

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Granade, Callie V.                                                                                                                   | <b>2. Court or Organization</b><br><br>U.S. District Court Southern District of Alabama                                                                                                                                                                                                  | <b>3. Date of Report</b><br><br>05/20/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Senior U.S. District Judge                                                    | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse<br>155 St. Joseph Street<br>Mobile, Alabama 36602                                                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|            |                    |
|------------|--------------------|
| 1. Trustee | Hollins University |
| 2.         |                    |
| 3.         |                    |
| 4.         |                    |
| 5.         |                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

Granade, Callie V.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------|----------------------------------------|
| 1.          |                        |                                        |
| 2.          |                        |                                        |
| 3.          |                        |                                        |
| 4.          |                        |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Granade, Callie V.       | 05/20/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

| CREDITOR                                     | DESCRIPTION                                                   | VALUE CODE |
|----------------------------------------------|---------------------------------------------------------------|------------|
| 1. The First, A National Banking Association | Note secured by lot, house and rental cottage in Fairhope, AL | L          |
| 2. Hancock Whitney Bank                      | Note secured by lot, house and rental cottage in Fairhope, AL | O          |
| 3.                                           |                                                               |            |
| 4.                                           |                                                               |            |
| 5.                                           |                                                               |            |

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Name of Person Reporting

Granade, Callie V.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                       |   |           |   |   |                    |          |   |  |  |
|-----------------------------------------------------------------------|---|-----------|---|---|--------------------|----------|---|--|--|
| 1. The First Bank cash Accounts                                       | A | Interest  | O | T |                    |          |   |  |  |
| 2. Wells Fargo Bank cash Accounts                                     | A | Interest  | K | T |                    |          |   |  |  |
| 3. Hancock Whitney Bank cash Account                                  |   | None      | N | T | Open               | 08/03/20 | J |  |  |
| 4. Morgan Stanley Brokerage Account (H)                               |   |           |   |   |                    |          |   |  |  |
| 5. -- Allstate Life Variable Annuity: AB VPS<br>Lg Cap Grw Port-Cls B |   | None      | N | T |                    |          |   |  |  |
| 6. Schwab IRA #1 (H)                                                  |   |           |   |   |                    |          |   |  |  |
| 7. -- Schwab Govt Money Fund SWGXX                                    | A | Int./Div. | J | T | Redeemed<br>(part) | 01/17/20 | J |  |  |
| 8.                                                                    |   |           |   |   | Redeemed<br>(part) | 01/27/20 | J |  |  |
| 9.                                                                    |   |           |   |   | Buy<br>(add'l)     | 01/29/20 | J |  |  |
| 10.                                                                   |   |           |   |   | Buy<br>(add'l)     | 02/10/20 | J |  |  |
| 11.                                                                   |   |           |   |   | Buy<br>(add'l)     | 03/09/20 | J |  |  |
| 12.                                                                   |   |           |   |   | Buy<br>(add'l)     | 03/16/20 | J |  |  |
| 13.                                                                   |   |           |   |   | Buy<br>(add'l)     | 03/27/20 | J |  |  |
| 14.                                                                   |   |           |   |   | Buy<br>(add'l)     | 04/01/20 | J |  |  |
| 15.                                                                   |   |           |   |   | Buy<br>(add'l)     | 04/08/20 | J |  |  |
| 16.                                                                   |   |           |   |   | Redeemed<br>(part) | 04/20/20 | J |  |  |
| 17.                                                                   |   |           |   |   | Redeemed<br>(part) | 05/01/20 | J |  |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/08/20         | J                        |                         |                                                            |
| 19.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/08/20         | J                        |                         |                                                            |
| 20.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/26/20         | J                        |                         |                                                            |
| 21.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/01/20         | J                        |                         |                                                            |
| 22.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/09/20         | J                        |                         |                                                            |
| 23.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 07/23/20         | J                        |                         |                                                            |
| 24.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 08/06/20         | J                        |                         |                                                            |
| 25.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/10/20         | J                        |                         |                                                            |
| 26.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/09/20         | J                        |                         |                                                            |
| 27.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/17/20         | J                        |                         |                                                            |
| 28.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/25/20         | J                        |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/20         | J                        |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/08/20         | J                        |                         |                                                            |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 10/22/20         | J                        |                         |                                                            |
| 32.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/09/20         | J                        |                         |                                                            |
| 33.                                                                                                                        |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 12/02/20         | J                        |                         |                                                            |
| 34.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/04/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/08/20                | J                               |                                |                                                                   |
| 36.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/24/20                | J                               |                                |                                                                   |
| 37.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/28/20                | J                               |                                |                                                                   |
| 38.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/20                | J                               |                                |                                                                   |
| 39. --Harding Loevner Emerg. Mkts Adv CL<br>(HLEMX)                                                                        |                                        | None                                          |                                                |                                           | Sold                                            | 08/04/20                | K                               | B                              |                                                                   |
| 40. -- Invesco Oppenheimer Dev Mkts Fd Cl Y<br>(ODVYX)                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/16/20                | J                               |                                |                                                                   |
| 41. -- Vanguard Equity Income FD Admiral<br>(VEIRX)                                                                        | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 03/20/20                | J                               |                                |                                                                   |
| 42.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/26/20                | J                               |                                |                                                                   |
| 43.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/28/20                | J                               |                                |                                                                   |
| 44.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/18/20                | J                               |                                |                                                                   |
| 45. -- Vanguard Total World Stock ETF (VT)                                                                                 | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. -- Primecap Odyssey Aggr Growth Fd<br>(POAGX)                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. -- Vanguard Small Cap ETF (VB)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 48. --Vanguard Mega Cap Growth (MGK)                                                                                       | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. --Vanguard FTSE Developed Mkts (VEA)                                                                                   | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 12/01/20                | K                               | A                              |                                                                   |
| 50. --Vanguard Mega Cap ETF (MGC)                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 01/24/20                | K                               | D                              |                                                                   |
| 51.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/01/20                | K                               | D                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --Vanguard Mid Cap Value ETF (VOE)                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. --JP Morgan Chase Valerian ETN (AMJ)                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 01/24/20                | J                               |                                |                                                                   |
| 54. --Vanguard Mid Cap ETF (VO)                                                                                            | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. --Schwab Short Term US Treasury ETF (SCHO)                                                                             | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. --Schwab US Aggregate Bond ETF (SCHZ)                                                                                  | C                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 12/01/20                | K                               |                                |                                                                   |
| 57. --Primecap Odyssey Growth (POGRX)                                                                                      |                                        | None                                          |                                                |                                           | Sold                                            | 08/04/20                | K                               | B                              |                                                                   |
| 58. --Janus Henderson Enterprise FD 1 (JMGRX)                                                                              | B                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 12/17/20                | J                               |                                |                                                                   |
| 59. --Vanguard Short Term nvestment Grade Fund Admiral (VFSUX)                                                             | C                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 01/31/20                | J                               |                                |                                                                   |
| 60.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 02/28/20                | J                               |                                |                                                                   |
| 61.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/31/20                | J                               |                                |                                                                   |
| 62.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/30/20                | J                               |                                |                                                                   |
| 63.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/29/20                | J                               |                                |                                                                   |
| 64.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/30/20                | J                               |                                |                                                                   |
| 65.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/31/20                | J                               |                                |                                                                   |
| 66.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/31/20                | J                               |                                |                                                                   |
| 67.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/20                | J                               |                                |                                                                   |
| 68.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/30/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/30/20                | J                               |                                |                                                                   |
| 70.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/20                | J                               |                                |                                                                   |
| 71. --Brown Cap Mgmt Small Co Fd Inst C:<br>(BCSSX)                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/11/20                | J                               |                                |                                                                   |
| 72. --Mondrian Intl Value Eqty (MPIEX)                                                                                     |                                        |                                               |                                                |                                           | Sold                                            | 04/30/20                | K                               |                                |                                                                   |
| 73. --Western Asset Core BD FD I (WATFX)                                                                                   | C                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 01/24/20                | K                               |                                |                                                                   |
| 74.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/31/20                | J                               |                                |                                                                   |
| 75.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 02/28/20                | J                               |                                |                                                                   |
| 76.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/31/20                | J                               |                                |                                                                   |
| 77.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/30/20                | J                               |                                |                                                                   |
| 78.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/29/20                | J                               |                                |                                                                   |
| 79.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/30/20                | J                               |                                |                                                                   |
| 80.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/31/20                | J                               |                                |                                                                   |
| 81.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/31/20                | J                               |                                |                                                                   |
| 82.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/30/20                | J                               |                                |                                                                   |
| 83.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/30/20                | J                               |                                |                                                                   |
| 84.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/30/20                | J                               |                                |                                                                   |
| 85.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/01/20                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/15/20                | J                               |                                |                                                                   |
| 87.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/31/20                | J                               |                                |                                                                   |
| 88. --Vanguard Mega Cap Value ETF (MGV)                                                                                    | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 89. --Ishares Gold ETF (IAU)                                                                                               |                                        | None                                          | J                                              | T                                         | Buy                                             | 08/04/20                | J                               |                                |                                                                   |
| 90. --Ishares Silver Trust ETF (SLV)                                                                                       |                                        | None                                          | J                                              | T                                         | Buy                                             | 08/04/20                | J                               |                                |                                                                   |
| 91. --AB Flexfee Large Cap Gro (FFLYX)                                                                                     | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 08/04/20                | K                               |                                |                                                                   |
| 92. --MFS Int'l Intrinsic Value R6 (MINJX)                                                                                 | B                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 04/30/20                | K                               |                                |                                                                   |
| 93.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/04/20                | J                               |                                |                                                                   |
| 94.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/10/20                | J                               |                                |                                                                   |
| 95. Schwab Brokerage Account #1 (H)                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 96. --Schwab Govt Money Fund SWGXX                                                                                         | B                                      | Int./Div.                                     | M                                              | T                                         | Buy<br>(add'l)                                  | 01/02/20                | J                               |                                |                                                                   |
| 97.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/03/20                | K                               |                                |                                                                   |
| 98.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/06/20                | J                               |                                |                                                                   |
| 99.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/08/20                | J                               |                                |                                                                   |
| 100.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 01/06/20                | J                               |                                |                                                                   |
| 101.                                                                                                                       |                                        |                                               |                                                |                                           | Redeemed<br>(part)                              | 01/17/20                | J                               |                                |                                                                   |
| 102.                                                                                                                       |                                        |                                               |                                                |                                           | Redeemed<br>(part)                              | 01/27/20                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 01/28/20         | K                        |                         |                                                            |
| 104.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/03/20         | J                        |                         |                                                            |
| 105.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/04/20         | J                        |                         |                                                            |
| 106.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/14/20         | J                        |                         |                                                            |
| 107.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 02/18/20         | J                        |                         |                                                            |
| 108.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/19/20         | K                        |                         |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/02/20         | J                        |                         |                                                            |
| 110.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 111.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/13/20         | J                        |                         |                                                            |
| 112.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/16/20         | J                        |                         |                                                            |
| 113.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/17/20         | J                        |                         |                                                            |
| 114.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/20/20         | J                        |                         |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 03/27/20         | J                        |                         |                                                            |
| 116.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/01/20         | J                        |                         |                                                            |
| 117.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/03/20         | J                        |                         |                                                            |
| 118.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/15/20         | J                        |                         |                                                            |
| 119.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/16/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/20/20         | K                        |                         |                                                            |
| 121.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 04/21/20         | K                        |                         |                                                            |
| 122.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 04/27/20         | J                        |                         |                                                            |
| 123.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/01/20         | J                        |                         |                                                            |
| 124.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/04/20         | J                        |                         |                                                            |
| 125.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/15/20         | J                        |                         |                                                            |
| 126.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 05/27/20         | J                        |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/01/20         | J                        |                         |                                                            |
| 128.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/20         | K                        |                         |                                                            |
| 129.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/08/20         | J                        |                         |                                                            |
| 130.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/12/20         | J                        |                         |                                                            |
| 131.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/17/20         | J                        |                         |                                                            |
| 132.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/19/20         | J                        |                         |                                                            |
| 133.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/26/20         | J                        |                         |                                                            |
| 134.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 06/29/20         | J                        |                         |                                                            |
| 135.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/01/20         | J                        |                         |                                                            |
| 136.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/02/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/20         | J                        |                         |                                                            |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/16/20         | J                        |                         |                                                            |
| 139.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 07/23/20         | J                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 07/27/20         | J                        |                         |                                                            |
| 141.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/03/20         | J                        |                         |                                                            |
| 142.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | L                        |                         |                                                            |
| 143.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 08/06/20         | K                        |                         |                                                            |
| 144.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/14/20         | J                        |                         |                                                            |
| 145.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/27/20         | J                        |                         |                                                            |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/01/20         | J                        |                         |                                                            |
| 147.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/02/20         | K                        |                         |                                                            |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/11/20         | J                        |                         |                                                            |
| 149.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/14/20         | J                        |                         |                                                            |
| 150.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/17/20         | J                        |                         |                                                            |
| 151.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/18/20         | J                        |                         |                                                            |
| 152.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 09/28/20         | J                        |                         |                                                            |
| 153.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/01/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/05/20         | J                        |                         |                                                            |
| 155.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/15/20         | J                        |                         |                                                            |
| 156.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/16/20         | J                        |                         |                                                            |
| 157.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 10/22/20         | J                        |                         |                                                            |
| 158.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 10/27/20         | J                        |                         |                                                            |
| 159.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/02/20         | J                        |                         |                                                            |
| 160.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/03/20         | J                        |                         |                                                            |
| 161.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/13/20         | J                        |                         |                                                            |
| 162.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 11/27/20         | J                        |                         |                                                            |
| 163.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/01/20         | J                        |                         |                                                            |
| 164.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/02/20         | J                        |                         |                                                            |
| 165.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/20         | J                        |                         |                                                            |
| 166.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/11/20         | J                        |                         |                                                            |
| 167.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/14/20         | J                        |                         |                                                            |
| 168.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/16/20         | J                        |                         |                                                            |
| 169.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/17/20         | J                        |                         |                                                            |
| 170.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/22/20         | J                        |                         |                                                            |
| 172.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/23/20         | J                        |                         |                                                            |
| 173.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/24/20         | J                        |                         |                                                            |
| 174.                                                                                                                       |                                        |                                        |                                                |                                    | Redeemed<br>(part)                        | 12/28/20         | J                        |                         |                                                            |
| 175.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/31/20         | J                        |                         |                                                            |
| 176. --Schwab US Trsy Money Inv (SNSXX)                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 03/20/20         | K                        |                         |                                                            |
| 177.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/20/20         | N                        |                         |                                                            |
| 178.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/23/20         | N                        |                         |                                                            |
| 179. -- Apple Computer Inc. common stock<br>(AAPL)                                                                         | B                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 180. -- Diageo PPLC SponADR stock (DEO)                                                                                    | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 181. -- Duke Energy Corp Hldg. Co. stock<br>(DUK)                                                                          | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 182. -- Kimberly Clark Corp. stock (KMB)                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 183. -- MFS Intl Intr Value Cl I (MINJX)                                                                                   | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 184. -- Microsoft common stock (MSFT)                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 185. -- Nestle Spon ADR stock (NSRGY)                                                                                      | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 186. -- Pepsico Inc. NC stock (PEP)                                                                                        | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 187. --Invesco Dynamic Market ETF (PWC)                                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. -- Investco Water Resources ETF (PHO)                                                                                 | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 189. -- Sherwin Williams Co. stock (SHW)                                                                                   | C                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 190. -- U. S. Bancorp common stock (USB)                                                                                   | C                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 191. -- American FD Amcap Fund A (AMCPX)                                                                                   | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 192. -- American FD Growth FD of America CL A (AGTHX)                                                                      | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 193. -- American FD Inv Co. of America CL A (AIVSX)                                                                        | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 194. -- Vanguard Consumer Discretionary ETF (VCR)                                                                          | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 195. -- Vanguard Financials ETF (VFH)                                                                                      | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 196. -- Vanguard Health Care ETF (VHT)                                                                                     | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 197. -- Vanguard FTSE Developed Markets ETF (VEA)                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | M                        |                         |                                                            |
| 198. -- Vanguard Industrials ETF (VIS)                                                                                     | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 199. --Vanguard Information Technology ETF (VGT)                                                                           | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 200. -- Vanguard Mid Cap ETF (VO)                                                                                          | C                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 04/16/20         | M                        |                         |                                                            |
| 201. -- Vanguard Mid Cap Value ETF (VOE)                                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 202. -- Vanguard Small Cap ETF (VB)                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | L                        |                         |                                                            |
| 203. --Harding Loevner Emerging Mkts Adv CL (HLEMX)                                                                        | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 204. --Janus Henderson Ent Fd 1 (JMGRX)                                                                                    | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. --Mondrian Intl Value Eqty Fd (MPIEX)                                                                                 |                                        |                                        |                                                |                                    | Sold                                      | 04/16/20         | K                        |                         |                                                            |
| 206. --DWS Emrg Mkts Eqty Inst (SEKIX)                                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 207. --Invesco Oppenheimer Dev Mkts R6 (ODVIX)                                                                             | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 208. --Invesco Bulletshares 2022 Corp Bond ETF (BSCM)                                                                      | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/24/20         | K                        |                         |                                                            |
| 209.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | K                        |                         |                                                            |
| 210. ==Invesco Bulletshares 2024 Coop Bond ETF (BSCO)                                                                      | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/24/20         | K                        |                         |                                                            |
| 211.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/24/20         | K                        |                         |                                                            |
| 212. --Invesco Bulletshares 2023 Corp Bond ETF (BSCN)                                                                      | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/24/20         | K                        |                         |                                                            |
| 213.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | K                        |                         |                                                            |
| 214. --Brown Cap Mgmt Small Co Fd (BCSSX)                                                                                  |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 215. --Western Asset Core BD FD I (WATFX)                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 01/31/20         | K                        |                         |                                                            |
| 216.                                                                                                                       |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 217. --Alabama St Public Schcl 5%20 (010609AP))                                                                            |                                        |                                        |                                                |                                    | Matured                                   | 01/02/20         | K                        |                         |                                                            |
| 218. --Mobile AL 5%20 Go UTX (6071142C8)                                                                                   |                                        |                                        |                                                |                                    | Matured                                   | 02/15/20         | K                        |                         |                                                            |
| 219. --Gadsden AL WWKS&S 4.5% Comb Util (362514KB6)                                                                        | A                                      | Int./Div.                              |                                                |                                    | Redeemed                                  | 06/01/20         | K                        |                         |                                                            |
| 220. --Vestavia Hills ALA 5%20 DB (925479QF7)                                                                              | B                                      | Interest                               |                                                |                                    | Matured                                   | 08/01/20         | K                        |                         |                                                            |
| 221. --Huntsville AL 4%20 DB LTX (447025SX6)                                                                               | B                                      | Interest                               |                                                |                                    | Matured                                   | 09/01/20         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. --Birmingham AL WW BR 5%41 Util (091096ES2)                                                                           | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 223. --Opelika Alabama Elec 4.2%22 Warr                                                                                    | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 224. --Auburn AL 3%21 DB UTX (0502134J3)                                                                                   | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 225. --Tuscaloosa ALA City 5%21 Warr (90059RAD3)                                                                           | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 226. --Brewton AL 3%21 DB LTX (107781JV9)                                                                                  | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 227. --Alabama St Pb Sch & Col 5%22 Excise (0106084R5)                                                                     | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 228. --Alabama St Univ 4.125%42 (010632PB7)                                                                                | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 229. --Ala Federal Aid HWY Auth 5%23 (010267AU6)                                                                           | C                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 230. --Ala Fed Aid HWY Auth 5%26 (010267AX0)                                                                               | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 231. --Auburn Univ AL GNFE 5%23 Pub Educ (050589JS0)                                                                       | B                                      | Interest                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 232. --Baldwin County Ala 5%23 GO LTX (057849HS3)                                                                          | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 233. --Northport AL 3%23 GO LTX (666611LK2)                                                                                | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 234. --Huntsville A: 4%23 GO LTX (447025NJ2)                                                                               | C                                      | Interest                                      |                                                |                                           | Redeemed (part)                                 | 11/05/20                | L                               |                                |                                                                   |
| 235. --Huntsville AL 4%23 GO LTX (447025W29)                                                                               |                                        |                                               | L                                              | T                                         | Buy                                             | 11/05/20                | L                               |                                |                                                                   |
| 236. --Huntsville AL 4%23 GO LTX (447025W60)                                                                               |                                        |                                               | J                                              | T                                         | Buy                                             | 11/05/20                | J                               |                                |                                                                   |
| 237. --Madison A: 4%24 Go Utx (556583ZT2)                                                                                  | B                                      | Interest                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 238. --Vestavia Hills AL 5%24 DB Utx (925479QK6)                                                                           | B                                      | Interest                                      |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

05/20/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239. 1/12 mineral rights, parcel in Washington County, Alabama                                                             |                                        | None                                   | J                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 240. Note - Daphne Offices, LLC (see note)                                                                                 | F                                      | Interest                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 241. 1/3 interest in real estate parcel #1 in Washington Cty ,AL                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 03/17/20         | M                        | G                       |                                                            |
| 242. 1/3 interest in real estate parcel #2 in Washington Cty, AL                                                           |                                        | None                                   | J                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 243. 1/2 int in real estate Parcel #3 in Washington Cty, AL                                                                |                                        | None                                   | J                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 244. 3% interest in realty in Fairhope, AL (see note)                                                                      |                                        | None                                   | K                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 245. Schwab IRA #2 (H)                                                                                                     |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 246. --Schwab Value Advantage Money Mkt Fund (SWVXX)                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/20/20         | J                        |                         |                                                            |
| 247. --Schwab US TRSY Money Inv (SNSXX)                                                                                    | A                                      | Dividend                               |                                                |                                    | Buy                                       | 03/20/20         | J                        |                         |                                                            |
| 248.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 06/09/20         | J                        |                         |                                                            |
| 249. --Ishares Gold ETF (IAU)                                                                                              |                                        | None                                   | K                                              | T                                  | Buy                                       | 08/04/20         | K                        |                         |                                                            |
| 250. --Ishares Silver Trust ETF (SLV)                                                                                      |                                        | None                                   | K                                              | T                                  | Buy                                       | 08/04/20         | K                        |                         |                                                            |
| 251. -- Schwab Aggregate Bond ETF (SCHZ)                                                                                   | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 252. --Vanguard FTSE Developed Markets ETF (VEA)                                                                           | C                                      | Dividend                               | L                                              | T                                  | Sold (part)                               | 10/26/20         | K                        |                         |                                                            |
| 253. --Vanguard Mega Cap ETF (MGC)                                                                                         | B                                      | Dividend                               | M                                              | T                                  | Sold (part)                               | 11/23/20         | K                        | D                       |                                                            |
| 254. --Vanguard Mega Cap Growth ETF (MGK)                                                                                  | A                                      | Dividend                               | K                                              | T                                  | Sold (part)                               | 11/23/20         | K                        | D                       |                                                            |
| 255. --Vanguard Mid Cap ETF (VO)                                                                                           | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256. --Vanguard Mid Cap Value ETF (VOE)                                                                                    | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 257. --Vanguard Short Term Cor BD ETF (VCSH)                                                                               | B                                      | Dividend                                      | L                                              | T                                         | Sold (part)                                     | 06/09/20                | K                               | A                              |                                                                   |
| 258.                                                                                                                       |                                        |                                               |                                                |                                           | Sold (part)                                     | 09/09/20                | J                               | A                              |                                                                   |
| 259. --Vanguard Small Cap ETF (VB)                                                                                         | A                                      | Dividend                                      |                                                |                                           | Distributed                                     | 04/20/20                | K                               |                                |                                                                   |
| 260. --Vanguard Short Term Investment Grade Fund (VFSUX)                                                                   | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 261. --Blackrock Health Science (SHSSX)                                                                                    | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 12/01/20                | K                               | E                              |                                                                   |
| 262. --AB Flexfee Large Cap Growth Advisor (FFLYX)                                                                         | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 08/04/20                | K                               |                                |                                                                   |
| 263. --Brown Cap Mgmt Small Co (BCSSX)                                                                                     | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 264. --Columbia Dividend Income Fd (CDDRX)                                                                                 | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 265. --Harding Loevner Emerging (HLEMX)                                                                                    | A                                      | Dividend                                      |                                                |                                           | Distributed                                     | 05/08/20                | K                               |                                |                                                                   |
| 266. --MFS Intl Value Cl 1 (MINJX)                                                                                         | D                                      | Dividend                                      | M                                              | T                                         | Sold (part)                                     | 12/01/20                | K                               | D                              |                                                                   |
| 267. --Invesco Oppenheimer Developing Mkts Fd Cl 1 (ODVIX)                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 268. --Primecap Odyssey AGGR Growth Fund (POAGX)                                                                           | C                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 269. --Vanguard DIV Growth Investor FD (VDIGX)                                                                             | B                                      | Dividend                                      | L                                              | T                                         | Sold (part)                                     | 12/01/20                | J                               | C                              |                                                                   |
| 270. --Virtus Duff & Phelps Blbl Rel Estate Sec I (VGISX)                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/09/20                | K                               | A                              |                                                                   |
| 271. --Primecap Odyssey Stock FD (POSXX)                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 08/04/20                | K                               | A                              |                                                                   |
| 272. --Bank Sweep in a Charles Schwab & Co., Inc. affiliated bank(see note)                                                | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                             |   |          |   |   |             |          |   |  |  |
|-----------------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|--|--|
| 273. Schwab Roth IRA #1 (H)                                                 |   |          |   |   |             |          |   |  |  |
| 274. -- Vanguard Small Capt ETF (VB) (See Line 260)                         | A | Dividend |   |   | Open        | 04/20/20 | K |  |  |
| 275.                                                                        |   |          |   |   | Sold (part) | 07/28/20 | J |  |  |
| 276. --Harding Loevner Emerging Mkts (HLEMX) (See Line 266)                 | A | Dividend | K | T | Open        | 05/08/20 | K |  |  |
| 277. ----MFS Intl Value (MINJX)(see Line 267)                               | A | Dividend | K | T | Open        | 09/18/20 | K |  |  |
| 278. --Bank Sweep in a Charles Schwab & Co., Inc. affiliated bank(see note) | A | Interest | J | T |             |          |   |  |  |
| 279. Schwab Brokerage Account #2 (H)                                        |   |          |   |   |             |          |   |  |  |
| 280. --Mobile AL 5%20 GO UTX                                                | B | Interest |   |   | Matured     | 02/18/20 | K |  |  |
| 281. --Huntsville AL 5%20 GO LTX (447025KP1)                                | A | Interest |   |   | Matured     | 03/02/20 | K |  |  |
| 282. Vestavia Hills AL 5%20 DB UTX (925479QF7)                              | B | Interest |   |   | Matured     | 08/03/20 | K |  |  |
| 283. --Birmingham AL WW BR 5%25 WTR Util                                    | B | Interest | K | T |             |          |   |  |  |
| 284. --Birmingham AL WW BR 5%41 Wtr Util (091092ES2)                        | B | Interest | K | T |             |          |   |  |  |
| 285. --Alabama St Pb Schcl 5%21 (010609DM4)                                 | B | Interest | K | T |             |          |   |  |  |
| 286. --Brewton AL 3%21 DB LTX (107781JV9)                                   | A | Interest | K | T |             |          |   |  |  |
| 287. --Alabama St. Univ 4.125%42 Pub Educ (010632PB7)                       | B | Interest | K | T |             |          |   |  |  |
| 288. --Auburn AL 3%22 DB UTX05 (0502134K0)                                  | A | Interest | K | T |             |          |   |  |  |
| 289. --AL Fed Aid HWY Auth 5%24 Hwy Tran (010267AV4)                        | B | Interest | L | T |             |          |   |  |  |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 290. --AL Fed Aid HWY Auth 5%25 Hwy Tran<br>(0100267AwW)                                                                   | B                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 291. --Madison AL 4%24 GO UTX (556583ZT2)                                                                                  | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 292. --Ishares Core S&P Mid Cap ETF (IJH)                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | L                        |                         |                                                            |
| 293. --PIMCO Enhanced SHRT (MINT)                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 294. --Brown Cap Mgmt Small Co Fd Inst Cl<br>(BCSSX)                                                                       |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 295. --Columbia Dividend Income Fd Cl Inst 2<br>(CDDRXX)                                                                   | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 296. --DWS Emrg Mkts Eqty Inst (SEKIX)                                                                                     |                                        | None                                   |                                                |                                    | Sold                                      | 04/16/20         | K                        |                         |                                                            |
| 297. --MFS Intl Value CL R6 (MINJX)                                                                                        | A                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 298. --Janus Henderson Enterprise FD I<br>(JMGRX)                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | K                        |                         |                                                            |
| 299. --Western Asset Core BD Fd (WATFX)                                                                                    | B                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 08/04/20         | J                        |                         |                                                            |
| 300. --Vanguard Mega CAP Growth ETF (MGK)                                                                                  | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 301. --Vanguard Mega Cap Value ETF (MGV)                                                                                   | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 302. --Invesco Oppenheimer Invesco Dev Mkts<br>FD R6 (ODVIX)                                                               | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 303. --Vanguard Mid Cap Value ETF (VOE)                                                                                    | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | K                        |                         |                                                            |
| 304. --Vanguard FTSE Developed Mkts ETF<br>(VEA)                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | L                        |                         |                                                            |
| 305. --Vanguard S&P 500 ETF (VOO)                                                                                          | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 306. --Vanguard Small Cap EFT (VB)                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 04/16/20         | K                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307. --Vangurard Short Term Cor Bd ETF (VCSH)                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 308. --Vanguard Total Bond Mkt EFT (BND)                                                                                   | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 02/26/20         | K                        |                         |                                                            |
| 309.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | K                        |                         |                                                            |
| 310. --Schwab Value Advantage Money Fund (SWVXX) (See Note)                                                                | A                                      | Dividend                               |                                                |                                    | Buy<br>(add'l)                            | 02/26/20         | K                        |                         |                                                            |
| 311.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 02/28/20         | M                        |                         |                                                            |
| 312.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 03/19/20         | L                        |                         |                                                            |
| 313. --Schwab US TRSY Money Inv (SNSXX)                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 03/20/20         | L                        |                         |                                                            |
| 314.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/20/20         | N                        |                         |                                                            |
| 315.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/23/20         | N                        |                         |                                                            |
| 316. --Bank Sweep in a Charles Schwab & Co., Inc. affiliated bank(see note)                                                | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 317. Rental Cottage #1 Baldwin County, AL (see note)                                                                       | E                                      | Rent                                   | M                                              | W                                  | Buy                                       | 07/31/20         | M                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Granade, Callie V.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 240: The note from Daphne Offices, LLC calls for monthly payments of 5002.98 for 60 consecutive months beginning March 1, 2017. The monthly payments include both interest and principle pursuant to an amortization schedule, but the form does not have a category for principle portion of the payments it only allows me to report "interest" as income. Nor can I figure out how to report or categorize the monthly payments under the transaction portion of the form.

Part VII, Line 244: Although there is a rental cottage on the property, no reportable person receives any of the rental income. A reportable person is on the note for the property... see Part VI, Line 1.

Part VII, Lines 272, 278 & 316: The Schwab Account statements identify the sweep account as follows: Bank Sweep deposits are held at FDIC-insured bank(s) ("Banks") that are affiliated with Charles Schwab & Co, Inc. The statements do not further identify the bank(s). An internet search on 5/21/2021 identifies Schwab-affiliated banks used for bank sweeps as Charles Schwab Bank and Charles Schwab Trust Bank and Charles Schwab Premier Bank. See <https://www.schwab.com/public/file/P-9458295>.

Line 310: The asset SWVXX in Schwab Brokerage Account #2 was inadvertently left off the report for 2019 (that same asset was correctly reported in another account in 2019). It was first bought in June 2019, had additional purchases and sales in 2019, and as of December 31, 2019 had a balance of Value N.

Line 317: This rental cottage was purchased together with a larger house on the property which is a personal residence, and non-reportable.. The appraisal did not separate out the value of the rental cottage from the main residence, and is therefore an estimate.

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Name of Person Reporting

Granade, Callie V.

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Callie V. Granade

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544