

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grand, David R.	2. Court or Organization U.S. District Court - Eastern District of Michigan	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (full time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court 200 E. Liberty, Suite 120 Ann Arbor, MI 48104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President (through June 23, 2016), Member of the Board of Directors	JARC
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	University of Michigan, Academic Advisor
2. 2020	City of Ann Arbor, City Council Member
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CSCO Common Stock	A	Dividend	J	T					
2. DIS Common Stock	A	Dividend	J	T					
3. EBAY Common Stock	A	Dividend	J	T					
4. STT Common Stock	A	Dividend	J	T	Buy (add'l)	02/25/20	J		
5.					Buy (add'l)	03/09/20	J		
6.					Sold (part)	03/25/20	J	A	
7. FITB Common Stock	A	Dividend	J	T					
8. BB Common Stock		None	J	T	Buy (add'l)	07/06/20	J		
9.					Buy (add'l)	12/01/20	J		
10. AMAT Common Stock	A	Dividend	J	T					
11. SNE Common Stock	A	Dividend	J	T					
12. ETRADE Account	A	Interest	J	T					
13. MESP (529 Plan) - Principal Plus Interest Option		None	M	T					
14. MESP (529 Plan) Aggressive Age-Based Option		None			Merged (with line 16)	06/12/20	K		
15. MESP (529 Plan) Moderate Age-Based Option		None			Merged (with line 16)	06/12/20	J		
16. MESP (529 Plan) 2026/2027 Enrollment Option		None	K	T	Buy	06/12/20	K		
17. Comerica Bank Account		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Fidelity Contra Fund	D	Distribution	L	T	Sold (part)	03/20/20	J		
19. Vanguard Small Cap Value Index Inv. Fund	A	Distribution	L	T					
20. Vanguard Target Retirement 2035 Inv. Fund	B	Distribution	L	T					
21. Vanguard Value Index Fund	A	Distribution	J	T					
22. Oppenheimer Developing Markets Y Fund	A	Distribution	K	T					
23. Retirement Savings Trust	B	Dividend	M	T	Buy (add'l)	03/20/20	J		
24. TIAA CREF Growth Fund		None	K	T					
25. TIAA CREF Lifecycle 2040	A	Dividend	K	T	Buy (add'l)	12/31/20	J		
26. XOM Common Stock	A	Dividend	J	T					
27. PYPL Common Stock		None			Sold	12/17/20	K	E	
28. OILNF ETF		None	J	T					
29. SQ Common Stock		None			Sold	07/06/20	K	D	
30.					Buy	07/06/20	K		
31.					Sold	12/17/20	K	E	
32. BYND Common Stock		None			Buy	03/18/20	J		
33.					Sold	03/20/20	J	A	

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Investments and Trusts - Lines 14-16 - The investments listed on Lines 14 and 15 were automatically converted into the age-based investment option for children commencing college in 2026-2027, now shown in Line 16.

Part VII - Investments and Trusts - Lines 18-23 - The investments listed on Lines 18-23 of Part VII are held as part of a 401(k) account established by Miller, Canfield, Paddock & Stone, PLC.

Part VII - Investments and Trusts - Line 25 - Monthly contributions at the beginning of each month were made in the amount of \$900.99.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David R. Grand**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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