Hathaway Inc. Class B		None	K	T					
16. Boeing Co.	A	Dividend	J	T					
17. BNY Mellon Tax Sensitive Tot	B	Dividend	J	T	Buy (add'l)	03/25/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. BNY Mellon Tax Sensitive Tot		None			Sold	06/17/20	M	B	
19. Chevron Texaco Corp	A	Dividend	J	T	Buy (add'l)	08/18/20	J		
20. Chevron Texaco Corp		None			Sold (part)	10/30/20	J	B	
21. Citigroup Inc.	A	Dividend			Sold	08/28/20	J	B	
22. Coca Cola (common)	D	Dividend	O	T					
23. Cohen & Steers PR Sec & Inc # [REDACTED]	C	Dividend	L	T	Buy	01/31/20	L		
24. Cohen & Steers Realty Shares # [REDACTED]	C	Dividend	M	T	Buy	03/25/20	L		
25. Cohen & Steers Realty Shares # [REDACTED]	B	Distribution	M	T					
26. CVS Health Corp. Com	A	Dividend	J	T					
27. Delta Airlines Inc.	A	Dividend			Sold	05/15/20	J	B	
28. Dodge & Cox Int'l STK Fund # [REDACTED]	B	Dividend	L	T	Sold (part)	01/31/20	K	D	
29. Dodge & Cox Int'l STK Fund # [REDACTED]		None			Sold (part)	03/25/20	K	A	
30. Dodge & Cox Int'l STK Fund # [REDACTED]	C	Dividend	M	T	Buy (add'l)	03/25/20	L		
31. Dodge & Cox Int'l STK Fund # [REDACTED]	D	Distribution	M	T					
32. Downtown Savannah ATH GA X	A	Interest	J	T					
33. Duke Energy Corp New	A	Dividend			Sold	05/08/20	J	D	
34. Evergreen Core Equity Com STK FD	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Evergreen Enhanced S&P 500 FD CL	A	Dividend	K	T					
36. Evergreen Enhanced S&P FD CL I	A	Interest	J	T					
37. Evergreen Equity TR SPL Values FD	A	Interest	J	T					
38. Evergreen FDS Emerging MKTS Growth	A	Interest	J	T					
39. Evergreen FDS FLA MUN BD PORT	A	Interest	J	T					
40. Evergreen International Bond FD CL 1	A	Dividend	J	T					
41. Evergreen Large Cap Eq Fund	A	Dividend	J	T					
42. Evergreen Large Cap Equity FD Class I	A	Interest	J	T					
43. Evergreen Select Equity	A	Interest	J	T					
44. Fidelity New Markets Inc Z #	B	Dividend	L	T	Buy	03/25/20	L		
45. First TR Exchange Traded FD VI	A	Dividend			Sold	09/04/20	J	C	
46. FT Unit 726 DIV INC TR SER 3	A	Dividend	J	T					
47. Guggenheim S & P 500 EQU Weight	A	Dividend	J	T					
48. Harbor Capital Aprction-Inst #	E	Distribution	N	T	Buy (add'l)	03/25/20	L		
49. Hartford Midcap Fund-Y #		None			Sold	03/25/20	L	A	
50. Hilliard Lyons Gov't Fund Inc.	A	Interest	J	T					
51. Home Depot Inc.	A	Dividend	J	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Interlocal KY SCH DIST BD	A	Interest	K	T					
53. Inv Opp Develop Mrkts R6 # [REDACTED]	A	Dividend	L	T	Sold (part)	03/25/20	L	B	
54. IShares Core MSCI Emerging	A	Dividend	K	T					
55. ISHARES Russell Mid-Cap ETF	C	Dividend	N	T	Buy (add'l)	03/25/20	L		
56. ISHARES S&P Mid-Cap 400 G	A	Dividend	K	T					
57. ISHARES S&P 500 GRW ETF	A	Dividend	L	T					
58. Ishares Core S&P 500 ETF	D	Dividend	N	T	Buy	01/31/20	L		
59. ISHARES S & P 500 GRW FD	A	Dividend	K	T					
60. ISHARES S & P VLU INDX 500	A	Dividend	L	T					
61. ISHARES S & P M/C 400 GRW	A	Dividend	K	T					
62. ISHARES S & P M/C VLU 400	A	Dividend	L	T					
63. ISHARES S & P S/C 600 GRW	A	Dividend	L	T					
64. ISHARES S & P S/C 600 VLU	A	Dividend	K	T					
65. ISHARES TR MSCI Emerging	A	Dividend	K	T					
66. ISHARES Short Maturity Bond	A	Dividend			Merged (with line 67)	12/23/20			
67. Black Rock Short Maturity Bond	A	Dividend	K	T					
68. John Hancock Multi Fact LRG		None			Sold	03/27/20	K	E	

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69. JP Morgan Alerian MLP Index	A	Dividend			Buy	01/06/20	J		
70. JP Morgan Alerian MLP Index		None			Sold	03/13/20	J	A	
71. JP Morgan Chase & Co.	A	Dividend	J	T					
72. JP Morgan Mid Cap Value-L #	B	Dividend			Sold	03/25/20	L	A	
73. JP Morgan High Yield-I #	A	Dividend			Sold	01/31/20	K	A	
74. Johnson & Johnson	A	Dividend	J	T					
75. Lake Central Ind Multi-District RFDG BD	A	Interest	J	T					
76. McDonalds Corp	A	Dividend	J	T	Buy	03/27/20	J		
77. Merger Fund Class Inst #		None			Sold	03/25/20	K	B	
78. MFS Value Fund R6	C	Dividend	M	T					
79. MFS Value Fund R6	B	Distribution	M	T					
80. Morgan Stanley Private Bank NA	A	Interest	J	T					
81. Neuberger Berman Long SH-INS #		None			Sold	03/25/20	L	D	
82. Nextera Energy Inc.	A	Dividend	J	T	Buy	05/28/20	J		
83. Nuveen High Yield Muni BD-R6 #	B	Dividend	K	T	Sold (part)	03/25/20	J	A	
84. Nuveen Int Duration BD FD R #	D	Dividend	M	T	Sold (part)	03/25/20	L	A	
85. Nuveen LTD Term Muni BD R	C	Dividend	M	T	Buy (add'l)	01/31/20	M		

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86. Nuveen LTD Term Muni BD R [REDACTED]		None			Sold (part)	03/25/20	L	A	
87. O'Shares FTSE U.S. Quality Div.	A	Dividend			Sold	10/02/20	J	A	
88. Pfizer Inc.	A	Dividend	J	T					
89. Pimco Comm. Real Ret Strat-I# [REDACTED]	A	Dividend	L	T	Sold (part)	01/31/20	L	A	
90. Pimco Enhanced Shrt Mtrt Exc	A	Dividend	K	T					
91. Pimco Emerging Markets Bond FD	A	Dividend	K	T					
92. Pimco Foreign BD FD US\$ Hedged Inst CL	A	Interest	K	T					
93. Pimco Total Return ETF	A	Dividend	K	T					
94. Principal High Yield R6 # [REDACTED]	B	Dividend	K	T	Buy	01/31/20	K		
95. Procter & Gamble	A	Dividend	J	T					
96. Putnam Int'l Equity Fund Class A	A	Dividend	J	T					
97. Qualcomm Inc.	A	Dividend	J	T	Buy	09/04/20	J		
98. Wells Fargo Bank Secured Market Deposit Account	A	Interest	M	T					
99. Smith Barney Money Market	A	Interest	J	T					
100. Smith Barney Muni Funds FLA Portfolio Class A	A	Dividend	J	T					
101. Southern Company	A	Dividend	J	T					
102. SPDR DJ Wilshire Int'l Real	A	Dividend			Sold	03/25/20	J	A	

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103. SPDR EM Mkts Div ETF	A	Dividend			Sold	03/04/20	J	C	
104. SPDR SSGA Multi-Asset Real RTN		None			Sold	03/13/20	K	E	
105. Starbucks Corp Washington	A	Dividend	J	T	Buy	05/15/20	J		
106. Sterling Capital Stratton Small Cap	D	Distribution	L	T	Buy	03/25/20	L		
107. Sterling Capital Stratton Small Cap	A	Dividend	L	T					
108. The Financial Sel Sect SPDR FD	A	Dividend	J	T					
109. TCW Emerging Markets Inc # [REDACTED]	C	Dividend	M	T	Buy	03/25/20	M		
110. T Rowe Price Inst Emerging Markets Equity Fund # [REDACTED]	A	Dividend	J	T	Sold (part)	03/25/20	J	A	
111. T Rowe Price Real Estate FD	A	Dividend	J	T					
112. T Rowe Price Real Estate FD # [REDACTED]		None			Sold	03/25/20	L	A	
113. Total S A Spon ADR	A	Dividend			Sold	08/18/20	J	D	
114. Touchstone Mid Cap Inst # [REDACTED]	B	Distribution	M	T	Buy	03/25/20	L		
115. Truist Finl Corp	A	Dividend	J	T	Buy	03/27/20	J		
116. Undiscovered Mngrs Behav Val-L # [REDACTED]	A	Dividend			Sold	03/25/20	L	A	
117. Uniti Group Inc.	A	Dividend	J	T					
118. Vanguard FTSE Emerging Markets Ref: [REDACTED]	A	Dividend	K	T					
119. Vanguard FTSE Developed Markets	A	Dividend			Sold	06/18/20	K	E	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

FUNK, JERRY A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Vanguard Inflat-Prot Secs-ADM #	A	Dividend	K	T					
121. Vanguard Index FDS S&P 500 ETF	A	Dividend	L	T	Buy	03/27/20	K		
122. Vanguard REIT ETF	A	Dividend	K	T					
123. Vanguard Tax Exempt MMKT	C	Dividend	M	T					
124. Vanguard REIT Viper	B	Dividend	L	T					
125. Vanguard Interm Term T/E FD-ADM #	D	Dividend	N	T	Buy	01/31/20	K		
126. Verizon Communications	A	Dividend	J	T					
127. Virginia State HSG AUTH COMWLTH MTG SER	A	Interest	J	T					
128. Wachovia	C	Interest	M	T					
129. Wash. St. Real Estate, Jax, FL current value (\$242,487)		None			Sold	10/05/20	N	D	
130. Wells Fargo & Co. fka Wachovia Corp 2nd	A	Dividend	J	T					
131. Wells Fargo Dis US CR-R6 #		None			Sold	03/25/20	M	A	
132. Windstream		None			Closed	09/21/20			

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The investment listed on Line 4 of my report, Amer Cent Small Cap Grwth Inst # [REDACTED] was exchanged for the investment listed on Line 5 of my report, Amer Cent Small Cap Y # [REDACTED], on May 21, 2020.

On December 23, 2020, the asset listed on Line 66 of my report, I Shares Short Maturity Bond, was renamed Black Short Maturity Bond, which is listed on Line 67 of my report.

On September 21, 2020, Windstream, the asset listed on Line 132 of my report, was rendered worthless as a result of a Chapter 11 Bankruptcy proceeding.

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Name of Person Reporting

FUNK, JERRY A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JERRY A. FUNK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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