

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grandy, Laura K.	2. Court or Organization Bankruptcy Court - Southern Illinois	3. Date of Report 11/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address Melvin Price Federal Building United States Courthouse 750 Missouri Avenue East St. Louis, IL 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/02/2010	Mathis, Marifian & Richter Ltd. See Note in Part VIII
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Mathis, Marifian & Richter, Ltd.	\$34,694.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of Springfield	Mortgage - Pineywood Property	K
2. Bank of Belleville	Mortgage on building by MMRG Building LLC	P1
3. Bank of Belleville	Mortgage on rental home- St. George Island, FL	O
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Variable Paid Up Life Insurance Policy (H)									See Note in Part VIII
2. International Equity Franklin Tpl		None	K	T					See Note in Part VIII
3. Small Cap Growth Wellington		None	K	T					See Note in Part VIII
4. International Developed Markets Formerly Russell Non-US		None	K	T					See Note in Part VIII
5. Domestic Equity Delaware Mgmt		None	K	T					See Note in Part VIII
6. Focused Appreciation Janus		None	K	T					See Note in Part VIII
7. Equity Income T Rowe Price		None	K	T					See Note in Part VIII
8. Northwestern Mutual 65 Life Insurance Policy	D	Dividend	M	T					
9. Northwestern Mutual 65 Life Insurance Policy	B	Dividend	M	T					See Note in Part VIII
10. Raymond James Trust U/A dtd 07/02/98 (H)									
11. Apple Inc (APPL)	B	Dividend	N	T					
12. First Eagle Global Fund A (SGENX)	C	Dividend	M	T					
13. Federated Hermes Kaufmann Lg Cap A (KLCAX)	D	Dividend	M	T					
14. Ivy Core Equity Fund (WCEAX)	B	Dividend	L	T					
15. Raymond James (H)									
16. Federated Hermes Kaufmann Large Cap A (KLCAX)	C	Dividend	L	T					
17. Fundamental Investors Fund Class A (ANCFX)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. Federated Hermes Strategic Value (SVAAX)	B	Dividend	K	T					
19. Lord Abbott Growth (LGLCX)	D	Dividend	M	T					
20. American Funds Europacific (AEPFX)		None			Sold	06/12/20	J	A	
21. AF American Mutual F2 (AMRFX)	A	Dividend	J	T	Sold (part)	07/29/20	J	A	
22. Champlain Mid Cap Fund (CIPIX)	A	Dividend	J	T	Sold (part)	07/28/20	J	A	
23. MFS Research Fund (MRFIX)	A	Dividend	J	T	Sold (part)	07/29/20	J	A	
24. T Rowe Price Sm Cap (PRSVX)	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
25. Clearbridge Equity (SOPYX)	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
26. Wells FargoAdvantage Int (WFBVX)		None			Sold	06/12/20	J		
27. Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
28. John Hancock Disciplined Value (JVMIX)	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
29. Metropolitan West Rtn Bond (MWTIX)	A	Dividend	K	T	Sold (part)	06/11/20	J	A	
30. Prudential Absolute (PADZX)	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
31. Pioneer Fundamental Growth (PIGFX)	D	Dividend	M	T					
32. Baird Aggregate Bond Fund Instl Class (BAGIX)	A	Dividend	J	T	Sold (part)	07/28/20	J	A	
33. First TR Exchange Traded FD VI Dorsey Wright Focus (FV)	A	Dividend	K	T					
34. Raymond James (H)									

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35. Cash/Money Market	A	Interest	J	T					
36. Blackrock Global (MALOX)	C	Dividend	K	T					
37. Lord Abbott Bond (LBDFX)	A	Dividend	J	T					
38. Pineywoods, Swansea, IL (2001 \$226,000)		None	M	R					
39. MMRG Building, Belleville, IL (2003 \$370,000)		None	N	R					
40. Merrill Lynch (H)									
41. Cash/Money Market Trust	A	Interest	K	T					
42. Cash - IRA	A	Interest	J	T					
43. Bank Deposit OPP	A	Interest	L	T					
44. Danaher Corp DEL COM (CMCSA)	A	Dividend	K	T					
45. Honeywell Intl Inc Del (HON)	A	Dividend			Sold (part)	07/14/20	J	B	
46.					Sold (part)	07/29/20	J	C	
47.					Sold	08/11/20	J	C	
48. Loomis Sayles Growth (LSGRX)	B	Dividend	K	T					
49. Bank of America Merrill Lynch Wealth Mgmt 68	A	Interest	K	T					
50. Bank of America Money Market Checking Acct 84	A	Interest	J	T					
51. Equitable AXA Accumultor 2004 (H)									

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52. EQ/Moderate Allocation	D	Dividend	M	T					
53. Apple Inc (AAPL)	C	Dividend	N	T					
54. Amazon Com Inc (AMZN)		None	L	T	Sold (part)	03/02/20	J	D	
55. McDonald's Corp (MCD)	A	Dividend	K	T					
56. Harding Loevner Emerg Markets Fund (HLEMX)	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
57. Artisan International Fund (APDIX)		None			Sold	06/11/20	J	B	
58. Facebook (FB)		None	L	T					
59. Mastercard (MA)	A	Dividend	K	T					
60. Federated Hermes Kaufman (KAUIX)	C	Dividend	K	T					
61. AIG Focused (FDSWX)	A	Dividend	J	T					
62. Thornburg Intl Growth Class C (TIGCX)	A	Dividend	K	T					
63. Waste Management (WM)	A	Dividend			Sold (part)	04/01/20	J	A	
64.					Sold	04/21/20	J	B	
65. Fedserated Hermes Kaufman Small Cap Class A (FKASX)	A	Dividend	L	T					
66. Federated Hermes International Strategic Value Div (IVFAX)	C	Dividend	L	T					
67. Loomis Sayles Growth (LSGRX)	A	Dividend	J	T	Sold (part)	07/28/20	J	B	
68. Advanced Micro (AMD)		None	L	T	Sold (part)	01/22/20	J	D	

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69.					Sold (part)	05/13/20	J	C	
70.					Sold (part)	06/04/20	J	C	
71.					Sold (part)	07/24/20	J	D	
72.					Sold (part)	09/04/20	J	B	
73.					Sold (part)	12/28/20	J	D	
74. Diageo PLC SPSP (DEO)	A	Dividend			Sold	03/02/20	K	A	
75. Kansas City Southern (KSU)	A	Dividend	K	T	Sold (part)	07/14/20	J	A	
76. Kinder Morgan Inc Del (KMI)	A	Dividend			Sold (part)	01/09/20	J	B	
77.					Buy (add'l)	06/25/20	J		
78.					Sold (part)	09/09/20	J		
79.					Sold (part)	09/11/20	J		
80.					Sold	09/15/20	J		
81. Pepsico (PEP)	A	Dividend			Sold (part)	06/23/20	K	B	
82.					Sold	07/06/20	J		
83. Royal Dutch Shell (RDSB)	A	Dividend			Sold	03/12/20	J		
84. VISA (V)	A	Dividend	K	T	Sold (part)	07/14/20	J	A	
85.					Sold (part)	11/19/20	J	B	

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86. First Capital Strength Series 41		None			Sold	01/31/20	K	D	
87. First Trust Tech Select Series 71	A	Dividend			Sold	11/04/20	L	E	
88. First Trust Dividend Series 41	A	Dividend			Sold	07/29/20	K		
89. American Funds Growth Class C (GWPCX)	C	Dividend	M	T					
90. Principal Blue Chip Class C (PBLCX)	C	Dividend	M	T					
91. Federated Hermes ST Income Class Y N/L (FSTYX)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
92. Broadway Prom LLC		None	J	T					
93. St. George Island, FL (8/30/2018)		None	P1	W					
94. Blackrock Mid Cap Growth (CMGIX)		None	K	T					
95. Blackrock Equity Dividend (MADVX)	A	Dividend	K	T					
96. Alphabet Inc (GOOGL)		None	K	T	Buy (add'l)	01/09/20	J		
97.					Buy (add'l)	01/22/20	J		
98.					Buy (add'l)	04/16/20	J		
99. American Tower REIT (AMT)	A	Dividend			Buy (add'l)	03/27/20	J		
100.					Buy (add'l)	04/01/20	J		
101.					Sold	12/14/20	K	A	
102. Analog Devices (ADI)	A	Dividend			Sold (part)	01/02/20	J	A	

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103.					Sold (part)	02/14/20	J	A	
104.					Sold	03/12/20	J		
105. Apple (APPL)	A	Dividend	L	T	Sold (part)	07/23/20	J	B	
106.					Sold (part)	08/07/20	J	C	
107. AT&T (T)	B	Dividend			Buy (add'l)	07/14/20	J		
108.					Sold	10/20/20	K		
109. Benton Dickinson (BDX)	A	Dividend			Buy (add'l)	04/08/20	J		
110.					Sold	08/11/20	K	A	
111. Centene (CNC)		None			Buy (add'l)	03/05/20	J		
112.					Sold (part)	04/20/20	K	B	
113.					Sold	06/05/20	J	A	
114. Cisco (CSCO)	A	Dividend			Sold	04/28/20	K		
115. Disney (DIS)	A	Dividend			Sold	04/14/20	J		
116. Thermo Fisher Scientific (TMO)	A	Dividend	K	T	Sold (part)	11/19/20	J	D	
117. Ishares Russell 1000 (IWF)	A	Dividend			Buy (add'l)	03/19/20	K		
118.					Buy (add'l)	05/08/20	J		
119.					Buy (add'l)	05/22/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Sold (part)	05/19/20	K	A	
121.					Sold (part)	06/10/20	J	A	
122.					Sold (part)	07/14/20	J	A	
123.					Sold (part)	08/13/20	J	B	
124.					Sold	12/30/20	K	D	
125. Putnam Diversified (PDVYX)	A	Dividend	K	T	Buy (add'l)	02/12/20	J		
126.					Buy (add'l)	04/15/20	J		
127. Sector SPD Utilities	A	Dividend			Sold	08/04/20	J		
128. SPDR Gold Trust		None	K	T	Buy (add'l)	03/05/20	J		
129. AQR Large Cap Defen (AUEIX)	A	Dividend	J	T	Buy (add'l)	06/12/20	J		
130. Pimco Low Duration (PLDPX)	A	Dividend	J	T					
131. T Rowe Price US Equity Research (PRCOX)	A	Dividend	J	T	Sold (part)	07/28/20	J	A	
132. Broadcom Ltd (AVGO)	A	Dividend	K	T	Buy	02/14/20	J		
133.					Buy (add'l)	04/02/20	J		
134.					Buy (add'l)	04/14/20	J		
135. Charter Communications (CHTR)		None	K	T	Buy	07/17/20	J		
136.					Buy (add'l)	09/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grandy, Laura K.

Date of Report

11/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Citrix Systems (CTXS)	A	Dividend			Buy	04/28/20	J		
138.					Sold	08/12/20	J		
139. Conoco Phillips (COP)		None	J	T	Buy	11/13/20	J		
140.					Buy (add'l)	11/23/20	J		
141. Costco Wholesale (COST)	A	Dividend	K	T	Buy	06/05/20	J		
142.					Buy (add'l)	07/14/20	J		
143.					Buy (add'l)	12/07/20	J		
144. Crowdstrike Holdings (CRWD)		None	J	T	Buy	12/30/20	J		
145. Ecolab (ECL)	A	Dividend	J	T	Buy	04/24/20	J		
146. Fedex Corp Delaware (FDX)	A	Dividend	K	T	Buy	10/20/20	K		
147. Kraft Heinz (KHC)	A	Dividend	J	T	Buy	11/20/20	J		
148.					Buy (add'l)	11/23/20	J		
149. Microsoft	A	Dividend	K	T	Buy	03/27/20	J		
150.					Buy (add'l)	04/01/20	J		
151. Monster Beverage (MNST)		None	K	T	Buy	09/21/20	J		
152.					Buy (add'l)	11/19/20	J		
153. Nextera Energy Inc (NEE)	A	Dividend	K	T	Buy	11/27/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/18/20	J		
155. Nutrien Ltd (NTR)	A	Dividend	K	T	Buy	07/16/20	J		
156.					Buy (add'l)	07/17/20	J		
157.					Buy (add'l)	08/13/20	J		
158.					Buy (add'l)	08/14/20	J		
159.					Buy (add'l)	11/13/20	J		
160. Occidental Pete Corp (OXY)	A	Dividend			Buy	01/09/20	J		
161.					Buy (add'l)	03/05/20	J		
162.					Sold (part)	04/22/20	J		
163.					Sold	05/05/20	J		
164. Raytheon Technologies (RTX)		None			Buy	06/05/20	J		
165.					Buy (add'l)	06/18/20	J		
166.					Sold	07/31/20	J		
167. Twain S Mfg ADR (TSM)	A	Dividend	K	T	Buy	01/03/20	J		
168.					Buy (add'l)	01/17/20	J		
169.					Buy (add'l)	03/30/20	J		
170. United Health Group (UHC)	A	Dividend	J	T	Buy	04/21/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy	04/24/20	J		
172. United Parcel Service (UPS)	A	Dividend	K	T	Buy	07/29/20	J		
173.					Buy (add'l)	07/31/20	J		
174.					Buy (add'l)	08/11/20	J		
175. Verizon (VZN)	A	Dividend	J	T	Buy	04/14/20	J		
176.					Buy (add'l)	04/27/20	J		
177. Invesco S&P 500 (RSP)	A	Dividend	K	T	Buy	08/13/20	K		
178. Invesco Russell 3000 (IWB)	A	Dividend	K	T	Buy	05/29/20	K		
179. iShares Silver (SLV)		None			Buy	07/31/20	J		
180.					Buy (add'l)	08/04/20	J		
181.					Sold	11/25/20	J		
182. Putnam Ultra Short (PSDXX)	A	Dividend	K	T	Buy	07/13/20	K		
183. VanEck Vectors ETF (GDX)		None			Buy	01/06/20	J		
184.					Sold	04/08/20	J		
185. Wisdom Tree Emerging (DEM)		None			Buy	01/03/20	J		
186.					Sold	03/16/20	J		
187. First Capital Strength Series 50 (30312150)	A	Dividend	L	T	Buy	02/03/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grandy, Laura K.

Date of Report

11/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. First Trust Tech Select Series 79 (30315P192)		None	M	T	Buy	11/05/20	L		
189. First Trust Innovative Health Care (30313Y195)	A	Dividend	K	T	Buy	07/30/20	K		
190.					Redeemed (part)	12/28/20	J		
191.					Buy (add'l)	12/28/20	J		
192. John Hancock Intl Growth (GOGIX)	A	Dividend	J	T	Buy	06/12/20	J		
193. JP Morgan Small Cap (VSEIX)	A	Dividend	J	T	Buy	06/11/20	J		
194. T Rowe Overseas (TROSX)	A	Dividend	J	T	Buy	06/12/20	J		
195.					Buy (add'l)	07/28/20	J		
196. Transamerica High Yield Bond (TDHIX)	A	Dividend	J	T	Buy	06/12/20	J		
197. Options - Sales & Purchases (H)									
198. Cisco Systems (CSCO)		None			Buy	01/09/20	J		
199.					Sold	02/25/20	J	A	
200.					Buy	02/26/20	J		
201.					Sold	03/11/20	J	A	
202.					Buy	03/18/20	J		
203.					Sold	04/27/20	J		
204. Royal Dutch Shell (RDSB)		None			Buy	01/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold	02/07/20	J	A	
206.					Buy	02/26/20	J		
207.					Sold	03/10/20	J	A	
208. Apple (APPL)		None			Buy	02/26/20	J		
209.					Sold	03/18/20	J	A	
210.					Buy	03/18/20	J		
211.					Sold	05/19/20	J		
212.					Buy	10/16/20	J		
213.					Expired	11/02/20	J	A	
214. Advanced Micro (AMD)		None			Sold	01/22/20	J	A	
215.					Buy	02/26/20	J		
216.					Sold	03/18/20	J	A	
217.					Buy	03/18/20	J		
218.					Sold	04/30/20	J		
219.					Buy	08/25/20	J		
220.					Sold	09/14/20	J	A	
221.					Buy	09/15/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold	10/16/20	J	A	
223.					Buy	10/16/20	J		
224.					Expired	11/02/20	K	A	
225.					Buy	11/12/20	J		
226.					Sold	11/23/20	J		
227.					Buy	11/23/20	J		
228.					Sold	12/28/20	J	A	
229. Centene Corp (CNC)		None			Buy	02/26/20	J		
230.					Sold	04/07/20	J	A	
231. SPDR Gold Trust (GLD)		None			Buy	03/04/20	J		
232.					Expired	06/22/20	J	A	
233.					Buy	11/05/20	J		
234.					Sold	11/24/20	J	A	
235. Ishare Russell 1000 (IWF)		None			Buy	03/18/20	J		
236.					Sold	05/19/20	J	B	
237. Honeywell (HON)		None			Buy	03/18/20	J		
238.					Sold	04/07/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grandy, Laura K.

Date of Report

11/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Danaher Corp (DHR)		None			Buy	03/18/20	J		
240.					Sold	05/08/20	J		
241. Pepsico (PEP)		None			Buy	03/18/20	J		
242.					Sold	06/22/20	J	B	
243. Occidental Pete Corp (OXY)		None			Buy	03/18/20	J		
244.					Sold	04/17/20	J	A	
245.					Buy	04/21/20	J		
246.					Sold	05/04/20	J	A	
247. Kinder Morgan (KMI)		None			Buy	03/18/20	J		
248.					Expired	06/22/20	J	A	
249.					Buy	07/24/20	J		
250.					Sold (part)	09/03/20	J	A	
251.					Sold	09/04/20	J	A	
252. Citrix Systems (CTXS)		None			Buy	07/28/20	J		
253.					Sold	08/11/20	J	A	
254. Ishares Silver (SLV)		None			Buy	10/16/20	J		
255.					Sold	11/24/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Grandy, Laura K.	11/08/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II

Line 1 Payments on percentage of collections of fees for work performed and generated by Judge prior to departure,

Part IV Reimbursements

There was no reimbursement for travel due to the pandemic

Part VII

Northwestern Mutual Variable Annuity

Line 2 - 7 Statements provided do not report the amount of dividend received for the individual investments

Line 38 Residential Real Property which is currently occupied by [REDACTED]. No income received.

Line 39 Commercial Real Property showed a net loss on Schedule K-1.

Line 91 Broadway Prom LLC Partnership showed a net loss on Schedule K-1. The Broadway Prom play is scheduled to restart in November. The value in column C is unknown due to the pandemic. It is being reported the same as last year

Line 92 St George Island, FL showed a net loss. The net loss was due to not being able to rent the property as usual due to the pandemic

Line 189 First Trust Innovative Health Care entered as Redeemed (part) was a principal payment

Line 197-254 This section is for reporting of Sales Options and Purchase Options

Option Sale Not reported in 2019

Advanced Micro (AMD) Income Type None Buy 12/27/19 Value J

Amended Financial Disclosure

Part VII

Line 1 Northwestern Mutual Variable Annuity (H) corrected description to Northwestern Mutual Variable Paid Up Life Insurance Policy. Specific investments are reported on Line 2-7

Line 9 Northwestern Mutual Variable CompLife Insurance Policy corrected description to Northwestern Mutual 65 Life Insurance Policy

Laura has 2 65 Life Policies Reported on Line 8 and 9.

Line 51 AXA Equitable Life Insurance Co Accumulator is a Variable Annuity issued by Equitable Life Insurance. Correct name to be Equitable AXA Accumulator 2004

Line 52 EQ/Moderate Allocation Inserted line to report investment in Variable Annuity

Prepared by Janet Frederking

Phone [REDACTED]

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Grandy, Laura K.

Date of Report

11/08/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Laura K. Grandy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544