

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HODGES, GEORGE R.	2. Court or Organization BANKRUPTCY COURT (NCWB)	3. Date of Report 07/23/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE (RETIRED/RECALLED)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address UNITED STATES COURTHOUSE 401 WEST TRADE STREET CHARLOTTE, NC 28202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|---|
| 1. TRUSTEE | INTER VIVOS LIFE INSURANCE TRUST 11/22/89 (NO REPORTABLE ASSETS) |
| 2. MEMBER | HOGSED CREEK FARM, LLC (REPORTABLE ASSETS ARE LISTED IN PART VII) |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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Name of Person Reporting

HODGES, GEORGE R.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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HODGES, GEORGE R.	07/23/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. NATIONWIDE INSURANCE CO	TRIANGLE PROPERTIES ASSOC. LLC SECURED BY SHOPPING CENTER IN HICKORY, NC	P1
2. NATIONWIDE INSURANCE CO	NOTE BY JM WALLACE LAND CO SECURED BY SHOPPING CENTER IN IREDELL COUNTY, NC	P1
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. INDIVIDUAL ASSETS (H)									
2. BB&T (cash)	A	Interest	N	T					
3. JOHN HANCOCK WHOLE LIFE INSURANCE POLICY		None	M	T					
4. NY LIFE FIXED ANNUITY	D	Interest	M	T					
5. JM WALLACE PROPERTY INVESTMENTS, LLC		None	P1	U					
6. TRIANGLE PROPERTIES ASSOC., LLC	G	Distribution	N	U					
7. J.M. WALLACE LAND CO., LLC	G	Distribution	N	U					
8. THE MASON WALLACE CO., LLC	G	Distribution	N	U					
9. EAST MECKLENBURG CO.	G	Distribution	O	U					
10. INDIAN LAND, SC INVESTMENT REAL ESTATE		None	N	W					
11. TRANSYLVANIA COUNTY, NC INVESTMENT PROPERTY		None	N	W	Buy	09/25/20	N		
12. ACCOUNT #1 (H)									
13. BANK OF AMERICA (cash)	A	Interest			Closed	02/06/20	M		
14. UBS BANK USA DEP ACCT (cash)	A	Interest	K	T	Open	02/07/20	M		
15. US TREASURY BILL ZERO% (912796SM2)		None			Buy	01/22/20	K		
16.					Sold	03/06/20	K	A	
17. ABBOTT LABS (ABT)	A	Dividend			Sold	03/05/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. ABBVIE INC COM (ABBV)	A	Dividend	J	T	Sold (part)	03/05/20	J		
19. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	K	T	Buy	03/10/20	K		
20. AIR PRODUCTS & CHEM (APD)	A	Dividend			Sold	03/05/20	J	C	
21. AMC NETWORKS (AMCX)		None	J	T	Buy (add'l)	03/06/20	J		
22. AMER ELECTRIC POWER CO (AEP)	A	Dividend	J	T	Buy	03/10/20	J		
23.					Buy (add'l)	05/04/20	J		
24.					Sold (part)	07/28/20	J		
25.					Sold (part)	10/23/20	J		
26. AMGEN INC (AMGN)	A	Dividend	K	T	Sold	03/05/20	J	A	
27.					Buy	09/29/20	J		
28.					Buy (add'l)	09/30/20	J		
29.					Buy (add'l)	10/05/20	J		
30.					Buy (add'l)	10/06/20	J		
31.					Buy (add'l)	11/06/20	J		
32. AUTODESK INC (ADSK)		None	J	T					
33. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend			Sold	03/05/20	J	A	
34. BIOGEN INC (BIIB)		None	J	T	Sold (part)	03/05/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. BLACKROCK INC (BLK)	A	Dividend	K	T	Buy	03/10/20	J		
36.					Sold (part)	04/03/20	J		
37.					Sold (part)	04/06/20	J	A	
38.					Buy (add'l)	07/27/20	J		
39.					Buy (add'l)	07/28/20	J		
40. BROADCOM LTD (AVGO)	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
41. CARRIER GLOBAL CORP (CARR) (X)		None			Sold	04/06/20	J		
42. CERENCE INC (CRNC) (X)		None	J	T					
43. CHEVRON CORP (CVX)	A	Dividend			Sold (part)	03/05/20	J		
44.					Buy (add'l)	07/27/20	J		
45.					Sold (part)	11/06/20	J		
46.					Sold (part)	11/09/20	J		
47.					Sold (part)	11/25/20	J		
48.					Sold	11/27/20	J	A	
49. CHUBB LTD CHF (CB)	A	Dividend	K	T	Buy	03/10/20	J		
50.					Buy (add'l)	04/03/20	J		
51.					Buy (add'l)	11/06/20	J		

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52. CISCO SYSTEMS INC COM (CSCO)	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
53.					Buy (add'l)	03/10/20	J		
54.					Buy (add'l)	10/23/20	J		
55.					Buy (add'l)	10/26/20	J		
56. CITRIX SYSTEMS INC (CTXS)	A	Dividend	J	T	Sold (part)	03/05/20	J	A	
57.					Buy (add'l)	03/11/20	J		
58.					Buy (add'l)	09/08/20	J		
59. COCA COLA COM (KO)	A	Dividend	K	T	Buy (add'l)	03/10/20	K		
60.					Buy (add'l)	07/27/20	J		
61. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	K	T	Buy (add'l)	03/10/20	J		
62.					Sold (part)	05/04/20	J		
63. CRANE CO (CR)	A	Dividend	J	T	Buy	03/10/20	J		
64. CREE INC (CREE)		None	J	T					
65. CROWN CASTLE REIT INC (CCI)		None			Sold	03/05/20	K	D	
66. DARDEN RESTAURANTS INC (DRI)	A	Dividend			Sold	03/05/20	J		
67. DIAGEO PLC NEW GB SPON ADR (DEO)	A	Dividend	J	T	Buy	03/10/20	J		
68.					Sold (part)	11/09/20	J	A	

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69. DISCOVERY COMMUNICATION (DISCA)		None	J	T	Buy (add'l)	05/06/20	J		
70.					Buy (add'l)	05/14/20	J		
71. DOLBY LABORATORIES (DLB)	A	Dividend	J	T					
72. EVERSOURCE ENERGY COM (ES)	A	Dividend			Sold	03/05/20	J	A	
73. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	03/05/20	J		
74. FIREEYE INC (FEYE)		None	J	T	Buy (add'l)	03/17/20	J		
75. FLUOR CORP (FLR)	A	Dividend			Sold	03/05/20	J		
76. FREEPORT MCMORAN (FCX)	A	Dividend	K	T	Buy (add'l)	03/06/20	J		
77. GCI LIBERTY INC (GLIBA) (Y)									
78. GUARDANT HEALTH INC (GH)		None	J	T	Buy	03/06/20	J		
79.					Buy (add'l)	03/12/20	J		
80. HOME DEPOT (HD)	A	Dividend	K	T	Buy (add'l)	03/10/20	J		
81.					Sold (part)	04/03/20	J	A	
82.					Sold (part)	04/06/20	J	A	
83.					Sold (part)	11/06/20	J	A	
84.					Sold (part)	11/09/20	J	A	
85. HONEYWELL INTL (HON)	A	Dividend			Sold	03/05/20	K	C	

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86. ILLINOIS TOOL WORKS (ITW)	A	Dividend			Sold	03/05/20	J	B	
87. IMMUNOGEN INC (IMGN)		None	J	T					
88. INTEL CORP (INTC)	A	Dividend	K	T	Buy	03/10/20	K		
89.					Sold (part)	11/06/20	J		
90. IONIS PHARMACEUTICALS (IONS)		None	J	T	Buy (add'l)	03/06/20	J		
91. JOHNSON CONTROLS (JCI)	A	Dividend	J	T					
92. JOHNSON AND JOHNSON COM (JNJ)	A	Dividend	K	T	Buy (add'l)	03/10/20	J		
93.					Sold (part)	09/29/20	J	A	
94.					Sold (part)	09/30/20	J	A	
95.					Sold (part)	10/05/20	J	A	
96.					Sold (part)	10/06/20	J	A	
97.					Buy (add'l)	11/06/20	J		
98. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T	Buy	03/10/20	J		
99. LIBERTY MEDIA CORP DEL SIRIUS XM (LSXMA) (X)		None	J	T					
100. LIBERTY MEDIA CORP DEL SIRIUS XM (LSXMK)		None	J	T					
101. LIBERTY BROADBAND CORP (LBRDA) (Y)									
102. LIBERTY BROADBAND CORP SER C (LBRDK) (X)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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E =\$15,001 - \$50,000

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103. LILLY ELI (LLY)	A	Dividend			Sold	03/05/20	J	B	
104. LINDE PLC EUR (LIN)	A	Dividend	K	T	Buy	03/10/20	J		
105.					Sold (part)	11/06/20	J	A	
106.					Sold (part)	11/09/20	J	A	
107. LIONS GATE ENTERTAINMENT (LGFB)		None	J	T					
108. LOCKHEED MARTIN (LMT)	A	Dividend	J	T	Buy (add'l)	03/10/20	J		
109.					Sold (part)	05/04/20	J	A	
110.					Sold (part)	11/06/20	J	A	
111. LOGMEIN INC (LOGM)		None			Sold	03/05/20	J		
112. LYONDELL BASELL IND (LYB)	A	Dividend			Sold	03/05/20	J	A	
113. L3HARRIS TECHNOLOGIES (LHX)	A	Dividend	J	T					
114. MARSH & MCLENNAN COS INC (MMC)	A	Dividend	K	T	Buy (add'l)	03/10/20	J		
115.					Buy (add'l)	05/04/20	J		
116.					Sold (part)	07/27/20	J	A	
117.					Sold (part)	07/28/20	J	A	
118. MAXIM INTEFRATED (MXIM)	A	Dividend			Sold	03/05/20	J	A	
119. MCDONALDS CORP. (MCD)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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HODGES, GEORGE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. MEDTRONIC PLC (MDT)	A	Dividend	K	T	Buy (add'l)	03/10/20	J		
121.					Buy (add'l)	05/04/20	J		
122. MICROSOFT (MSFT)	A	Dividend	K	T	Buy (add'l)	03/10/20	K		
123.					Sold (part)	03/10/20	J	A	
124.					Sold (part)	07/27/20	J	C	
125. MONDELEZ INTL (MDLZ)	A	Dividend			Sold	03/05/20	J	B	
126. NATIONAL OILWELL VARCO (NOV)	A	Dividend	J	T					
127. NEXTERA ENERGY INC (NEE)	A	Dividend	J	T	Sold (part)	03/05/20	J	C	
128.					Sold (part)	03/10/20	J	A	
129.					Sold (part)	07/27/20	J	A	
130. NOVARTIS AG SPON ADR (NVS)		None	K	T	Buy	03/10/20	K		
131.					Sold (part)	09/29/20	J	A	
132.					Sold (part)	09/30/20	J	A	
133.					Sold (part)	10/05/20	J	A	
134.					Buy (add'l)	11/06/20	J		
135. NOW INC (DNOW)		None	J	T					
136. NUANCE COMM (NUAN)		None	J	T	Sold (part)	03/05/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. NUCOR CORPORATION (NUE)	A	Dividend	J	T					
138. OCCIDENTAL PETE CORP CAL (OXY)	A	Dividend			Buy (add'l)	01/09/20	J		
139.					Buy (add'l)	01/13/20	J		
140.					Buy (add'l)	03/06/20	J		
141.					Sold	04/29/20	J		
142. ONEOK INC OKLAHOMA (OKE)	A	Dividend			Sold	03/05/20	J	A	
143. OTIS WORLDWIDE CORP (OTIS)		None			Buy	03/10/20	J		
144.					Sold	04/06/20	J		
145. PAYCHEX INC. (PAYX)	A	Dividend			Sold	03/05/20	J	B	
146. PENTAIR (PNR)	A	Dividend	J	T					
147. PEPSICO INC (PEP)	A	Dividend			Sold	03/05/20	K	C	
148. PFIZER INC (PFE)	A	Dividend			Sold	03/05/20	J		
149. PHILLIPS 66 (PSX)	A	Dividend	J	T	Sold	03/05/20	J		
150.					Buy	05/04/20	J		
151.					Buy (add'l)	05/05/20	J		
152.					Buy (add'l)	05/06/20	J		
153.					Buy (add'l)	07/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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HODGES, GEORGE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/25/20	J		
155. PROCTER & GAMBLE CO (PG)	A	Dividend	K	T	Buy	03/10/20	K		
156.					Sold (part)	07/27/20	J	A	
157.					Sold (part)	11/06/20	J	A	
158. PROLOGIS INC (PLD)		None			Sold	03/05/20	J	A	
159. QURATE RETAIL GROUP QVC (QRTEA)	C	Dividend	J	T	Buy (add'l)	03/06/20	J		
160.					Sold (part)	09/23/20	J		
161. RAYTHEON TECHNOLOGIES CORP (RTX)	A	Dividend	J	T	Buy	04/06/20	J		
162.					Sold (part)	05/04/20	J		
163. REALTY INCM CRP MD (O)	A	Dividend			Sold	03/05/20	J	B	
164. REPUBLIC SERVICES INC (RSG)	A	Dividend	J	T	Buy	03/10/20	J		
165.					Sold (part)	04/03/20	J		
166.					Sold (part)	04/06/20	J		
167. ROCKWELL AUTOMATION INC NEW (ROK)	A	Dividend	J	T	Buy	03/10/20	J		
168.					Sold (part)	04/03/20	J		
169.					Sold (part)	11/06/20	J	A	
170. SEAGATE TECH PLC (STX)	A	Dividend	K	T	Buy (add'l)	03/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	03/12/20	J		
172. SEMPRA ENERGY (SRE)	A	Dividend			Sold	03/05/20	J	C	
173. SIMON PROPERTY GROUP (SPG)	A	Dividend			Sold	03/05/20	J		
174. STARBUCKS CORP (SBUX)	A	Dividend			Sold	03/05/20	J	B	
175. SUNCOR ENERGY INC NEW CAD (SU)		None			Buy	03/10/20	J		
176.					Sold	05/04/20	J		
177. SYSCO CORPORATION (SYY)	A	Dividend			Sold	03/05/20	J		
178. TE CONNECTIVITY LTD (TEL)	A	Dividend	K	T					
179. TEXAS INSTRUMENTS (TXN)	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
180.					Sold (part)	04/03/20	J	A	
181.					Buy (add'l)	07/27/20	J		
182.					Buy (add'l)	07/28/20	J		
183. TRUIST FINL CORP (TFC)	A	Dividend	J	T	Sold (part)	03/05/20	J		
184. TWITTER INC (TWTR)		None	K	T	Sold (part)	03/05/20	J	A	
185. UNION PACIFIC CORP (UNP)	A	Dividend	K	T	Buy	03/10/20	J		
186.					Buy (add'l)	04/03/20	J		
187.					Buy (add'l)	04/06/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

HODGES, GEORGE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	11/06/20	J	A	
189.					Sold (part)	11/09/20	J	A	
190. UNITED PARCEL SERVICE INC CL B (UPS)	A	Dividend	J	T	Buy	11/06/20	J		
191.					Buy (add'l)	11/09/20	J		
192. UNITED HEALTH GROUP (UNH)	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
193. VALERO ENERGY CORP (VLO)	A	Dividend			Sold	03/05/20	J		
194. VERTEX PHARM INC (VRTX)		None	K	T	Sold (part)	03/05/20	J	A	
195.					Buy (add'l)	03/12/20	J		
196.					Buy (add'l)	05/27/20	J		
197.					Buy (add'l)	06/09/20	J		
198.					Buy (add'l)	09/17/20	J		
199.					Buy (add'l)	09/24/20	J		
200.					Buy (add'l)	10/15/20	J		
201. VF CORP (VFC)	A	Dividend	K	T	Buy	03/10/20	J		
202.					Buy (add'l)	04/03/20	J		
203. WEC ENERGY GROUP (WEC)	A	Dividend			Sold	03/05/20	J	C	
204. WILLIAMS COMPANIES (WMB)		None			Sold	03/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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205. WESTERN DIGITAL (WDC)	A	Dividend	J	T	Sold (part)	03/05/20	J		
206. ISHARES EDGE MSCI MIN VOL EMERG MKTS (EEMV)	B	Dividend	L	T					
207. ISHARES EDGE MSCI MIN VOL EAFE (EFAV)	C	Dividend	M	T					
208. FULLER & THALER BEHVRL SM CAP EQ FD I (FTHSX)	A	Dividend	M	T	Buy (add'l)	03/05/20	J		
209. NEUBERGER BERMAN INTL EQ FD I (NBIIX)	D	Dividend	M	T	Buy (add'l)	03/05/20	J		
210.					Buy (add'l)	03/11/20	J		
211. T ROWE PRICE EMERG MKTS STOCK FD I (PRMSX)	A	Dividend	L	T					
212. BANK OF AMER CORP MED TERM NTS 04.125% (06051GFB0)		None			Buy	03/23/20	J		
213.					Sold	04/14/20	J	A	
214. GOLDMAN SACHS GROUP INC 04.000% (38141GVM3)		None			Buy	03/23/20	J		
215.					Sold	07/09/20	J	B	
216. SHELL INTL FIN NTS B/E 02.875% (822582BT8)	A	Interest	J	T	Buy	03/23/20	J		
217. VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 (92343VBR4)		None			Sold	01/08/20	J	A	
218. VERIZON COMMUNICATIONS 04.125% (92343VDY7)	A	Interest	J	T	Buy	04/16/20	J		
219. CVS HEALTH CORP NTS B/E 04.300% (126650CX6)	A	Interest	J	T	Buy	06/09/20	J		
220. WELLS FARGO & CO B/E 03.584% (95000U2A0)	A	Interest	J	T	Buy	03/23/20	J		
221. CITIGROUP INC B/E 03.668% (172967LP4)	A	Interest	J	T	Buy	03/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. COMCAST CORP NTS B/E 04.150% (20030NCT6)	A	Interest	J	T	Buy	03/23/20	J		
223. OCCIDENTAL PETROLEUM COR GLB 03.500% JUN 15 2025 (674599CG8)		None			Buy	01/09/20	J		
224.					Buy (add'l)	01/13/20	J		
225.					Sold	03/06/20	J		
226. JPMORGAN CHASE & CO GLB (46647PAX4)		None			Sold	03/06/20	J	B	
227. JPMORGAN CHASE & CO B/E 04.203% (46647PAV8)	A	Interest	K	T	Buy	03/23/20	J		
228. GOLDMAN SACHS GROUP INC 3.800% (38141GXH2)	A	Interest	J	T	Buy	07/09/20	J		
229. BANK OF AMER CORP 02.884% (06051GHX0)	A	Interest	J	T	Buy	04/14/20	J		
230. GENERAL ELEC CAP CORP 05.875% JAN 14 2038 (36962G3P7)	A	Interest	J	T	Buy (add'l)	04/08/20	J		
231. MICROSOFT CORP B/E 04.250% (594918CA0)	A	Interest	K	T	Buy	03/23/20	J		
232. ENTERPRISE PRODUCTS OPER COMP GUARNT (29379VAV5)	A	Interest			Sold	03/06/20	J	A	
233. ENTERPRISE PRODUCTS OPER 04.800% (29379VBU6)	A	Interest	J	T	Buy	04/01/20	J		
234. CVS HEALTH CORP GLB (126650CZ1)		None			Sold	03/06/20	J	C	
235. FNMA PL MA3521 04.0000% (31418C4F8)	A	Interest			Buy	05/28/20	K		
236.					Sold	11/06/20	J		
237. FNMA PL MA4204 02.0000% (31418DU67)		None	K	T	Buy	12/09/20	K		
238. FHLMC PL ZT1546 04.5000% (3132ADWF1)	A	Interest	J	T	Buy	03/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. FHLMC PL SD8010 03.0000% (3132DV3T0)	A	Interest	J	T	Buy	03/10/20	J		
240. FHLMC PL SD8113 02.0000 DUE 11/01/50 (3132DWAN3)	A	Interest	K	T	Buy	11/06/20	K		
241. FNMA PL MA3803 03.5000% (31418DGM8)	A	Interest			Buy	04/09/20	K		
242.					Buy (add'l)	04/28/20	J		
243.					Sold (part)	06/17/20	J		
244.					Sold	12/09/20	K		
245. FNMA PL MA3961 03.5000% (31418DMK5)	A	Interest			Buy	07/10/20	J		
246.					Sold	12/09/20	J		
247. FHLMC PL SD8044 03.0000% (3132DV5D3)	A	Interest			Buy	03/10/20	K		
248.					Sold	05/26/20	K	A	
249. FHLMC GO 8635 03%2045 (3128MJV56)	A	Interest			Sold	03/12/20	J	A	
250. FHLMC GO 8741 03%2047 (3128MJZF0)	A	Interest			Sold	03/12/20	K	B	
251. FHLMC G0 8776 04 50%2047 (3128MJ2J8)	A	Interest			Sold	03/12/20	J		
252. FNMA PMA3184 04 50%2047 (3141BCRE6)	A	Interest			Sold	03/12/20	J		
253. FNMA PMA3238 03 50%2048 (31418CS47)	A	Interest			Sold	03/12/20	K	A	
254. FNMA PMA3416 04 50%2048 (31418CYN8)	A	Interest			Sold	03/12/20	J		
255. FNMA PMA3443 04%2048 (31418CZH0)	A	Interest			Sold	03/12/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. FNMA PMA3444 04 50%2048 (31418CZJ6)	A	Interest			Sold	03/12/20	J		
257. FNMA PMA3592 04%2049 (31418C7E8)	A	Interest			Sold	03/10/20	J		
258. FNMA PMA3594 05%2049 (3141BC7G3)	A	Interest			Sold	01/13/20	J		
259. FNMA PMA3685 03%2049 (31418DCX8)	A	Interest			Sold	03/12/20	J		
260. FNMA PMA3692 03 50%2049 (31418DC67)	A	Interest			Sold	03/12/20	J		
261. FNMA PMA3747 04 50%2049 (31418DEV0) (Y)									
262. FNMA PMA3871 03%2049 (31418DJR4)	A	Interest			Buy	01/17/20	K		
263.					Sold	03/12/20	K	A	
264. FNMA PMA3630 05%2049 (31418DA85)	A	Interest			Sold	01/13/20	J		
265. FNMA PFM1147 05%2049 (3140X4HZ2)	A	Interest			Sold	01/13/20	J		
266. LORD ABBETT SHORT DURATION INC FD F (LDLFX)	D	Dividend	N	T	Sold (part)	03/05/20	J	A	
267. WESTERN ASSET SMASH SERIES M FUND (LMSMX)	D	Dividend	M	T	Sold	02/05/20	M	C	
268.					Buy	03/13/20	M		
269.					Sold (part)	05/12/20	K	B	
270.					Buy (add'l)	09/03/20	J		
271.					Buy (add'l)	12/01/20	J		
272. WESTERN ASSET SMASH SERIES C FUND (LMLCX)	C	Dividend	L	T	Sold	02/05/20	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy	03/13/20	K		
274.					Buy (add'l)	03/30/20	J		
275.					Buy (add'l)	05/12/20	K		
276. WESTERN ASSET SMASH SERIES EC FUND (LMECX)	B	Dividend	M	T	Buy	03/13/20	M		
277.					Buy (add'l)	03/30/20	K		
278. U S TREASURY NOTE 2.6250% (9128284Y3)		None			Buy	03/10/20	J		
279.					Sold	06/09/20	J		
280. U S TREASURY NOTE 0.3750% (912828ZL7)	A	Int./Div.	K	T	Buy	05/26/20	K		
281.					Buy (add'l)	06/09/20	J		
282.					Buy (add'l)	12/02/20	J		
283. U S TREASURY NOTE 0.3750% (91282CAZ4)		None	J	T	Buy	12/09/20	J		
284. U S TREASURY NOTE 1.6250% (912828P46)	A	Interest	K	T	Buy	06/17/20	J		
285.					Buy (add'l)	07/08/20	J		
286.					Buy (add'l)	08/24/20	J		
287. FNMA - CALL BOND 6.6250% (31359MGK3)	A	Interest	J	T	Buy	03/11/20	J		
288. US TREASURY NOTE 1.375% (912828L65)		None			Sold	03/06/20	J	A	
289. US TREASURY NOTE 1.625% JUN 30 (9128287A2)		None			Sold	03/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. US TREASURY NOTE 2.000% (912828SF8)	A	Interest			Sold	03/06/20	J	A	
291. US TREASURY NOTE 2.750% (9128283W8)	A	Interest			Sold	03/06/20	K	B	
292. US TREASURY BOND 3.375% (912810RG5)		None			Sold	03/06/20	K	D	
293. US TREASURY BOND 3.000% (912810RM2)	A	Interest	K	T	Buy (add'l)	03/10/20	J		
294.					Buy (add'l)	03/13/20	J		
295.					Buy (add'l)	06/30/20	J		
296.					Buy (add'l)	10/06/20	J		
297. UNITED STATES T (912810RQ3)	A	Interest	K	T	Buy	03/11/20	J		
298.					Buy (add'l)	04/14/20	J		
299.					Buy (add'l)	05/05/20	J		
300.					Buy (add'l)	10/06/20	J		
301. US TREASURY BOND 2.750% (912810RY6)		None			Sold	03/06/20	K	D	
302. US TREASURY BOND 3.000% FEB 15 (912810SF6)	A	Interest	K	T	Sold	01/22/20	K	B	
303.					Buy	03/11/20	K		
304.					Buy (add'l)	06/30/20	J		
305.					Buy (add'l)	10/06/20	J		
306.					Buy (add'l)	12/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. US TSY INFL PROT BOND (912810SM1)	A	Interest	J	T	Buy	03/10/20	J		
308. ACCOUNT #2 (H)									
309. AMERICAN CAPITAL WLD FUND (CWGIX)		None			Sold	01/02/20	L	E	
310. AMERICAN CAP INC. BKDR. (CAIBX)		None			Sold	01/02/20	L	C	
311. AMERICAN GROWTH FUND (AGTHX)		None			Sold	01/02/20	L	E	
312. ISHARES TR CORE MSCI EAF ETF (IEFA)	A	Dividend	K	T	Buy	01/02/20	K		
313. ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	A	Dividend	K	T	Buy	01/02/20	K		
314. VANGUARD EXTD MKT ETF (VXF)	A	Dividend	L	T	Buy	01/02/20	K		
315. FULLER & THALER BEHVL SMALL- CAP EQ FD CL INSTL (FTHSX)	A	Dividend	L	T	Buy	01/03/20	L		
316.					Buy (add'l)	03/05/20	J		
317. NEUBERGER BERMAN INTL EQUITY FUND CL INSTL (NBIIX)	B	Dividend	K	T	Buy	01/03/20	K		
318. TROWE PRICE EMERGING MARKETS STOCK FD INV CL (PRMSX)	A	Dividend	K	T	Buy	01/03/20	K		
319. ACCOUNT #3 (H)									
320. MERRILL LYNCH BANK DEPOSIT PROGRAM (cash)	A	Interest			Closed	02/07/20	J		
321. UBS FDIC INSURED DEP PGM (cash)	A	Interest	J	T	Open	02/07/20	J		
322. RAILSPLITTER TOBACCO SET (75076PAP0)	A	Interest			Buy	03/16/20	K		
323.					Redeemed	06/01/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. MINNESOTA ST GO STATE VAR PURP BDS SER A (6041294D5)	B	Interest			Redeemed	08/01/20	K		
325. NEW YORK ST DORM AUTH ST (64990FXG4)		None	L	T	Buy	08/10/20	L		
326. OREGON ST DPT ADMIN LOTTERY RV REV FEF BDS (68607VG90)	A	Interest	K	T					
327. NEW YORK N Y GO BDS SER B (64966JPA8)	A	Interest	K	T					
328. MISSOURI ST HWYS & TRANS COMMN ST RD REV REF ST (60636WRG2)	A	Interest	K	T					
329. NORTH CAROLINA ST LTD OBLIG REF BDS SER C (65829QCC6)	B	Interest	K	T					
330. IOWA ST SPL OBLIG IJOB PROG SPL OBLIG REF BDS A (46257TDZ9)		None			Sold	03/10/20	K	A	
331. RALEIGH NC COMB ENTERPRISE SYS REV A RF (751100JK5)	A	Interest	K	T					
332. SEATTLE WA WATER SYS 99B (812728TM5)	A	Interest	J	T	Buy	04/03/20	J		
333. MARYLAND ST FOR ISSUES D (574193NV6)	A	Interest	K	T	Buy	06/02/20	K		
334. TEXAS TRANSN COMMN ST HWY FD REV REF BDS (88283LJV9)	B	Interest	K	T					
335. MISSOURI ST BRD PUB BLDG (606341LZ6)	A	Interest	K	T	Buy	09/14/20	K		
336. TEXAS ST GO MOBILITY FD REF BDS 2014 (882723NA3)	A	Interest	K	T					
337. NEW MEXICO FIN AUTH ST TRANSN REV REF BDS (64711RJU1)	A	Interest			Sold	09/04/20	K	A	
338. PENNSYLVANIA ST (70914PWL2)	B	Interest	L	T	Buy	03/13/20	L		
339. MASS ST GO CONSOL LN (57582P7B0)		None	K	T	Buy	09/14/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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340. WASHINGTON ST HEALTH CARE FACS AUTH REV REV (93978HNT8)	A	Interest			Sold	09/04/20	K	A	
341. MINNESOTA PUB FACS AUTH ST REVOLVING FD REV A (604115CG8)	A	Interest	K	T					
342. NEW YORK ST URBAN DEV CORP REV INC TAX A-1 (650035YX0)	A	Interest	K	T					
343. TENNESSEE ST GO BDS A (880541VL8)	A	Interest	K	T					
344. TALLAHASSEE FLA ENERGY SYS REV REF REV BDS (874476JJ4)	A	Interest	K	T					
345. UNIVERSITY TEX UNIV REVS REV FIN SYS BDS SER J (91514AGQ2)	A	Interest	K	T					
346. SUSTAINABLE EGY UTIL INC DEL EGY EFFICIENCY REV (86932UAP0)		None			Sold	03/10/20	K	A	
347. INDIANA FIN AUTH HOSP REV REV REF BDS SER A (45471APX4)		None			Sold	03/10/20	J		
348. NORTH CAROLINA CAP FACS FIN AGY EDL FACS REV RF (65818PKG3)	A	Interest			Sold	03/10/20	K	A	
349. VIRGINIA CBA VA ED FCS REV 21T REF BDS SER E (92778VFF0)	A	Interest			Sold	03/10/20	K	B	
350. WISCONSIN ST GO REF BDS SER 3 (97705MKP9)	A	Interest	K	T					
351. NORTHSIDE TEX INDPT SCH DIST ULTD TAX PSF GTD (66702RTK8)	A	Interest	K	T					
352. METROPOLITAN TRANSN AUTH N Y DEDICATED (59261AWZ6)	A	Interest	J	T					
353. METROPOLITAN TRANSN AUTH N Y DEDICATED (59261AWZ6) (Y)									
354. ACCOUNT #4 (H)									
355. ML BANK DEPOSIT (cash)	A	Interest			Closed	02/07/20	K		
356. UBS FDIC INSURED DEP PGM (cash)	A	Interest	J	T	Open	02/24/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
357. ABBOTT LABS (ABT)	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
358. ABBVIE INC COM (ABBV)	A	Dividend	K	T	Buy	03/19/20	J		
359.					Buy (add'l)	03/24/20	J		
360.					Buy (add'l)	04/07/20	J		
361.					Buy (add'l)	05/11/20	J		
362.					Buy (add'l)	07/09/20	J		
363.					Buy (add'l)	11/19/20	J		
364. AIR PRODUCTS & CHEM (APD)	A	Dividend	K	T					
365. AMERICAN TOWER CORP REIT (AMT)		None			Buy	12/08/20	J		
366.					Sold	12/11/20	J		
367. AMGEN INC (AMGN)	A	Dividend	J	T					
368. AUTOMATIC DATA PROCESSING (ADP)	A	Dividend	K	T					
369. BLACKROCK INC (BLK)	A	Dividend	K	T					
370. BROADCOM LTD (AVGO)	A	Dividend	K	T	Buy (add'l)	03/05/20	J		
371.					Buy (add'l)	03/11/20	J		
372.					Buy (add'l)	09/14/20	J		
373.					Buy (add'l)	09/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
374. CHEVRON CORP (CVX)	A	Dividend			Buy (add'l)	03/05/20	J		
375.					Sold	12/11/20	K		
376. CISCO SYSTEMS INC COM (CSCO)	A	Dividend			Sold (part)	01/09/20	J	A	
377.					Sold (part)	10/14/20	J		
378.					Sold	12/11/20	K		
379. COCA COLA COM (KO)	A	Dividend	J	T	Buy (add'l)	03/11/20	J		
380. COMCAST CORP NEW CL A (CMCSA)	A	Dividend	J	T					
381. CROWN CASTLE REIT INC (CCI)	A	Dividend	K	T					
382. DARDEN RESTAURANTS INC UNSOLICITED (DRI)		None			Buy	03/09/20	J		
383.					Sold	03/19/20	J		
384. EATON CORP PLC (ETN)	A	Dividend	J	T	Buy	03/05/20	J		
385.					Buy (add'l)	08/21/20	J		
386. EVERSOURCE ENERGY COM (ES)	A	Dividend	J	T					
387. EXXON MOBIL CORP (XOM)		None			Buy	01/21/20	J		
388.					Sold	03/11/20	J		
389. HOME DEPOT (HD)	A	Dividend	K	T					
390. HONEYWELL INTL (HON)	A	Dividend	K	T	Sold (part)	08/21/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
391.					Sold (part)	12/01/20	J	B	
392.					Sold (part)	12/08/20	J	B	
393. ILLINOIS TOOL WORKS (ITW)	A	Dividend	K	T					
394. JOHNSON AND JOHNSON COM (JNJ)	A	Dividend	J	T	Sold (part)	07/09/20	J	A	
395.					Sold (part)	08/27/20	J	A	
396.					Sold (part)	10/14/20	J	A	
397.					Sold (part)	12/01/20	J	A	
398. JPMORGAN CHASE & CO (JPM)	A	Dividend	K	T	Sold (part)	01/09/20	J	A	
399.					Sold (part)	01/10/20	J	A	
400. LILLY ELI (LLY)	A	Dividend	K	T					
401. LOCKHEED MARTIN (LMT)	A	Dividend	K	T					
402. LYONDELL BASELL IND (LYB)	A	Dividend	J	T	Sold (part)	03/19/20	J		
403.					Sold (part)	12/08/20	J	A	
404. MARSH & MCLENNAN COS INC (MMC)	A	Dividend	K	T					
405. MAXIM INTEGRATED (MXIM)	A	Dividend			Sold (part)	07/24/20	J	A	
406.					Sold (part)	08/27/20	J	A	
407.					Sold (part)	09/02/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
408.					Sold	09/14/20	J	A	
409. MCDONALDS CORP. (MCD)	A	Dividend	K	T	Sold (part)	04/16/20	J		
410. MEDTRONIC PLC (MDT)	A	Dividend	K	T					
411. MERCK & CO INC NEW COM (MRK)	A	Dividend	K	T	Buy	03/05/20	J		
412.					Buy (add'l)	03/11/20	J		
413.					Buy (add'l)	03/24/20	J		
414.					Buy (add'l)	04/16/20	J		
415.					Buy (add'l)	05/11/20	J		
416.					Buy (add'l)	12/01/20	J		
417. MICROSOFT (MSFT)	A	Dividend	K	T	Sold (part)	03/05/20	J	B	
418.					Sold (part)	03/11/20	J	A	
419.					Sold (part)	03/24/20	J	A	
420.					Sold (part)	03/26/20	J	B	
421. MONDELEZ INTL (MDLZ)	A	Dividend	K	T	Buy (add'l)	03/05/20	J		
422. NEXTERA ENERGY INC (NEE)	A	Dividend	K	T					
423. ONEOK INC OKLAHOMA (OKE)	A	Dividend			Sold	03/16/20	J		
424. PAYCHEX INC. (PAYX)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
425. PEPSICO INC (PEP)	A	Dividend	K	T	Buy (add'l)	11/19/20	J		
426. PFIZER INC (PFE)	A	Dividend			Buy	01/21/20	K		
427.					Sold (part)	03/05/20	J		
428.					Sold	05/11/20	J		
429. PHILLIPS 66 (PSX)	A	Dividend			Buy (add'l)	03/05/20	J		
430.					Sold	12/11/20	J		
431. PNC FINCL SERVICES GROUP (PNC)	A	Dividend	J	T	Buy (add'l)	01/10/20	J		
432.					Buy (add'l)	01/24/20	J		
433.					Sold (part)	12/11/20	J		
434. PROCTER & GAMBLE CO (PG)	A	Dividend	K	T	Buy	03/26/20	J		
435.					Buy (add'l)	04/16/20	J		
436.					Buy (add'l)	12/01/20	J		
437. PROLOGIS INC (PLD)	A	Dividend	K	T	Buy (add'l)	04/07/20	J		
438.					Buy (add'l)	07/09/20	J		
439.					Buy (add'l)	07/24/20	J		
440. QUALCOMM INC (QCOM)	A	Dividend	K	T	Buy	08/27/20	J		
441.					Buy (add'l)	09/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
442.					Buy (add'l)	09/17/20	J		
443.					Buy (add'l)	10/14/20	J		
444. REALTY INCM CRP MD (O)	A	Dividend			Sold (part)	04/07/20	J		
445.					Sold	12/11/20	J		
446. SEMPRA ENERGY (SRE)	A	Dividend	K	T					
447. SIMON PROPERTY GROUP DEL (SPG)	A	Dividend			Buy	01/21/20	J		
448.					Sold (part)	03/19/20	J		
449.					Sold	03/24/20	J		
450. STARBUCKS CORP (SBUX)	A	Dividend	K	T					
451. SYSCO CORPORATION (SYY)	A	Dividend			Sold	03/24/20	J		
452. TEXAS INSTRUMENTS (TXN)	A	Dividend	K	T					
453. TRUIST FINL CORP (TFC)	A	Dividend			Sold	12/11/20	K		
454. UNITED PARCEL SERVICE INC CL B (UPS)		None	J	T	Buy	12/01/20	J		
455. US BANCORP (USB)	A	Dividend			Sold	12/11/20	J		
456. VALERO ENERGY CORP (VLO)	A	Dividend			Sold (part)	03/26/20	J		
457.					Sold	11/19/20	J		
458. VANGUARD DIVIDEND (VIG)		None			Sold	01/21/20	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

HODGES, GEORGE R.

Date of Report

07/23/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
459. VERIZON COMMUNICATIONS INC (VZ)	A	Dividend	K	T	Buy	03/19/20	J		
460.					Buy (add'l)	03/24/20	J		
461.					Buy (add'l)	03/26/20	J		
462. WEC ENERGY GROUP (WEC)	A	Dividend	K	T	Sold (part)	07/09/20	J	A	
463. WELLS FARGO & CO NEW DEL (WFC)		None			Sold (part)	01/24/20	J		
464.					Sold	03/05/20	J		
465. WILLIAMS COMPANIES DEL (WMB)		None			Buy	01/21/20	J		
466.					Sold	03/05/20	J		
467. ISHARES CORE HIGH DIVID ETF (HDV)		None	M	T	Buy	12/11/20	M		
468. ACCOUNT #5 (H)									
469. BOA/ML BANK DEPOSIT (cash)	A	Interest			Closed	03/03/20	M		
470. UBS FDIC INSURED DEP PGM (cash)	A	Interest	M	T	Open	03/04/20	M		
471. ALTRIA GROUP INC (MO)	C	Dividend	K	T					
472. BERKSHIRE HATHAWAY B (BRKB)		None	M	T					
473. BRISTOL-MYERS SQUIBB CO (BMY)	B	Dividend	L	T					
474. CISCO SYSTEMS INC COM (CSCO)	D	Dividend	M	T					
475. COCA COLA COM (KO)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
476. CSX CORP (CSX)	B	Dividend	M	T					
477. DUKE ENERGY CORP NEW (DUK)	A	Dividend	K	T					
478. EMERSON ELEC CO (EMR)	B	Dividend	L	T					
479. INTEL CORP (INTC)	B	Dividend	L	T					
480. JOHNSON AND JOHNSON COM (JNJ)	C	Dividend	M	T					
481. LINCOLN NTL CORP IND NPV (LNC)	C	Dividend	L	T					
482. MERCK AND CO INC (MRK)	C	Dividend	M	T					
483. MICROSOFT CORP (MSFT)	D	Dividend	P1	T					
484. NUCOR CORPORATION (NUE)	B	Dividend	L	T					
485. ORACLE CORP \$0.01 DEL (ORCL)	C	Dividend	M	T					
486. PHILIP MORRIS INTL INC (PM)	C	Dividend	L	T					
487. SONOCO PRODUCTS CO (SON)	D	Dividend	M	T					
488. AMERICAN FUNDAMENTAL INVESTORS INC CL A (ANCFX)	C	Dividend	M	T					
489. AMERICAN BALANCED FUND INC CL A (ABALX)	D	Dividend	M	T					
490. ACCOUNT #6 (H)									
491. IRA - BB&T (cash) (Y)									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

HODGES, GEORGE R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

INCOME IS RECEIVED FROM SEVERAL CLOSELY HELD [REDACTED] CORPORATIONS INCLUDING EAST MECKLENBURG CORP., THE MASON WALLACE CO., LLC, J.M. WALLACE LAND CO., LLC AND TRIANGLE PROPERTIES ASSOCIATES, LLC, AND REAL PROPERTY OWNED IN COMMON. THIS IS IN THE NATURE OF INVESTMENT INCOME AND IS REPORTED IN PART VII. THE CORPORATIONS BUY, SELL, AND OWN REAL ESTATE, BUT THE STOCKHOLDERS DO NOT CONTROL ANY OF THOSE DECISIONS OR ASSETS INDIVIDUALLY.

TRANSYLVANIA COUNTY, NC INVESTMENT PROPERTY AND ACCOUNT #6, BB&T (cash) ARE OWNED IN WHOLE OR PART BY THE FILER. THE REMAINING ASSETS IN THIS REPORT ARE NEITHER OWNED NOR CONTROLLED BY THE FILER; INFORMATION REGARDING THESE ITEMS IS REPORTED TO THE BEST OF THE FILER'S KNOWLEDGE, INFORMATION, AND BELIEF.

PART VII, COLUMN B: LINES REFLECTING NO INCOME ARE SO REPORTED BECAUSE NONE WAS ATTRIBUTED TO THOSE PARTICULAR HOLDINGS DURING THE REPORTING PERIOD, WHETHER TAXABLE, TAX EXEMPT, OR TAX DEFERRED, OR BECAUSE THE INVESTMENTS DO NOT ALLOCATE INCOME TO INDIVIDUAL HOLDINGS, BUT INSTEAD ARE CREDITED ONLY IN UNIT VALUE, PER PAGE 42 OF THE FILING INSTRUCTIONS.

PART VII, TRANSYLVANIA COUNTY, NC INVESTMENT PROPERTY WAS PURCHASED FROM [REDACTED] (AS TRUSTEE FOR THE [REDACTED] FAMILY TRUST).

PART VII, ABBVIE INC COM (ABBV) WAS ALLERGAN PLC (AGN).

PART VII, OTIS WORLDWIDE CORP (OTIS) WAS UNTD TECHNOLOGIES CORP (UTX).

PARENTHETICAL NUMBERS LISTED IN PART VII OF THIS REPORT ARE CUSIP NUMBERS.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ GEORGE R. HODGES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544