

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gerrard, John M.	2. Court or Organization U.S. District Court, Nebraska	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 586 Federal Building 100 Centennial Mall North Lincoln, Nebraska 68508		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Governors	Nebraska Wesleyan University
2. Board of Directors	Federal Judges Association
3. Adjunct faculty	University of Nebraska College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Gerrard, John M.

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Nebraska College of Law adjunct salary	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	South Lincoln Psychiatry - psychiatric nurse practitioner salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gerrard, John M.	05/13/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Gerrard, John M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank Omaha Checking Account	A	Interest	L	T					
2. U.S. Bank Checking Account	A	Interest	K	T					
3. Stifel Bank Program Money Market Account	A	Interest	J	T					
4. AAIEX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
5.					Buy (add'l)	02/21/20	J		
6.					Sold (part)	05/04/20	J	A	
7.					Sold (part)	05/06/20	J	A	
8.					Buy (add'l)	05/29/20	J		
9.					Buy (add'l)	08/11/20	J		
10.					Buy (add'l)	08/13/20	J		
11. AEPFX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
12.					Sold (part)	05/04/20	J	A	
13.					Buy (add'l)	08/11/20	J		
14. AMCFX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
15.					Buy (add'l)	05/04/20	J		
16.					Sold (part)	08/11/20	J	A	
17.					Sold (part)	11/16/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CDC ETF	A	Dividend	K	T	Sold (part)	04/09/20	J	A	
19.					Buy (add'l)	11/16/20	J		
20. CDL ETF	B	Dividend	K	T	Buy (add'l)	04/09/20	J		
21.					Buy (add'l)	11/16/20	J		
22. CEZ ETF	A	Dividend	J	T	Buy (add'l)	04/09/20	J		
23. CFA ETF	A	Dividend	L	T	Buy (add'l)	04/09/20	J		
24. CFO ETF	A	Dividend	L	T	Sold (part)	04/09/20	J	A	
25. CIL ETF	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
26. CIZ ETF	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
27. CSA ETF	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
28. CSF ETF	A	Dividend	K	T	Buy (add'l)	04/09/20	J		
29. FINSX Mutual Fund	A	Dividend			Buy (add'l)	02/21/20	J		
30.					Sold	05/06/20	J	A	
31. FMIYX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
32.					Buy (add'l)	02/21/20	J		
33.					Buy (add'l)	05/04/20	J		
34.					Buy (add'l)	05/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	05/29/20	J		
36.					Buy (add'l)	08/11/20	J		
37.					Buy (add'l)	08/13/20	J		
38. FSCCX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
39.					Buy (add'l)	02/21/20	J		
40.					Buy (add'l)	05/04/20	J		
41.					Buy (add'l)	05/06/20	J		
42.					Buy (add'l)	05/29/20	J		
43.					Buy (add'l)	08/11/20	J		
44.					Buy (add'l)	08/13/20	J		
45.					Buy (add'l)	11/16/20	J		
46.					Buy (add'l)	11/18/20	J		
47. GICIX Mutual Fund	A	Dividend	J	T	Sold (part)	02/19/20	J	A	
48.					Sold (part)	02/21/20	J	A	
49.					Sold (part)	05/04/20	J	A	
50.					Sold (part)	05/06/20	J	A	
51.					Buy (add'l)	05/29/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	08/11/20	J		
53.					Buy (add'l)	08/13/20	J		
54. IAU ETF	A	Dividend	J	T	Buy (add'l)	01/21/20	J		
55.					Buy (add'l)	02/19/20	J		
56.					Sold (part)	03/30/20	J	A	
57.					Buy (add'l)	07/21/20	J		
58.					Buy (add'l)	10/20/20	J		
59. IEMG ETF	A	Dividend	J	T	Buy	03/30/20	J		
60.					Sold (part)	07/21/20	J	A	
61.					Buy (add'l)	10/20/20	J		
62. IJJ ETF	A	Dividend			Buy (add'l)	02/19/20	J		
63.					Sold	03/30/20	J	A	
64. IJK ETF	A	Dividend			Buy (add'l)	02/19/20	J		
65.					Sold	03/30/20	J	A	
66. IJS ETF	A	Dividend			Buy (add'l)	02/19/20	J		
67.					Sold	03/30/20	J	A	
68. IJT ETF	A	Dividend			Sold (part)	01/21/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	02/19/20	J		
70.					Sold	03/30/20	J	A	
71. IVE ETF	A	Dividend			Sold (part)	01/21/20	J	B	
72.					Buy (add'l)	02/19/20	J		
73.					Sold	03/30/20	J	A	
74. IVW ETF	A	Dividend			Sold (part)	01/21/20	J	A	
75.					Buy (add'l)	02/19/20	J		
76.					Sold	03/30/20	J	A	
77. IYMIX Mutual Fund	A	Dividend			Sold (part)	02/19/20	J	A	
78.					Sold (part)	02/21/20	J	A	
79.					Sold (part)	05/04/20	J	A	
80.					Sold	05/06/20	J	A	
81. MADVX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/21/20	J		
82.					Sold (part)	05/06/20	J	A	
83.					Buy (add'l)	05/29/20	J		
84.					Buy (add'l)	08/13/20	J		
85.					Buy (add'l)	11/18/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. MDYG ETF	A	Dividend	J	T	Buy	03/30/20	J		
87.					Sold (part)	07/21/20	J	A	
88.					Sold (part)	10/20/20	J	B	
89. MDYV ETF	A	Dividend	J	T	Buy	03/30/20	J		
90.					Buy (add'l)	07/22/20	J		
91.					Sold (part)	10/20/20	J	A	
92. MFEIX Mutual Fund	A	Dividend	J	T	Buy	05/06/20	J		
93.					Buy (add'l)	05/29/20	J		
94.					Sold (part)	08/13/20	J	A	
95.					Sold (part)	11/18/20	J	A	
96. MISGX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
97.					Buy (add'l)	02/21/20	J		
98.					Sold (part)	05/04/20	J	A	
99.					Sold (part)	05/06/20	J	A	
100.					Buy (add'l)	05/29/20	J		
101.					Buy (add'l)	08/11/20	J		
102.					Buy (add'l)	08/13/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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103.					Buy (add'l)	11/16/20	J		
104.					Buy (add'l)	11/18/20	J		
105. MQGIX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/21/20	J		
106.					Sold (part)	05/06/20	J	A	
107.					Buy (add'l)	05/29/20	J		
108.					Buy (add'l)	08/13/20	J		
109. ODVYX Mutual Fund	A	Dividend	J	T	Buy (add'l)	02/19/20	J		
110.					Buy (add'l)	02/21/20	J		
111.					Sold (part)	05/04/20	J	A	
112.					Sold (part)	05/06/20	J	A	
113.					Buy (add'l)	05/29/20	J		
114.					Buy (add'l)	08/11/20	J		
115.					Buy (add'l)	08/13/20	J		
116. SLV Trust	A	Dividend	J	T	Buy	03/30/20	J		
117.					Sold (part)	07/21/20	J	A	
118.					Sold (part)	10/20/20	J	A	
119. SLYG ETF	A	Dividend	J	T	Buy	03/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	07/21/20	J	A	
121.					Sold (part)	10/20/20	J	A	
122. SLYV ETF	A	Dividend	J	T	Buy	03/30/20	J		
123.					Buy (add'l)	07/21/20	J		
124.					Sold (part)	10/20/20	J	A	
125. SMGIX Mutual Fund	A	Dividend	K	T	Buy (add'l)	02/19/20	J		
126.					Buy (add'l)	02/21/20	J		
127.					Buy (add'l)	05/04/20	J		
128.					Buy (add'l)	05/06/20	J		
129.					Buy (add'l)	05/29/20	J		
130.					Sold (part)	08/11/20	J	A	
131.					Sold (part)	08/13/20	J	A	
132.					Sold (part)	11/16/20	J	A	
133.					Sold (part)	11/19/20	J	A	
134. SPDW ETF	A	Dividend	J	T	Buy	10/20/20	J		
135. SPY ETF	A	Dividend	L	T	Sold (part)	02/19/20	J	A	
136.					Sold (part)	04/02/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/13/20	K		
138. SPYG ETF	A	Dividend	J	T	Buy	03/30/20	J		
139.					Sold (part)	07/21/20	J	A	
140.					Sold (part)	10/20/20	J	A	
141. SPYV	A	Dividend	J	T	Buy	03/30/20	J		
142.					Buy (add'l)	07/21/20	J		
143.					Buy (add'l)	10/20/20	J		
144. TLH ETF	A	Dividend			Buy (add'l)	01/21/20	J		
145.					Buy (add'l)	02/19/20	J		
146.					Sold	03/30/20	J	A	
147. TLT ETF	A	Dividend			Buy (add'l)	01/21/20	J		
148.					Sold	03/30/20	J	B	
149. VEUSX Mutual Fund	A	Dividend			Buy	02/19/20	J		
150.					Buy (add'l)	02/21/20	J		
151.					Sold (part)	05/04/20	J	A	
152.					Sold	05/06/20	J	A	
153. VNQ ETF	A	Dividend			Buy	01/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Gerrard, John M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

154.					Sold	03/30/20	J	A	
155. WFMIX Mutual Fund	A	Dividend			Sold (part)	02/19/20	J	A	
156.					Sold (part)	02/21/20	J	A	
157.					Sold (part)	05/04/20	J	A	
158.					Sold	05/06/20	J	A	
159. WMFFX Mutual Fund	A	Dividend	K	T	Buy (add'l)	02/19/20	J		
160.					Sold (part)	05/04/20	J	A	
161.					Buy (add'l)	08/11/20	J		
162.					Buy (add'l)	11/16/20	J		
163. American General Universal Life Insurance		None	J	T					
164. Nebraska Judges Retirement System (no control)	A	Interest	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Gerrard, John M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John M. Gerrard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544