

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goldsmith, Mark A.	2. Court or Organization U.S. District Court for the Eastern District of Michigan	3. Date of Report 08/06/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Courthouse 231 W. Lafayette Blvd. Detroit MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member of executive board of directors	Wayne State University Center for the Study of Citizenship
2. Member of board	Historical Society of U.S. District Court, E.D. Michigan
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Goldsmith, Mark A.

Date of Report

08/06/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	self-employed social worker
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Goldsmith, Mark A.	08/06/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. VYM (IRA-Brok Acct #1)	D	Dividend	M	T					
2. Fidelity Contra (IRA-Brok Acct #2)	E	Dividend	M	T					
3. Fidelity Cash Reserves (IRA-Brok Acct #2)	A	Interest	J	T					
4. VBIAX (IRA-Brok Acct#2)	D	Dividend	M	T					
5. TRowePrice Growth (IRA Brok Acct #3)	E	Dividend	P1	T					
6. Invesco Van Kampen Growth Income (IRA Brok Acct #3)	E	Dividend	O	T					
7. Vanguard Mid-Cap Index (IRA Brok Acct #3)	D	Dividend	N	T					
8. SCHB (IRA Brok Acct #3)	C	Dividend	N	T					
9. SCHD (IRA Brok Acct#3)	C	Dividend	M	T					
10. SsgA Russell 2000 Index (St of Mich 401K)	F	Dividend	N	T					
11. Pacific One Variable Annuity (Janus Focussed Growth)	A	Dividend	M	T					
12. FXAIX (Brok Acct #4)	C	Dividend	M	T					
13. PFF (Brok Acct #4)	D	Dividend	M	T					
14. Fidelity Govt Money Fund (Brok Acct #4)	A	Dividend	M	T					
15. Vanguard 500 Index	A	Dividend	K	T					
16. TIAACREF 100% Equity (529)		None			Distributed	08/20/20	J		
17. Voya Financial Variable Life Insurance Policy	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Goldsmith, Mark A.

Date of Report

08/06/2021

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. NY Life Guaranteed Lifetime Income Annuity (fixed annuity)		None	K	T					
19. Vibe Credit Union cash account	A	Interest	J	T					
20. Comerica Bank cash account	A	Interest	J	T					
21. Chase Bank account	A	Interest	K	T	Open				
22. FXIAX (IRA-Brok Acct#5)(X)	A	Dividend	K	T	Buy	08/06/19	K		
23. RaymondJames Cash (Tr Acct#1)(X)	A	Dividend			Closed				
24. FNSXX (Tr Acct#2)	A	Dividend	K	T					
25. FCNTX (Tr Acct#2)	C	Dividend	L	T	Sold (part)	04/03/20	K		
26. PFF (Tr Acct#2)	C	Dividend	L	T					
27. PTLC (Tr Acct#2)	A	Dividend	K	T	Sold (part)	04/03/20	K		
28.					Sold (part)	11/13/20	K	A	
29. NOBL (Tr Acct#2)	B	Dividend	L	T	Sold (part)	04/03/20	K		
30.					Sold (part)	06/04/20	J		
31. VCIT (Tr Acct#2)	A	Dividend	K	T					
32. VFIAX (Tr Acct#2)	B	Dividend	L	T					
33. RaymondJames Cash (Tr Acct#2)	A	Dividend	J	T					
34. LGH (Tr Acct#2)	A	Dividend	K	T	Buy	11/13/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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35. SCHD (Tr Acct#2)	A	Dividend	L	T	Buy	10/15/20	K		
36. SPHD (Tr Acct#2)	A	Dividend	J	T	Buy	06/04/20	J		
37.					Sold (part)	10/15/20	J		
38. FNSXX (Tr Acct#3)	A	Dividend	K	T					
39. FCNTX (Tr Acct#3)	D	Dividend	L	T					
40. PFF (Tr Acct#3)	C	Dividend	L	T					
41. NOBL (Tr Acct#3)	B	Dividend	L	T					
42. VCIT (Tr Acct#3)	A	Dividend	K	T					
43. VFIAX (Tr Acct#3)	B	Dividend	L	T					
44. RaymondJames Cash (Tr Acct#3)	A	Dividend	J	T					
45. PTLC (Tr Acct#3)	A	Dividend	K	T	Sold (part)	10/15/20	K	A	
46. SCHD (Tr Acct#3)	A	Dividend	K	T	Buy	10/15/20	K		
47. LGH (Tr Acct#3)	A	Dividend	K	T	Buy	11/13/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Goldsmith, Mark A.

Date of Report

08/06/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part VII, line 45: I inadvertently failed to include the aquisition of this position in my 2019 report. It was an "A" income category; it was a "K" category for value, using the "T" method.

Regarding Part VII, line 17: Although called a variable insurance policy, the policy consists of a death benefit and a Guaranteed Interest Division, which produces interest that increases the cash value of the policy; I have no ownership interest in the assets generating the interest.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Goldsmith, Mark A.

Date of Report

08/06/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark A. Goldsmith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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