

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Harjani, Sunil R.                                                                                                                    | <b>2. Court or Organization</b><br><br>United States District Court - Northern District of Illinois                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>08/11/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>United States Magistrate Judge                                                | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>United States District Court<br>Dirksen Federal Building<br>219 South Dearborn Street<br>Chicago, IL 60604                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u>                   | <u>NAME OF ORGANIZATION/ENTITY</u>              |
|-----------------------------------|-------------------------------------------------|
| 1. Board Member                   | Leadership Greater Chicago                      |
| 2. Board Member                   | Northwestern Law School Alumni Club of Chicago  |
| 3. Board Member                   | Federal Bar Association, Chicago Chapter        |
| 4. Senior Advisory Council member | South Asian Bar Association                     |
| 5. Associate Editor               | American Bar Association, Section of Litigation |
| 6. Instructor                     | Traditional Karate Club of Wilmette             |
| 7. Adjunct Professor              | Northwestern University School of Law           |
| 8. Board Member                   | Asian American Judges Association of Illinois   |
| 9. Committee Member               | Federal Magistrate Judges Association           |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |

|                                                             |                                     |
|-------------------------------------------------------------|-------------------------------------|
| <b>Name of Person Reporting</b><br><b>Harjani, Sunil R.</b> | <b>Date of Report</b><br>08/11/2021 |
|-------------------------------------------------------------|-------------------------------------|

3. \_\_\_\_\_

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Name of Person Reporting

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## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                    | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|-------------|-----------------------------------------------------------|-------------------------------------------------------|
| 1. 2020     | Northwestern University School of Law (Adjunct Professor) | \$3,000.00                                            |
| 2.          |                                                           |                                                       |
| 3.          |                                                           |                                                       |
| 4.          |                                                           |                                                       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u>           |
|-------------|----------------------------------|
| 1. 2020     | Northwestern University (salary) |
| 2.          |                                  |
| 3.          |                                  |
| 4.          |                                  |

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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## V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Individual Assets (H)                                                                                                   |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2. JPMORGAN CHASE BANK (cash)                                                                                              | A                                      | Interest                               | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. RENTAL PROPERTY, GLENVIEW, IL                                                                                           | E                                      | Rent                                   | M                                              | W                                  |                                           |                  |                          |                         |                                                            |
| 4. Account #1 (H)                                                                                                          |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 5. FIDELITY GOVERNMENT MONEY<br>MKT (SPAXX) (CASH EQIV)                                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. FIDELITY TOTAL MARKET INDEX<br>FUND (FSKAX)                                                                             | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 08/04/20         | K                        |                         |                                                            |
| 7. FIDELITY 500 INDEX PREM FUND<br>(FXAIX)                                                                                 | B                                      | Dividend                               | N                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. FIDELITY U.S. BOND INDEX PREM<br>FUND (FXNAX)                                                                           |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. VANGUARD WHITEHALL FDS HIGH<br>DIVIDEND YIELD ETF SHS (VYM)                                                             | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10. VANGUARD INTL EQUITY INDEX<br>FUND INC FTSE EMERGING MARKETS<br>ETF (VWO)                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. VANGUARD INDEX FDS S&P 500<br>(VOO)                                                                                    | B                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. VANGUARD INDEX FDS VANGUARD<br>SMALL CAP (VB)                                                                          | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. VANGUARD INDEX FDS VANGUARD<br>TOTAL STK MKT ETF (VTI)                                                                 | A                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 09/21/20         | L                        |                         |                                                            |
| 14. VANGUARD BD INDEX FD INC LONG<br>TERM BD ETF (BLV)                                                                     | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. VANGUARD BD INDEX FUND INC<br>TOTAL BOND MARKET ETF (BND)                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. VANGUARD SCOTTSDALE FUNDS<br>LONG-TERM CORP BOND IDX FUND<br>(VCLT)                                                    | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 17. ASTRAZENECA ADR REP (AZN)                                                                                              |                                        | None                                          |                                                |                                           | Buy                                             | 11/04/20                | J                               |                                |                                                                   |
| 18.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/31/20                | J                               |                                |                                                                   |
| 19. JOHNSON & JOHNSON COM (JNJ)                                                                                            | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 11/04/20                | J                               |                                |                                                                   |
| 20.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/31/20                | J                               | A                              |                                                                   |
| 21. MODERNA INC COM (MRNA)                                                                                                 |                                        | None                                          |                                                |                                           | Buy                                             | 11/04/20                | J                               |                                |                                                                   |
| 22.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/31/20                | J                               | C                              |                                                                   |
| 23. PFIZER INC (PFE)                                                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 11/04/20                | J                               |                                |                                                                   |
| 24.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/31/20                | J                               | A                              |                                                                   |
| 25. TESLA INC COM (TSLA)                                                                                                   |                                        | None                                          |                                                |                                           | Sold<br>(part)                                  | 09/04/20                | L                               | F                              |                                                                   |
| 26.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 09/04/20                | K                               | E                              |                                                                   |
| 27.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 09/04/20                | K                               | E                              |                                                                   |
| 28. Account #2 (H)                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 29. VANG PRIMECAP ADM (VPMAX)                                                                                              |                                        | None                                          | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. JH DISCPL VALUE R6 (JDVWX)                                                                                             |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. METWEST TOT RTN BD P (MWT SX)                                                                                          |                                        | None                                          | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. VANG TOT INTL STK IS (VGTSX)                                                                                           |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. VANG EXT MKT IDX ISP (VEMPX)                                                                                           |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 34. VANG INST INDEX PLUS (VHIX)                                                                                            |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 35. VANG TOT BD MKY INST (VBTIX)                                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 36. BLKRK LP IDX 2040 K (LIKX)                                                                                             |                                        | None                                   | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. BLKRK LP IDX 2050 K (LIPX)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 38. BLKRK LP IDX 2045 K (LIHX)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 39. Account #3 (H)                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 40. VANG PRIMECAP ADM (VPMAX)                                                                                              |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 41. JH DISCPL VALUE R6 (JDVWX)                                                                                             |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. METWEST TOT RTN BD P (MWTX)                                                                                            |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. VANG TOT INTL STK IS (VGTX)                                                                                            |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 44. VANG EXT MKT IDX ISP (VEMPX)                                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 45. VANG INST INDEX PLUS (VHIX)                                                                                            |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 46. VANG TOT BD MKY INST (VBTIX)                                                                                           |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 47. BLKRK LP IDX 2040 K (LIKX)                                                                                             |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 48. BLKRK LP IDX 2050 K (LIPX)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 49. BLKRK LP IDX 2045 K (LIHX)                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 50. Account #4 (H)                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:  
(See Columns B1 and D4)

2 Value Codes  
(See Columns C1 and D3)

3 Value Method Codes  
(See Column C2)

A =\$1,000 or less  
F =\$50,001 - \$100,000  
J =\$15,000 or less  
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P3 =\$25,000,001 - \$50,000,000  
Q =Appraisal  
U =Book Value

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000  
K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000  
R =Cost (Real Estate Only)  
V =Other

C =\$2,501 - \$5,000  
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L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
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S =Assessment  
W =Estimated

D =\$5,001 - \$15,000  
H2 =More than \$5,000,000  
M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000  
T =Cash Market

E =\$15,001 - \$50,000

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Harjani, Sunil R.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 51. FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (CASH EQUIV.)                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 52. FIDELITY GOVERNMENT CASH RESERVES (FDRXX) (cash)                                                                       | A                                      | Dividend                               | N                                              | T                                  | Buy                                       | 08/24/20         | M                        |                         |                                                            |
| 53. MARKET INDEX FUND (FSKAX)                                                                                              | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 03/24/20         | K                        |                         |                                                            |
| 54. FIDELITY 500 INDEX PREM FUND (FXAIX)                                                                                   | A                                      | Dividend                               | M                                              | T                                  | Buy                                       | 08/12/20         | M                        |                         |                                                            |
| 55. FIDELITY NASDAQ COMPOSITE INDEX (FNCMX)                                                                                | A                                      | Dividend                               | L                                              | T                                  | Buy                                       | 08/27/20         | K                        |                         |                                                            |
| 56. FIDELITY DISCIPLINED EQUITY (FDEQX)                                                                                    | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 11/27/20         | K                        |                         |                                                            |
| 57. FIDELITY U.S. BOND INDEX PREM. FUND (FXNAX)                                                                            | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 08/12/20         | M                        |                         |                                                            |
| 58. FIDELITY BLUE CHIP GROWTH (FBGRX)                                                                                      | C                                      | Dividend                               | M                                              | T                                  | Buy                                       | 08/14/20         | K                        |                         |                                                            |
| 59.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/25/20         | K                        |                         |                                                            |
| 60. INVESCO GROWTH AND INCOME (ACGIX)                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/26/20         | K                        |                         |                                                            |
| 61. INVESCO MID CAP (VGRAX)                                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 04/17/20         | L                        |                         |                                                            |
| 62. INVESCO OPPNHMR. DISC MID CAP (OEGAX)                                                                                  |                                        | None                                   |                                                |                                    | Buy                                       | 04/17/20         | L                        |                         |                                                            |
| 63.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 08/21/20         | L                        | D                       |                                                            |
| 64. INVESTCO GLOBIAL OPPORTUNITIES (OPGIX)                                                                                 |                                        | None                                   |                                                |                                    | Sold                                      | 08/21/20         | K                        | C                       |                                                            |
| 65. INVESCO OPPENHEIMER GLOBAL FD CLASS A (OPPAX)                                                                          |                                        | None                                   |                                                |                                    | Sold                                      | 08/21/20         | K                        | E                       |                                                            |
| 66. AB CAP FD INC RELATIVE VALUE FD CL A (CABDX)                                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 07/30/20         | L                        |                         |                                                            |
| 67. BLACKROCK ADV LG CAP GRWTH FD A (BMCAX)                                                                                |                                        | None                                   |                                                |                                    | Sold                                      | 05/12/20         | J                        | A                       |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harjani, Sunil R.

Date of Report

08/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 68. BLACKROCK ADV INTL FD A (BROAX)                                                                                        | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/17/20                | J                               |                                |                                                                   |
| 69. BLACKROCK BASIC VALUE FD INC A (MDBAX)                                                                                 |                                        | None                                          |                                                |                                           | Sold                                            | 07/30/20                | K                               |                                |                                                                   |
| 70. COLUMBIA ACORN INTERNATIONAL (LAIA)                                                                                    |                                        | None                                          |                                                |                                           | Sold                                            | 08/13/20                | J                               |                                |                                                                   |
| 71. COLUMBIA FDS SER TR I MID CAP GROWTH FUND (CBSAX)                                                                      | C                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/21/20                | L                               | C                              |                                                                   |
| 72. EUROPACIFIC GROWTH FD CLASS A (AEPGX)                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/05/20                | J                               | A                              |                                                                   |
| 73. FIRST EAGLE GLOBAL INC GLOBAL FD CL A (SGENX)                                                                          |                                        | None                                          |                                                |                                           | Sold                                            | 08/05/20                | L                               |                                |                                                                   |
| 74. GOLDMAN SACHS SMALL CAP VALUE FD CL A (GSSMX)                                                                          |                                        | None                                          |                                                |                                           | Sold                                            | 07/30/20                | K                               |                                |                                                                   |
| 75. AMERICAN GROWTH FUND OF AMERICA (GFAFX)                                                                                | C                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/17/20                | K                               | C                              |                                                                   |
| 76. AMERICAN INCOME FUND OF AMERICA (IFAFX)                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/17/20                | K                               |                                |                                                                   |
| 77. NORTHERN INCOME EQUITY (NOIEX)                                                                                         | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. NORTHERN SMALL CAP (NOSGX)                                                                                             | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 79. NORTHERN STOCK INDEX (NOSIX)                                                                                           | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. PIONEER SER TR IV CLASSIC BALANCED FD CLASS A (AOBLX)                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/14/20                | K                               | A                              |                                                                   |
| 81. T ROWE PR BLUE CHIP GRWTH FD (TRBCX)                                                                                   | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. T ROWE PR EQ INC (PRFDX)                                                                                               | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. TEMPLETON GRWTH FD INC A (TEPLX)                                                                                       |                                        | None                                          |                                                |                                           | Sold                                            | 08/12/20                | K                               |                                |                                                                   |
| 84. VANGUARD REAL ESTATE INDEX ADMIRAL (VGSLX)                                                                             | A                                      | Dividend                                      | J                                              | T                                         | Sold (part)                                     | 08/14/20                | J                               | A                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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Harjani, Sunil R.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 85. VANGUARD GRWTH & INC PORT (VQNPX)                                                                                      | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 86. VANGUARD WINDSOR II FD (VWNFX)                                                                                         | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. VANGUARD TOT STK MRKT INDEX ADMIRAL (VTSAX)                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 88. FIDELITY U.S. BOND INDEX FUND (FXNAX)                                                                                  | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 08/12/20         | K                        |                         |                                                            |
| 89. LORD ABBETT BD-DEB A (LBNDX)                                                                                           | B                                      | Dividend                               |                                                |                                    | Sold                                      | 08/05/20         | K                        |                         |                                                            |
| 90. VANGUARD INDEX FUNDS S&P 500 ETF USD (VOO)                                                                             | B                                      | Dividend                               | M                                              | T                                  | Buy                                       | 03/27/20         | K                        |                         |                                                            |
| 91. VANGUARD INDEX FDS VANGUARD SMALL CAP (VB)                                                                             | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 08/14/20         | K                        |                         |                                                            |
| 92. VANGUARD TOT STK MKT ETF (VTI)                                                                                         | A                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 93. VANGUARD REIT ETF (VNQ)                                                                                                | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/14/20         | J                        | A                       |                                                            |
| 94. COCA-COLA EUROPEAN PARTNERS PLC (CCEP)                                                                                 |                                        | None                                   |                                                |                                    | Sold                                      | 08/14/20         | J                        |                         |                                                            |
| 95. AT&T INC COM (T)                                                                                                       | A                                      | Dividend                               |                                                |                                    | Sold                                      | 07/30/20         | J                        |                         |                                                            |
| 96. ABBVIE INC COM (ABBV)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 05/12/20         | J                        | B                       |                                                            |
| 97. AGILENT TECH INC (A)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 05/12/20         | J                        | A                       |                                                            |
| 98. ALTRIA GROUP (MO)                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 08/21/20         | J                        |                         |                                                            |
| 99. AMEREN CORP (AEE)                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 06/04/20         | J                        | B                       |                                                            |
| 100. APPLE INC COM (AAPL)                                                                                                  | A                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 04/22/20         | J                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/18/20         | K                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 102. ARCHER DANIELS MIDLAND (ADM)                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/11/20                | J                               |                                |                                                                   |
| 103. BP PLC SPONSORED ADR (BP)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/30/20                | J                               |                                |                                                                   |
| 104. CISCO SYS INC COM (CSCO)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/12/20                | J                               | A                              |                                                                   |
| 105. COCA COLA COMPANY (KO)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/30/20                | J                               | A                              |                                                                   |
| 106. CONOCOPHILLIPS (COP)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/04/20                | J                               |                                |                                                                   |
| 107. DEERE & CO (DE)                                                                                                       | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 108. DXC TECH CO COM (DXC) (X)                                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 05/11/20                | J                               |                                |                                                                   |
| 109. DISNEY WALT COMPANY (DIS)                                                                                             | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/12/20                | K                               |                                |                                                                   |
| 110. EXELON CORPORATION (EXC)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold<br>(part)                                  | 05/11/20                | J                               |                                |                                                                   |
| 111.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 05/11/20                | J                               |                                |                                                                   |
| 112. GENERAL ELECTRIC (GE)                                                                                                 | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/11/20                | J                               |                                |                                                                   |
| 113. HP INC (HPQ)                                                                                                          | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/12/20                | J                               |                                |                                                                   |
| 114. HEWLETT PACKARD ENTERPRISE CO<br>(HPE)                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/21/20                | J                               |                                |                                                                   |
| 115. INTEL CORP (INTC)                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 08/14/20                | J                               | A                              |                                                                   |
| 116. KEYSIGHT TECHS INC (KEYS)                                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 05/12/20                | J                               | A                              |                                                                   |
| 117. MICRON TECHNOLOGY INC (MU)                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/12/20                | K                               | D                              |                                                                   |
| 118. MOTOROLA SOLUTIONS INC COM<br>NEW (MSI)                                                                               | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/12/20                | K                               | B                              |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
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# FINANCIAL DISCLOSURE REPORT

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 119.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 12/31/20                | J                               | A                              |                                                                   |
| 120. PROCTER & GAMBLE CO (PG)                                                                                              | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 06/04/20                | K                               | C                              |                                                                   |
| 121. TESLA INC COM (TSLA)                                                                                                  |                                        | None                                          |                                                |                                           | Buy                                             | 08/28/20                | L                               |                                |                                                                   |
| 122.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/31/20                | L                               | C                              |                                                                   |
| 123. VERIZON COMMUNICATIONS (VZ)                                                                                           | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 05/12/20                | J                               | A                              |                                                                   |
| 124. Account #5 (H)                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 125. BRIGHT START EQUITY PORTFOLIO                                                                                         |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 126. BRIGHT START INDEX EQUITY<br>PORTFOLIO                                                                                |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 127. BRIGHT START INDEX BALANCED<br>PORTFOLIO                                                                              |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 128. BRIGHT START INDEX FIXED INCOME<br>PORTFOLIO                                                                          |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. Account #6 (H)                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 130. BRIGHT START EQUITY PORTFOLIO                                                                                         |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 131. BRIGHT START INDEX EQUITY<br>PORTFOLIO                                                                                |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 132. BRIGHT START INDEX BALANCED<br>PORTFOLIO                                                                              |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 133. BRIGHT START INDEX FIXED INCOME<br>PORTFOLIO                                                                          |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 134. Account #7 (H)                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 135. BRIGHT START EQUITY PORTFOLIO<br>(X)                                                                                  |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 136. BRIGHT START BALANCED PORTFOLIO                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 137. BRIGHT START FIXED INCOME PORTFOLIO                                                                                   |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 138. BRIGHT START INDEX EQUITY PORTFOLIO                                                                                   |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 139. BRIGHT START INDEX BALANCED PORTFOLIO                                                                                 |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 140. BRIGHT START INDEX FIXED INCOME PORTFOLIO                                                                             |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 141. VANGUARD 500 INDEX 529 PORTFOLIO (X)                                                                                  |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 142. T ROWE PRICE LARGE-CAP GROWTH 529 PORTFOLIO (X)                                                                       |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 143. VANGUARD EXPLORER 529 PORTFOLIO (X)                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 144. VANGUARD TOTAL STOCK MARKET 529 PORTFOLIO (X)                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 145. Account #8 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 146. BRIGHT START EQUITY PORTFOLIO                                                                                         |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 147. BRIGHT START BALANCED PORTFOLIO                                                                                       |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 148. BRIGHT START FIXED INCOME PORTFOLIO                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 149. BRIGHT START INDEX EQUITY PORTFOLIO                                                                                   |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 150. T ROWE PRICE LARGE-CAP GROWTH 529 PORTFOLIO                                                                           |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 151. VANGUARD EXPLORER 529 PORTFOLIO                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 152. VANGUARD TOTAL STOCK MARKET 529 PORTFOLIO                                                                             |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Harjani, Sunil R.

Date of Report

08/11/2021

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Harjani, Sunil R.

Date of Report

08/11/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sunil R. Harjani**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

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