

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Halfenger, G. Michael	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Wisconsin	3. Date of Report 03/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse & Federal Building 517 East Wisconsin Avenue Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-trustee	WisPACT Trust II (no reportable holdings)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	(salary)
2. 2020	(salary)
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Associated Bank (cash)	A	Interest	K	T					
3. Northwestern Mutual Whole Life Ins.		None	L	T					
4. Nike Inc (NKE)	A	Dividend	K	T					
5. Walt Disney (DIS)	A	Dividend	J	T					
6. Oppenheimer Champion Income Fund A (OPCHX)		None	J	T					
7. Voya 529 Age 18+ Option Class A		None	L	T					
8. Edvest TIAA Age-Based Option 18 & Over		None			Buy (add'l)	03/07/20	J		
9.					Sold	03/20/20	J		
10. EdVest In-School Portfolio		None	K	T	Buy	03/20/20	J		
11. EdVest Wells Fargo Moderate Portfolio		None			Sold	03/07/20	J		
12. Account #1 (H)									
13. JP Morgan Fed Money Mkt (cash) (Y)									
14. SPDR Dow Jones Global Real Estate ETF (RWO)	A	Dividend			Buy (add'l)	04/02/20	J		
15.					Buy (add'l)	11/25/20	J		
16.					Sold	12/16/20	K		
17. Vanguard Value ETF (VTV)	B	Dividend			Buy (add'l)	04/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18.					Buy (add'l)	11/25/20	J		
19.					Sold	12/16/20	L		
20. Vanguard Small Cap Index ETF (VB)	A	Dividend			Sold (part)	11/25/20	J		
21.					Sold	12/16/20	K		
22. American Capital World Bond F3 (WFBFX)	A	Dividend			Sold (part)	04/02/20	J		
23.					Sold	05/26/20	K		
24. American INTL Growth & Inc F3 (IGAIX)	A	Dividend			Buy	05/28/20	K		
25.					Sold (part)	11/25/20	J		
26.					Sold	12/16/20	K		
27. American New World C1 F3 (FNWFX)	A	Dividend	M	T	Buy	12/17/20	M		
28. Artisan Mid Cap C1 I (APHMX)		None	L	T	Buy	12/17/20	L		
29. Bridge Builder Core Bond (BBTBX)	D	Dividend			Sold (part)	02/28/20	K		
30.					Sold (part)	04/02/20	K		
31.					Buy (add'l)	11/25/20	K		
32.					Sold	12/15/20	M		
33. Bridge Builder Core Plus Bond (BBCPX)	D	Dividend			Sold (part)	02/28/20	J		
34.					Sold (part)	04/02/20	K		

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	11/25/20	K		
36.					Sold	12/15/20	M		
37. Bridge Builder INTL Equity (BBIEIX)		None			Buy (add'l)	04/02/20	J		
38.					Sold (part)	05/28/20	K		
39.					Sold (part)	11/25/20	K		
40.					Sold	12/15/20	N		
41. Bridge Builder Large Growth (BBGLX)	D	Dividend			Sold (part)	04/02/20	J		
42.					Buy (add'l)	05/26/20	K		
43.					Buy (add'l)	05/28/20	K		
44.					Sold (part)	11/25/20	K		
45.					Sold	12/15/20	M		
46. Bridge Builder Large Cap Value (BBVLX)	C	Dividend			Buy (add'l)	04/02/20	K		
47.					Buy (add'l)	05/28/20	K		
48.					Sold (part)	11/25/20	J		
49.					Sold	12/15/20	M		
50. Bridge Builder Small/Mid Cap Growth (BBGSX)	D	Dividend			Sold (part)	11/25/20	J		
51.					Sold	12/15/20	L		

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52. Bridge Builder Small/Mid Cap Value (BBVSX)		None			Buy (add'l)	04/02/20	K		
53.					Sold (part)	05/28/20	K		
54.					Sold (part)	11/25/20	J		
55.					Sold	12/15/20	M		
56. Columbia Corporate Income C1 I3 (CRIYX)		None	L	T	Buy	12/17/20	L		
57. Columbia Dividend Income C1 I3 (CDDYX)		None	M	T	Buy	12/17/20	M		
58. Columbia Small Cap Growth I C1 I3 (CSGYX)		None	L	T	Buy	12/17/20	L		
59. Columbia Strategic Income C1 I3 (CPHUX)		None	L	T	Buy	12/17/20	L		
60. Fa New Markets Income Z (FGBMX)	A	Dividend			Buy	02/28/20	K		
61.					Sold	10/30/20	K		
62. Franklin Dynatech C1 R6 (FDTRX)		None	M	T	Buy	12/17/20	M		
63. Goldman Sachs Financial Square Government C1 I (FGTXX)		None	K	T	Buy	12/17/20	K		
64. Invesco Op Developing Mkts R6 (ODVIX)	A	Dividend			Buy (add'l)	04/02/20	J		
65.					Sold (part)	05/28/20	K		
66.					Sold (part)	11/25/20	J		
67.					Sold	12/16/20	K		
68. Invesco Global Opportunities C1 R6 (OGIIX)		None	L	T	Buy	12/17/20	L		

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69. LSV Value Equity Fund (LSVEX)		None			Buy (add'l)	04/02/20	J		
70.					Sold	05/26/20	K		
71. MFS Emerging Markets Debt R6 (MEDHX)	A	Dividend			Buy	10/30/20	K		
72.					Buy (add'l)	11/25/20	J		
73.					Sold	12/16/20	K		
74. MFS International Diversification C1 R6 (MDIZX)	A	Dividend	M	T	Buy	12/17/20	M		
75. Pgin Jennison Global Opportunities C1 R6 (PRJQX)		None	M	T	Buy	12/17/20	M		
76. Pgin Total Return Bond C1 R6 (PTRQX)		None	N	T	Buy	12/17/20	N		
77. PIMCO High Yield Fund Instl (PHIYX)	B	Dividend			Sold (part)	04/02/20	J		
78.					Buy (add'l)	05/26/20	K		
79.					Buy (add'l)	05/28/20	J		
80.					Buy (add'l)	11/25/20	J		
81.					Sold	12/16/20	L		
82. T Rowe Price Dividend Growth C1 I (PDGIX)		None	M	T	Buy	12/17/20	M		
83. Victory Sycamore Established Value C1 R6 (VEVRX)	C	Dividend	L	T	Buy	12/17/20	L		
84. Victory Sycamore Small Company Opportunity C1 R6 (VSORX)	A	Dividend	L	T	Buy	12/17/20	L		
85. Account #2 (H)									

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86. iShares Russell 1000 Value Fund (IWD)	A	Dividend			Sold	06/01/20	J		
87. American New World C1 F3 (FNWFX)	A	Dividend	J	T	Buy	12/01/20	J		
88. Artisan International Value C1 I (APHKX)	A	Dividend			Buy	05/28/20	J		
89.					Sold	12/01/20	J		
90. Artisan Mid Cap C1 I (APHMX) (X)	A	Dividend	J	T					
91. Columbia Dividend Income C1 I3 (CDDYX)	A	Dividend	J	T	Buy	12/01/20	J		
92. Columbia Small Cap Growth I C1 I3 (CSGYX)	A	Dividend	J	T	Buy	12/01/20	J		
93. Columbia Strategic Income C1 I3 (CPHUX)	A	Dividend	J	T	Buy	12/01/20	J		
94. First Eagle Global (SGIIX)		None			Sold	06/16/20	J		
95. First Eagle Global C1 R6 (FEGRX)		None			Buy	06/16/20	J		
96.					Sold	12/01/20	J		
97. Franklin Dynatech C1 R6 (FDTRX)		None	J	T	Buy	05/28/20	J		
98. Hartford Dividend & Growth C1 F (HDGFX)	A	Dividend			Buy	05/28/20	J		
99.					Sold	12/01/20	J		
100. Invesco Op Developing Mkts R6 (ODVIX)		None			Sold	12/01/20	J		
101. Invesco Global Opportunities C1 R6 (OGIIX) (X)	A	Dividend	J	T					
102. JPMorgan Mid Cap Value C1 R6 (JMVYX) (Y)									

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103. MFS International Diversification C1 R6 (MDIZX)	A	Dividend	J	T	Buy	12/01/20	J		
104. Oakmark International (OANIX)		None			Sold	05/28/20	J		
105. PGIM High Yield C1 R6 (PHYQX) (X)	A	Dividend			Sold	12/01/20	J		
106. PGIM Jennison Global Opportunities Class R6 (PRJQX)	A	Dividend	J	T					
107. PGIM Short Duration Multi Sector Bond Class R6 (SDMQX)	A	Dividend			Sold	05/28/20	J		
108. PGIM Total Return Bond C1 R6 (PTRQX)	A	Dividend	J	T	Buy	05/28/20	J		
109. Principal Midcap C1 R6 (PMAQX)		None			Sold	05/28/20	J		
110. T Rowe Price Dividend Growth C1 I (PDGIX)	A	Dividend	J	T	Buy	12/01/20	J		
111. T Rowe Price International Discovery C1 I (TIDDX) (Y)									
112. T Rowe Price Large-Cap Growth Class Instl (TRLGX)		None			Sold	05/28/20	J		
113. T Rowe Price Qm U.S. Small Cap Growth Equity C1 I (TQAI X)		None			Sold	12/01/20	J		
114. Victory Sycamore Established Value C1 R6 (VEVRX) (X)	A	Dividend	J	T					
115. Victory Sycamore Small Company Opportunity C1 I (VSOIX)		None			Sold	09/21/20	J		
116. Victory Sycamore Small Company Opportunity R6 (VSORX)	A	Dividend	J	T	Buy	09/21/20	J		
117. Account #3 (H)									
118. Schwab US Reit ETF (SCHH)	A	Dividend			Sold	12/16/20	J		
119. Vanguard Value ETF (VTV)	A	Dividend			Sold	12/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Vanguard Small Cap Index ETF (VB)	A	Dividend			Buy (add'l)	03/25/20	J		
121.					Sold (part)	07/15/20	J		
122.					Sold	12/16/20	J		
123. American INTL Growth & Inc F3 (IGAIX)	A	Dividend			Buy	06/11/20	J		
124.					Sold	12/16/20	J		
125. American New World Cl F3 (FNWFX)	A	Dividend	K	T	Buy	12/17/20	K		
126. Artisan Mid Cap Cl I (APHMX)	A	Dividend	J	T	Buy	12/17/20	J		
127. Bridge Builder Core Bond (BBTBX)	B	Dividend			Sold (part)	03/16/20	J		
128.					Sold (part)	03/25/20	J		
129.					Buy (add'l)	07/15/20	J		
130.					Sold	12/15/20	K		
131. Bridge Builder Core Plus Bond (BBCPX)	B	Dividend			Sold (part)	03/16/20	J		
132.					Sold (part)	03/25/20	J		
133.					Buy (add'l)	07/15/20	J		
134.					Sold	12/15/20	K		
135. Bridge Builder INTL Equity (BBIEIX)		None			Buy (add'l)	03/25/20	J		
136.					Sold (part)	06/11/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/15/20	J		
138.					Sold	12/15/20	K		
139. Bridge Builder Large Growth (BBGLX)	A	Dividend			Buy (add'l)	06/09/20	J		
140.					Sold (part)	07/15/20	J		
141.					Sold	12/15/20	K		
142. Bridge Builder Large Cap Value (BBVLX)	A	Dividend			Buy (add'l)	03/25/20	J		
143.					Sold (part)	07/15/20	J		
144.					Sold	12/15/20	K		
145. Bridge Builder Small/Mid Cap Growth (BBGSX)	A	Dividend			Sold	12/15/20	J		
146. Bridge Builder Small/Mid Cap Value (BBVSX)		None			Buy (add'l)	03/25/20	J		
147.					Sold	12/15/20	J		
148. Columbia Corporate Income C1 I3 (CRIYX)		None	J	T	Buy	12/17/20	J		
149. Columbia Dividend Income C1 I3 (CDDYX)		None	K	T	Buy	12/17/20	K		
150. Columbia Small Cap Growth I C1 I3 (CSGYX)		None	J	T	Buy	12/17/20	J		
151. Columbia Strategic Income C1 I3 (CDDYX)		None	J	T	Buy	12/17/20	J		
152. Fa New Markets Income Z (FGBMX)	A	Dividend			Buy	03/16/20	J		
153.					Sold	10/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Franklin Dynatech CI R6 (FDTRX)		None	K	T	Buy	12/17/20	K		
155. Goldman Sachs Financial Square Government CI I (FGTXX)		None	J	T	Buy	12/17/20	J		
156. Hartford World Bond F (HWDFX)	A	Dividend			Sold	06/09/20	J		
157. Invesco Diversified Div R6 (LCEFX)	A	Dividend			Sold (part)	06/11/20	J		
158.					Sold	12/16/20	J		
159. Invesco Global Opportunities CI R6 (OGIIX)		None	J	T	Buy	12/17/20	J		
160. JPM Emerging Markets Equity R6 (JEMWX)		None			Sold (part)	06/11/20	J		
161.					Sold	12/16/20	J		
162. MainStay Mackay High Yd Cp R6 (MHYSX)	A	Dividend			Sold	12/16/20	J		
163. MFS Emerging Markets Debt R6 (MEDHX)	A	Dividend			Buy	10/26/20	J		
164.					Sold	12/16/20	J		
165. MFS International Diversification CI R6 (MDIZX)	A	Dividend	K	T	Buy	12/17/20	K		
166. PGIM High Yield CI R6 (PHYQX)	A	Dividend			Buy	06/11/20	J		
167.					Sold	12/16/20	J		
168. Pgin Jennison Global Opportunities CI R6 (PRJQX)		None	K	T	Buy	12/17/20	K		
169. Pgin Total Return Bond CI R6 (PTRQX)		None	K	T	Buy	12/17/20	K		
170. T Rowe Price Dividend Growth CI I (PDGIX)		None	K	T	Buy	12/17/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Victory Sycamore Established Valued R6 (VEVRX)	A	Dividend	J	T	Buy	12/17/20	J		
172. Victory Sycamore Small Company Opportunity C1 R6 (VSORX)	A	Dividend	J	T	Buy	12/17/20	J		
173. Account #4 (H)									
174. American New Perspective (FNPFX)		None			Sold	05/28/20	J		
175. American New World C1 F3 (FNWFX)	A	Dividend	J	T	Buy	12/01/20	J		
176. Artisan International Value C1 I (APHKX)	A	Dividend			Buy	05/28/20	J		
177.					Sold	12/01/20	J		
178. Blackrock Equity Dividend Fund (MKDVX)	A	Dividend			Sold	05/28/20	J		
179. Columbia Corporate Income C1 I3 (CRIYX) (X)	A	Dividend	J	T					
180. Columbia Dividend Income C1 I3 (CDDYX)	A	Dividend	J	T	Buy	12/01/20	J		
181. Columbia Strategic Income C1 I3 (CPHUX) (X)	A	Dividend	J	T					
182. First Eagle Global Fund (SGIIX)		None			Sold	06/16/20	J		
183. First Eagle Global C1 R6 (FEGRX)		None			Buy	06/16/20	J		
184.					Sold	12/01/20	J		
185. Franklin Dynatech C1 R6 (FDTRX)		None	J	T	Buy	05/28/20	J		
186. Hartford Dividend & Growth C1 F (HDGFX)	A	Dividend			Buy	05/28/20	J		
187.					Sold	12/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. JPMorgan Core Bond CI R6 (JCBUX)	A	Dividend			Sold	12/01/20	J		
189. MFS International Diversification CI R6 (MDIZX)	A	Dividend	J	T	Buy	12/01/20	J		
190. Oakmark International (OANIX)		None			Sold	05/28/20	J		
191. PGIM High Yield CI R6 (PHYQX) (X)	A	Dividend			Sold	12/01/20	J		
192. PGIM Jennison Global Opportunities CI R6 (PRIQX)	A	Dividend	J	T	Buy	05/28/20	J		
193. PGIM Total Return Bond (PTRQX)	A	Dividend	J	T	Buy (add'l)	12/01/20	J		
194. T Rowe Price Blue Chip Growth C1 I (TBCIX)		None			Sold	05/28/20	J		
195. T Rowe Price Dividend Growth C1 I (PDGIX)	A	Dividend	J	T	Buy	12/01/20	J		
196. Account #5 (H)									
197. JP Morgan Fed Money Mkt (cash)	A	Int./Div.	J	T					
198. iShares Russell 1000 Value ETF (IWD)	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
199. iShares Russell 3000 ETF (IWV)	A	Dividend	J	T					
200. iShares MSCI EAFE Growth ETF (EFG)	A	Dividend			Sold (part)	03/24/20	J		
201.					Sold (part)	05/21/20	J		
202.					Sold	06/22/20	K	A	
203. iShares MSCI EAFE Value ETF (EFV)	B	Dividend			Buy (add'l)	03/26/20	J		
204.					Sold	06/22/20	K		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Vanguard FTSE All-World Ex-US (VFWIX)		None			Buy (add'l)	03/26/20	J		
206.					Sold (part)	05/21/20	J		
207.					Sold	06/22/20	J		
208. Vanguard Growth ETF (VUG)	A	Dividend	J	T	Buy	05/26/20	J		
209. Vanguard Value ETF (VTV)	A	Dividend	J	T					
210. Vanguard Mid Cap Value ETF (VOE)	A	Dividend			Buy (add'l)	03/26/20	J		
211.					Sold	06/22/20	J		
212. Vanguard Mid Cap Growth ETF (VOT)	A	Dividend	J	T					
213. Vanguard Small Cap Growth ETF (VBK)	A	Dividend	K	T	Sold (part)	06/22/20	J	A	
214. Vanguard Small Cap Value ETF (VBR)	A	Dividend			Buy (add'l)	03/26/20	J		
215.					Sold (part)	05/21/20	J		
216.					Sold	06/22/20	K		
217. American Fundamental Invs F3 (FUNFX)	A	Dividend	K	T	Buy (add'l)	11/27/20	J		
218. American High Income Mun BD F3 (AMHIX)	A	Dividend			Sold (part)	03/24/20	J		
219.					Sold	06/22/20	J		
220. American New World F3 (FNWFX)	A	Dividend	K	T	Buy	06/22/20	J		
221.					Buy (add'l)	06/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	11/27/20	J		
223. American Funds Tax-Exempt Bond Fund F3 (AFTEX)	A	Dividend	J	T	Buy (add'l)	06/24/20	J		
224. Artisan INTL Value I (APHKX)	A	Dividend	K	T	Buy	06/22/20	J		
225.					Buy (add'l)	06/24/20	J		
226. BlackRock Eq Div Fund K (MKDVX)	A	Dividend			Sold	06/22/20	K		
227. Bnym International Stock Y (DISYX)	B	Dividend	K	T	Buy	06/22/20	K		
228.					Buy (add'l)	06/22/20	J		
229.					Buy (add'l)	11/27/20	J		
230. Dodge & Cox Intl Stock (DIDFX)		None			Buy (add'l)	03/26/20	J		
231.					Sold	06/22/20	K		
232. Dodge & Cox Stock (DODGX)	A	Dividend			Sold	06/22/20	J		
233. Eaton Vance Atlanta Cap SMID (EISMX)		None			Sold	09/17/20	K		
234. Eaton Vance Atlanta Capital SMID-Cap R6 (ERASX)	B	Dividend	J	T	Buy	09/17/20	K		
235.					Sold (part)	11/27/20	J		
236. Fidelity Adv Interm Muni Inc Fd C1 Z (FIQZX)	A	Dividend	K	T	Sold (part)	03/24/20	J		
237.					Buy (add'l)	06/24/20	J		
238. Frnkln Fed Inter Term T/F R6 (FITQX)	A	Dividend	J	T	Sold (part)	03/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	06/24/20	J		
240. Hartford Dividend & Growth F (HDGVX)	A	Dividend	J	T	Buy	06/24/20	J		
241. Invesco Comstock Class R6 (ICSFX)	A	Dividend	J	T	Buy (add'l)	03/26/20	J		
242. Invesco International Growth Fund Class R6 (IGFRX)		None			Sold (part)	06/22/20	J	A	
243.					Sold	06/22/20	J	A	
244. JH Disciplined Val Mid Cap R6 (JVMRX)	A	Dividend	J	T	Buy	06/24/20	J		
245. MFS Growth Fund Class R6 (MFEKX)	A	Dividend	J	T	Sold (part)	03/24/20	J	A	
246.					Buy (add'l)	06/24/20	J		
247. MFS INTL Intrinsic Value R6 (MINJX)	B	Dividend	K	T	Buy	06/24/20	J		
248.					Buy (add'l)	11/27/20	J		
249. MFS Municipal High Income R6 (MMHKX)	A	Dividend	J	T	Buy	06/24/20	J		
250. Primecap Odyssey Stock (POSKX)	A	Dividend	J	T					
251. TRP International Discovery I (TIDDX)	A	Dividend	J	T	Buy	06/24/20	J		
252. Victory Sycamore Small Co Op I (VSOLX)	A	Dividend			Buy	06/22/20	J		
253.					Buy (add'l)	06/24/20	J		
254.					Sold	09/21/20	J		
255. Victory Sycamore Small Company Opportunity R6 (VSORX)		None	J	T	Buy	09/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Halfenger, G. Michael

Date of Report

03/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Education account holdings have been consolidated under Individual Assets. Name and class changes of 529 assets resulted from corporate action rather than reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only to unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Halfenger, G. Michael

Date of Report

03/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **G. Michael Halfenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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