

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodges, Shiva V.	2. Court or Organization U.S. District Court	3. Date of Report 12/02/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address Matthew J. Perry, Jr. Federal Courthouse 901 Richland Street Columbia, South Carolina 29201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary	Federal Magistrate Judges Association
2. Board Member	Leadership South Carolina
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	- Partnership share
2. 2020	- salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hodges, Shiva V.	12/02/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K #1 (H)									
2. - American Beacon SIM High Yield Opptys CL Y	A	Dividend			Sold	07/06/20	J		
3. - Artisan Intl Value Advisor CL		None			Sold	07/06/20	J		
4. -ASG Managed Futures Strategy CL Y		None			Sold (part)	04/03/20	J		
5.					Sold	07/06/20	J		
6. -ASG Dynamic Alloc CL Y	A	Dividend			Sold	07/06/20	J		
7. -ASG Tactical US Market CL Y	A	Dividend			Sold (part)	04/03/20	J		
8.					Sold	07/06/20	J		
9. -Bond Fund of America CL F2	A	Dividend	J	T	Buy (add'l)	07/06/20	J		
10.					Sold (part)	03/26/20	J		
11. -Capital Income Builder CL F2	A	Dividend			Buy (add'l)	07/06/20	J		
12. -Clearbridge Small Cap CL I	A	Dividend			Sold	07/06/20	J		
13. -Clearbridge Large Cap Growth CL I		None			Sold	07/06/20	J		
14. -Delaware Diversified Income Instl CL	A	Dividend			Sold	07/06/20	J		
15. -Goldman Sachs Small Cap Growth Insights Investor	A								
16. -Growth Fund of America CL F2	A	Dividend	K	T					
17.					Buy (add'l)	03/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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Hodges, Shiva V.

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18. -Harbor Capo Apprec Instl CL		None			Sold	07/06/20	J		
19. -Harding Loevner Emerging Markets Advisor CL		None			Sold	07/06/20	J		
20. -Intermediate Bond Fund of America CL F2	A	Dividend			Sold (part)	03/26/20	J		
21. -Investment Company of America CL F2	A	Dividend	K	T					
22. Ishares IBOXX \$ High Yield Corp BOND ETF	A	Dividend	J	T	Sold (part)	04/06/20	J		
23. -Ishares US Real Estate ETF IYR		None			Sold	01/03/20	J		
24. -Janus Henderson Enterprise CL T		None			Sold	07/06/20	J		
25. -John Hancock Disciplined Value CL I		None			Sold	07/06/20	J		
26. -John Hancock Disciplined Val Mid Cap CL I		None			Sold	07/06/20	J		
27. -Loomis Sayles Growth CL Y		None			Sold	07/06/20	J		
28. -Metropolitan West Total Return Bond CL I	A	Dividend			Sold	07/06/20	J		
29. -MFS Value CL I	A	Dividend			Sold	07/06/20	J		
30. -New World CL F2	A	Dividend	J	T	Buy (add'l)	07/06/20	J		
31. -Nuveen Small Cap Value CL I		None			Sold	04/09/20	J		
32. -Oakmark Intl Investor CL		None			Sold	07/06/20	J		
33. -Payden Low Duration Investor CL	A	Dividend			Sold	07/06/20	J		
34. -Royce Total Return Investment CL RYTRX	A	Dividend			Buy	04/09/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	07/06/20	J		
36. -Sector Consumer Staples Select Sector SPDR ETF	A	Dividend			Sold	04/06/20	J		
37. -Sector Technology Select Sector SPDR	A	Dividend			Sold	05/04/20	J		
38. -Select Utilities Select Sector SPDR ETF	A	Dividend			Sold	04/06/20	J		
39. -Smallcap World CL F2	A	Dividend			Buy (add'l)	07/06/20	J		
40. -SPDR S&P 500 ETF	A	Dividend			Buy (add'l)	01/03/20	J		
41.					Sold (part)	04/06/20	J		
42.					Sold	05/04/20	J		
43. -T Rowe Price Emerging Markets Bond Investor CL	A	Dividend			Sold	07/06/20	J		
44. -Vanguard Total Bond Market ETF BND	A	Dividend			Buy	05/04/20	K		
45.					Sold	07/06/20	J		
46. -Vaughan Nelson Value Oppty CL Y	A	Dividend			Sold	07/06/20	J		
47. -Washington Mutual Investors CL F2	A	Dividend	K	T	Buy (add'l)	03/26/20	J		
48.					Buy (add'l)	07/06/20	J		
49. -WCM Focused Amerging Markets Instl CL WCMEX		None			Buy	04/03/20	J		
50.					Sold	07/06/20	J		
51. -WCM Focused Global Growth Instl CL WCMGX		None			Buy	04/03/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

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52.					Sold	07/06/20	J		
53. -Ishares MSCI Min Volatility ETF USMV	A	Dividend	K	T	Buy	07/06/20	J		
54. -Ishares US Trust Short Maturity Bond ETF NEAR	A	Dividend			Buy	07/06/20	J		
55.					Sold	10/07/20	J		
56. -Ishares US Treasury Bond ETF GOVT	A	Dividend	J	T	Buy	07/06/20	J		
57.					Sold (part)	11/05/20	J		
58. -Ishares ESG MSCI USA ETF ESGU	A	Dividend	K	T	Buy	07/06/20	J		
59. -Ishares MSCI USA Value Factor ETF Vlu	A	Dividend	J	T	Buy	07/06/20	J		
60. -Ishares Core MSCI EAFE ETF IEFA	A	Dividend	J	T	Buy	07/06/20	J		
61.					Sold (part)	10/07/20	J		
62. -Ishares Core S&P 500 ETF IVV	A	Dividend	J	T	Buy	07/06/20	J		
63.					Buy (add'l)	11/05/20	J		
64. -Ishares 20+ YR Treasury Bond ETF TLT	A	Dividend	J	T	Buy	07/06/20	J		
65. -Ishares IBOXX \$Investment Grade Corp Bond ETF LQD	A	Dividend	J	T	Buy	07/06/20	J		
66. -Ishares MSCI EAFE Growth ETF EFG	A	Dividend	J	T	Buy	07/06/20	J		
67.					Sold (part)	10/07/20	J		
68. -Ishares US Medical Devices ETF IHI	A	Dividend	J	T	Buy	07/06/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

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69. -Ishares 1-5 year investment grade Corp Bond ETF IGSB	A	Dividend	J	T	Buy	07/06/20	J		
70. -Ishares US Financial Services ETF IYG	A	Dividend			Buy	07/06/20	J		
71.					Sold	10/07/20	J		
72. -Ishares Global Tech ETF IXN	A	Dividend	J	T	Buy	07/06/20	J		
73. -Ishares Core S&P Small Cap ETF IJR	A	Dividend	J	T	Buy	07/06/20	J		
74.					Sold (part)	10/07/20	J		
75. -Ishares ESG MSCI EM ETF ESGE	A	Dividend	J	T	Buy	07/06/20	J		
76. -Investment Company of America CL F2	A	Dividend	K	T	Buy	07/06/20	J		
77.					Sold (part)	08/07/20	J		
78. -America Emerging Markets Bond CL F2 EBNFX	A	Dividend	J	T	Buy	08/07/20	J		
79. -American Corp Bond CL F2 BFCGX	A	Dividend	J	T	Buy	08/07/20	J		
80. -Ishares ESG Aware MSCI USA ETF ESGU	A	Dividend	K	T	Buy	10/07/20	J		
81. -Ishares MBS ETF MBB	A	Dividend	J	T	Buy	10/07/20	J		
82. -Ishares ESG Aware MSCI EM ETF ESGE	A	Dividend	J	T	Buy	10/07/20	J		
83. 401K #2 (H)									
84. - Invesco Moderate Allocation	A	Dividend			Sold	05/15/20	J		
85. -Invesco Select Risk Mod Invstr Fund R	A	Dividend			Buy	05/15/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

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86.					Buy (add'l)	06/03/20	K		
87. Future Scholar 529 #1 (H)									
88. -FS AGGR Track 14-15 C	B	Int./Div.	K	T					
89. Future Scholar 529 #2 (H)									
90. -FS Aggressive Track Ages 14-15	A	Int./Div.	J	T	Buy	07/23/20	J		
91. Future Scholar 529 #3 (H)									
92. -FS AGGR Track12-13 C	B	Int./Div.	K	T	Buy	02/25/20	J		
93.					Buy (add'l)	11/11/20	J		
94. Future Scholar 429 #4 (H)									
95. -FS Aggressive Track Ages 12-13	A	Int./Div.	J	T	Buy	02/25/20	J		
96.					Buy (add'l)	11/11/20	J		
97. Future Scholar 529 #5 (H)									
98. -FS Aggressive Track Ages 8-9	A	Int./Div.	J	T	Buy	02/25/20	J		
99. IRA #1 (H)									
100. -Allianzgi Performancefee Structure US Equity CL P		None	J	T					
101. -AllianzGI Stuctured Return CL P		None	J	T					
102. -Catalyst MLP & Infrastructure CL I	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. -Calamos Market Neutral Income CL I	A	Dividend	J	T					
104. -Calamos Evolving World Growth CL I	A	Dividend	J	T					
105. -Eaton Vance Emerging Markets Local Income CL I	A	Dividend	J	T					
106. -Fidelity Advisor Technology CL I	A	Dividend	J	T					
107. -Fidelity Advisor Small Cap Value CL I (X)		None							
108. -Fidelity Advisor Health Care CL I (X)		None							
109. -Fidelity Advisor Finl Services CL I	A	Dividend	J	T					
110. -Fidelity Long Term Treasury Bond Index (X)	A	Dividend							
111. -Goldman Sachs GQG Partners Intl OPPTYS Investor CL	A	Dividend	J	T					
112. -Invesco Global Focus CL Y	A	Dividend	J	T					
113. -Lord Abbet Developing Growth CL I	A	Dividend	J	T					
114. -Mainstay CBRE Global Infra CL I	A	Dividend	J	T					
115. -Putnam Diversified Income CL Y	A	Dividend	J	T					
116. -T Rowe Price Financial Services Investor CL (X)		None							
117. IRA #2 (H)									
118. -Allianzgi Performancefee Structure US Equity CL P (X)		None							
119. -AllianzGI Stuctured Return CL P (X)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Blackrock Event Driven Equity Instl CL (X)		None							
121. -Catalyst MLP & Infrastructure CL I (X)	A	Dividend							
122. -Calamos Market Neutral Income CL I	A	Dividend	J	T					
123. -Calamos Evolving World Growth CL I	A	Dividend	J	T					
124. -Calamos Phineus Long Short CL I (X)		None							
125. -Eaton Vance Emerging Markets Local Income CL I	A	Dividend	J	T					
126. -Fidelity Advisor Technology CL I	A	Dividend	J	T					
127. -Fidelity Advisor Small Cap Value CL I (X)		None							
128. -Fidelity Advisor Health Care CL I (X)		None							
129. -Fidelity Advisor Finl Services CL I	A	Dividend	J	T					
130. -Fidelity Long Term Treasury Bond Index (X)	A	Dividend							
131. -Goldman Sachs GQG Partners Intl OPPTY Investor CL	A	Dividend	J	T					
132. -Invesco Global Focus CL Y	A	Dividend	J	T					
133. -Lord Abbett Developing Growth CL I	A	Dividend	J	T					
134. -Mainstay CBRE Global Infra CL I	A	Dividend	J	T					
135. -Putnam Diversified Income CL Y	A	Dividend	J	T					
136. -T Rowe Price Financial Services Investor CL (X)		None							

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. IRA #3 (H)									
138. -Allianzgi Performancefee Structure US Equity CL P (X)		None							
139. -AllianzGI Stuctured Return CL P (X)		None							
140. -Catalyst MLP & Infrastructure CL I (X)	A	Dividend							
141. -Calamos Market Neutral Income CL I	A	Dividend	J	T					
142. -Calamos Evolving World Growth CL I	A	Dividend	J	T					
143. -Calamos Phineus Long Short CL I (X)		None							
144. -Eaton Vance Emerging Markets Local Income CL I	A	Dividend	J	T					
145. -Fidelity Advisor Technology CL I	A	Dividend	J	T					
146. -Fidelity Advisor Small Cap Value CL I (X)		None							
147. -Fidelity Advisor Health Care CL I (X)		None							
148. -Fidelity Advisor Finl Services CL I	A	Dividend	J	T					
149. -Fidelity Long Term Treasury Bond Index (X)	A	Dividend							
150. -Goldman Sachs GQG Partners Intl OPPTYs Investor CL	A	Dividend	J	T					
151. -Invesco Global Focus CL Y	A	Dividend	J	T					
152. -Lord Abbett Developing Growth CL I	A	Dividend	J	T					
153. -Mainstay CBRE Global Infra CL I	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. -Putnam Diversified Income CL Y	A	Dividend	J	T					
155. -T Rowe Price Financial Services Investor CL (X)		None							
156. 401K #3 (H)									
157. -JH Multi-Index LS Growth		None	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) In Part, VII., all funds with an (X) have a sale that was less than reporting limits.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Hodges, Shiva V.

Date of Report

12/02/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shiva V. Hodges

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544