

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gallagher, Stephanie A.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary/Board Member	Federal Bar Association - Maryland Chapter
2. Board Member	Institute of Notre Dame
3. Board Member	Sister's Circle
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed (consultant)
2. 2020	WilmerHale (salary)
3. 2020	Mylan, Inc. (consultant)
4. 2020	Robinhood (salary)

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. [REDACTED]	Tuition	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Suntrust (cash)	A	Interest	M	T					
3. Rally Rd. (stock options) (X)		None	J	T					
4. Account #1 (H)									
5. Fidelity 500 Index Fund (FXAIX)	A	Dividend	J	T	Buy	03/31/20	J		
6. Fidelity Contrafund (FCNTX)	C	Dividend	L	T					
7. Glenmede Large Cap Core Inst (GTLIX)		None			Sold	03/31/20	J		
8. Account #2 (H)									
9. Fidelity Govt Cash Reserves (FDRXX) (cash sweep acct) (Y)									
10. Fidelity Total Market Index Fund (FSKAX)	D	Dividend	M	T					
11. Fidelity Contrafund (FCNTX)	C	Dividend	M	T	Buy (add'l)	12/30/20	K		
12. iShares Core S&P Total US Stock Mkt (ITOT)	B	Dividend	M	T					
13. iShares Russell 1000 Value (IWD)	A	Dividend			Sold	04/14/20	L		
14. iShares Russell 1000 Growth ETF (IWF)	A	Dividend	M	T	Buy	04/14/20	L		
15. Account #3 (H)									
16. Fidelity Govt Cash Reserves (FDRXX) (cash sweep acct) (X)	A	Interest	J	T					
17. Fidelity 500 Index Fund (FXAIX)	B	Dividend	L	T	Buy	03/31/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Glenmede Large Cap Core Inst (GTLIX)		None			Sold	03/31/20	L		
19. Account #4 (H)									
20. Akre Focus Fund Instl (AKRIX)		None	M	T	Buy	12/10/20	M		
21. iShares Core S&P Total US Stock (ITOT)		None	M	T	Buy	12/31/20	M		
22. iShares Core S&P 500 ETF (IVV)	B	Dividend	M	T	Buy	06/17/20	L		
23.					Buy (add'l)	06/30/20	K		
24.					Buy (add'l)	12/31/20	L		
25. iShares Tr Rus 1000 Grw ETF (IWF)		None	L	T	Buy	12/31/20	L		
26. iShares Tr Ultr Sh Trm Bd (ICSH)	A	Dividend			Buy	06/26/20	L		
27.					Sold	12/30/20	L		
28. JP Morgan Exchange-Traded FD Ultra Shrt Inc (JPST)	A	Dividend			Buy	06/26/20	L		
29.					Sold	12/30/20	L	A	
30. Account #5 (H)									
31. iShares Core S&P Total US Stock (ITOT)		None	K	T	Buy	12/31/20	K		
32. iShares Core S&P 500 (IVV)	A	Dividend	K	T	Buy	07/16/20	K		
33. iShares Tr Rus 1000 Grw ETF (IWF)		None	J	T	Buy	12/31/20	J		
34. Account #6 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Vanguard Instl Target Retirement 2035		None	K	T	Buy (add'l)	05/12/20	J		
36. Account #7 (H)									
37. Vanguard Russell 1000 Growth ETF (VONG)	A	Dividend	J	T	Buy	05/12/20	J		
38. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T	Buy	05/12/20	J		
39. Account #8 (H)									
40. Fidelity Treasury Money Market Fund (FZFX)	A	Dividend	O	T					
41. SPDR Series Trust SPDR Bloomberg (BIL)	A	Dividend			Sold	06/12/20	M	A	
42. Vanguard Intermidiate Tax Exempt Admiral (VWIUX)	A	Dividend	M	T	Buy	06/29/20	M		
43. iShares Tr 0-3 Mnth Treasry (SGOV)	A	Dividend	O	T	Buy	06/12/20	M		
44.					Buy (add'l)	06/30/20	N		
45. Viatris Inc Com (VTRS)		None	O	T					
46. Mylan NV (MYL)		None			Sold (part)	06/10/20	M		
47.					Sold	11/17/20	N		
48. Account #9 (H)									
49. T Rowe Price MD 529 Plan Portfolio 2021		None	M	T					
50. Account #10 (H)									
51. T Rowe Price MD 529 Plan Portfolio 2024		None	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Account #11 (H)									
53. Wells Fargo (cash) (Y)									
54. Symbiont (stock options)		None	L	U					
55. Symbiont stock		None	J	T					
56. Account #12 (H)									
57. JPMorgan Smart Retirement 2035 Cl R5 (SRJIX)	A	Dividend			Sold	07/07/20	L		
58. Account #13 (H)									
59. JPMorgan Smart Retirement 2040 Cl R5 (SMTIX)	A	Dividend			Sold	07/07/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephanie A. Gallagher**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544