

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Glenn, Martin	2. Court or Organization U.S. Bankruptcy Court, SDNY	3. Date of Report 07/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address One Bowling Green, Room 528 New York, NY 10004-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Columbia Law School
2. Contributing Author	Collier on Bankruptcy (Mathew Bender)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	O'Melveny & Myers LLP partnership retirement plan (pursuant to Partnership Agreement) with former law firm -- no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	O'Melveny & Myers LLP retirement income	\$184,908.00
2. 2019	Columbia Law School	\$0.00
3. 2019	Mathew Bender (Collier)	\$0.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	INSOL Int'l	1/13/2020-1/18/2020	New Delhi, India	Speak at Seminar	Transportation, Food, Hotel
2.	American College of Bankruptcy	2/4/2020-2/5/2020	Washington, DC	Speak at Seminar	Transportation, Food, Hotel
3.	INSOL Int'l	2/12/2020-2/14/2020	Mexico City, Mexico	Speaker at Seminar	Transportation, Food, Hotel
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Brokerage Account									
2. Amgen Inc. common	C	Dividend	M	T					
3. Cisco Systems Inc. common	C	Dividend	L	T					
4. Clearbridge Value Trust C	A	Dividend	M	T					
5. Energizer Holdings common	A	Dividend	J	T					
6. Edgewell Personal Care (formerly part of Energizer)	A	Dividend	J	T					
7. Franklin Rising Dividends C	B	Dividend	L	T					
8. IBM Corp common	A	Dividend	K	T					
9. KLA-Tencor Corp. common	B	Dividend	N	T					
10. MFS Utilities Fund CL C	A	Dividend	L	T					
11. Proctor & Gamble Co. common	A	Dividend	K	T					
12. Target Corp. common	B	Dividend	L	T					
13. Olstein All Cap Value Fund Class C	C	Dividend	O	T					
14. Pioneer High Yield FD CL C	D	Dividend	N	T					
15. Invesco (formerly Van Kampen) Equity & Income Fund Class C)	C	Dividend	M	T					
16. Western Asset High Income Opportunity FD	A	Dividend	K	T					
17. Western Asset Municipals A	B	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. UTS First Trust Dow Target	E	Int./Div.			Sold	12/03/20	P1	G	
19. UTS First Trust Dow Target	B	Int./Div.	P1	T	Buy	12/03/20	P1		
20. NYC GEN OBL BONDS	E	Interest	O	T					
21. NYS ENVRMTL FAC CRP BONDS	B	Interest	M	T					
22. NYC TRANSITIONAL FIN AUTH BOND	D	Interest	M	T	Buy	05/14/20	M		
23. NYC Municipal Water Finance Authority	C	Interest	M	T					
24. PORT AUTH NY & NJ CONS	D	Interest	M	T					
25. METROPOLITAN TSPTN AU NY BOND	A	Interest	L	T					
26. NYS ENV FACS CRP BONDS	C	Interest	M	T					
27. TRIBOROUGH BRDG & TUN AUTH NY BONDS	D	Interest	M	T					
28. NYS DORM AU REV MEM SLOAN BOND	C	Interest	L	T					
29. NYS DORM AUTH BDS	B	Interest			Sold	07/01/20	J		
30. NYS DORM AUTH BDS	B	Interest	M	T					
31. NYS NYU DORM AUTH REV BDS	A	Interest	M	T					
32. NYS Thruway Auth Genl Rev	C	Interest	L	T					
33. NYS Mtg Agency	A	Interest	M	T					
34. NYS GO	B	Interest	K	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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35. New York N OID	A	Interest	M	T					
36. NYS Housing Finance Agency Affordable Rev-D	C	Interest	K	T					
37. Morgan Stanley Bank	D	Interest	L	T					
38. Fidelity High Income Fund	A	Dividend	J	T					
39. Citibank Bank Accounts	A	Interest	L	T					
40.									
41.									
42. O & M Investment Partners LP/Michael T. Jackson Investments	B	Int./Div.	M	T					
43.									
44.									
45. AXA Equitable Flexible Premium Variable Life--Policy									
46. AXA Large Cap Value Managed Volatility	A	Dividend	J	T					
47. AXA Guaranteed Interest	A	Interest	J	T					
48. AXA Multimanager Aggressive Equity	A	Dividend	K	T					
49.									
50.									
51.									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. [REDACTED] Morgan Stanley IRA Account # 1									
53. Legg Mason Ptnrs (Clearbridge) Aggressive Growth Fund Class A	C	Dividend	O	T					
54. Legg Mason (Clearbridge) Ptnrs Capital & Income Fund Class A	E	Dividend	P1	T					
55. Legg Mason (Clearbridge) Appreciation Fund Class A	D	Dividend	P1	T	Sold (part)	11/04/20	M		
56. Legg Mason (Clearbridge) Value Trust Inc.	B	Dividend	N	T					
57. MFS Utilities Fund CL C	B	Dividend	L	T					
58. Olstein All CAP Value Fund Class C		None	N	T					
59. Morgan Stanley Bank (formerly Citibank)	A	Interest	M	T					
60. JP Morgan Chase Certificate of deposit	A	Interest	L	T					
61. Columbia Convertible Secs A	C	Dividend	P1	T	Buy	11/04/20	P1		
62.									
63. [REDACTED] Morgan Stanley IRA Account									
64. Legg Mason (Clearbridge) Capital & Income Fund CL C	A	Dividend	K	T					
65. Lord Abbett Balanced Strategy Fund CL C	A	Dividend	K	T					
66. Olstein All Cap Value Fund CL C		None							
67. Morgan Stanley Bank (formerly)	A	Interest	J	T					
68. First Eagle Global Fund	A	Dividend	J	T					

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69. MFS Utilities Fund CL C	A	Dividend	J	T					
70.									
71. Morgan Stanley 529 College Savings Plans									
72. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		
73. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		
74. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		
75. FBO [REDACTED] - JP Morgan 529 Aggr AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		
76. FBO [REDACTED] - JP Morgan 529 Mod GW AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		
77. FBO [REDACTED] - JP Morgan 529 Mod AB PTF A	A	Dividend	M	T	Buy	12/07/20	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the entry in Part VII, line 42, I hold a limited partnership interest in a fund that invests in publicly traded securities. I have never received any distributions from this limited partnership. To the extent that the fund receives income, the value of my investment increases, and the value at year end is reflected in column C(1). For income tax reporting purposes, the K-1 return I receive reflects income (or losses) derived from the following sources: ordinary dividends, interest income, short-term capital gains (or losses) and long-term capital gains (or losses). In line 42, column B(2), I have selected "Int/Div" as the income type, but it should be noted that income reported for tax purposes also includes income (or losses) from short and long term capital gains (or losses). My entry in line 42, column B(1) reflects my percentage share for income (or losses) reported from all categories of income as explained in this paragraph.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martin Glenn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544