

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, JACOB P.	2. Court or Organization EASTERN DISTRICT OF PA.	3. Date of Report 11/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME RECALLED MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2020 to 11/26/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 601 MARKET ST. PHILA., PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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HART, JACOB P.

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. DAVIS OF NEW YORK MUTUAL FUND		None	L	T					
2. MORGAN STANLEY BANK DEPOSIT PROGRAM	A	Interest	K	T					
3. AB GLOBAL INVESTMENT INCOME	A	Dividend	K	T					
4. LOOMIS SAYLES STRATEGIC INCOME	B	Dividend	L	T					
5. DELAWARE TAX FREE PENNSYLVANIA FUND	D	Dividend	N	T					
6. ABERDEEN ULTSHRT MUNI INC INST (ATOI	C	Dividend	O	T					
7. MAINSTAY SHT TRM HI YLD	C	Dividend	L	T					
8. NEUBERGER BERMAN STR INC INST	B	Dividend	L	T					
9. COLONY CAP INC		None			Sold	01/10/20	K	A	
10. BLACKROCK LARGE CAP VALUE	C	Dividend	M	T					
11. JP MORGAN GROWTH ADVANTAGE		None	M	T					
12. GOLDMAN SACHS STRATEGIC		None	M	T					
13. CITIGROUP IRA (H) (assets below)									
14. MS LIQUID ASSET FUND	A	Dividend	J	T					
15. MET WEST TOT RET BD (MWTIX)	C	Dividend	M	T	Buy (add'l)	03/20/20	K		
16. BLACKROCK LOW DUR BD(BFMSX)	C	Dividend	M	T	Buy (add'l)	05/22/20	K		
17. T ROWE PRICE SM CAP (PRDSX)		None			Sold	08/28/20	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

Table with 2 columns: Name of Person Reporting (HART, JACOB P.), Date of Report (11/30/2020)

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A (Description of Assets), B (Income during reporting period), C (Gross value at end of reporting period), D (Transactions during reporting period), and sub-columns for each. Rows 18-34 list various investments like Loomis Growth Y, JP Morgan Value Advantage, etc.

1 Income Gain Codes: A=\$1,000 or less, B=\$1,001 - \$2,500, C=\$2,501 - \$5,000, D=\$5,001 - \$15,000, E=\$15,001 - \$50,000
2 Value Codes: F=\$50,001 - \$100,000, G=\$100,001 - \$1,000,000, H1=\$1,000,001 - \$5,000,000, H2=More than \$5,000,000
3 Value Method Codes: Q=Appraisal, R=Cost (Real Estate Only), S=Assessment, T=Cash Market

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Name of Person Reporting

HART, JACOB P.

Date of Report

11/30/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. HARDING LOEVNER EMERG MKTS ADV (HLEMX)		None	L	T	Buy (add'l)	05/22/20	J		
36. HARTFORD INTL VALUE 1 (HILIX)	B	Dividend	K	T	Sold (part)	10/06/20	K	A	
37. COLUMBIA DIVIDEND INCOME (GSTFX)		None	L	T	Buy	05/22/20	L		
38. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend	L	T	Buy	05/22/20	K		
39. GOLDMAN SACHS GQG INTL OPP (GSIMX)		None	L	T	Buy	05/22/20	L		
40. GQG PARTNERS EMRG MKTS (GQGIX)		None	L	T	Buy	03/22/20	K		
41. MFS MIDCAP GROWTH (OTCIX)		None	K	T	Buy	08/28/20	K		
42. NATIONWIDE GENEVA SMCP (NWKDX)		None	K	T	Buy	08/28/20	K		
43. PGIM HIGH YIELD Z (PHYZX)	A	Dividend	L	T	Buy	05/20/20	K		
44. WASATCH ASSET CORE PLUS (WMCVX)		None	K	T	Buy	08/28/20	K		
45. CITIZENS BANK MONEY MARKET ACCOUNTS	A	Interest	L	T					
46. CITIGROUP SEP IRA (assets listed below)									
47. MS LIQUID ASSET FUND	A	Dividend	J	T					
48. METROPOLITAN WEST TOT RET BD (MWTIX)	A	Dividend	J	T	Buy (add'l)	10/02/20	J		
49. BLACKROCK LOW DUR BD (BFMSX)	A	Dividend	K	T					
50. T ROWE PRICE QM US SM CP JGR EQ (PRDSX)		None			Sold	08/26/20	J	A	
51. LOOMIS GROWTH (LSGRX)	A	Dividend	J	T	Buy (add'l)	03/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. J P MORGAN VALUE ADVANTAGE (JVASX)	A	Dividend	J	T	Buy (add'l)	03/18/20	J		
53. HARDING LOEVNER INTL EQTY INST (HLMIX)		None			Sold	05/20/20	J	A	
54. OAKMARK INTERNATIONAL (OAKIX)	A	Dividend	J	T					
55. PIMCO SHORT TERM P (PTSPX)	A	Dividend	J	T	Sold (part)	03/19/20	J	A	
56. HARTFORD MIDCAP I (HFMIX)		None			Sold	08/28/20	J	A	
57. WELLS FARGO SPECIAL MDCP VL I (WFMIX)	A	Dividend	J	T	Buy (add'l)	03/18/20	J		
58. CAUSEWAY EMERGING MKTS INST (CEMIX)		None			Sold	05/22/20	J	A	
59. ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	J	T					
60. ISHARES RUSSELL 1000 VALUE ETF (IWD)	A	Dividend	J	T					
61. ISAHRES TIPS BOND ETF (TIP)		None			Sold	04/13/20	J	A	
62. ISHARES INC. JAPAN		None			Sold	05/22/20	J	A	
63. ISHARES RUSSELL 2000 VALUE		None			Sold	08/28/20	J	A	
64. DELAWARE VALUE INSTL		None			Sold	05/22/20	J	A	
65. WESTERN ASSET CORE	A	Dividend	J	T	Buy (add'l)	03/20/20	J		
66. JOHN HANCOCK INTL GROWTH	A	Dividend	J	T	Buy (add'l)	05/22/20	J		
67. HARDING LOEVNER EMERG MKTS ADV (HLEMX)	A	Dividend	J	T	Buy (add'l)	05/22/20	J		
68. HARTFORD INTL VALUE I	A	Dividend	J	T	Sold (part)	10/06/20	J	A	

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69. ISHARES 20+ YR TREASU BOND ETF (TLT)		None			Sold	03/20/20	J	A	
70. EV INCOME FUND OF BOSTON (EIBIX)	A	Dividend	J	T	Buy	04/13/20	J		
71. PGIM HIGH YIELD Z (PHYZX)	A	Dividend	J	T	Buy	05/22/20	J		
72. GQG PARTNERS EMRG MKTS (GQGIX)	A	Dividend	J	T	Buy	05/22/20	J		
73. GOLDMAN SACHS GQG INTL OPP (GSIMX)	A	Dividend	J	T	Buy	05/22/20	J		
74. COLUMBIA DIVIDEND INCOME (GSFTX)	A	Dividend	J	T	Buy	05/22/20	J		
75. MFS MIDCAP GROWTH (OTCIX)	A	Dividend	J	T	Buy	08/28/20	J		
76. NATIONWIDE GENEVA SMCP GW (NWKDX)	A	Dividend	J	T	Buy	08/28/20	J		
77. WASATCH SM CP VAL FD (WMCVX)	A	Dividend	J	T	Buy	08/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACOB P. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544