

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Immergut, Karin	2. Court or Organization U.S. District Court, District of Oregon	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Hatfield Federal Courthouse 1000 SW Third Avenue Portland, Oregon 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Classroom Law Project
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	Public Employee Retirement System defined benefit plan
2. 2009	State of Oregon: Judicial Pension
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Ball Janik LLP, partnership income
2. 2020	Rare Bird Books, royalties from publisher for book sales
3. 2020	Aspen Lodging Group LLC, Board of Directors compensation
4. 2020	Provenance Hotel Partners Fund I LLC, Board of Directors compensation

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Immergut, Karin	05/10/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. FMI International FMIJX	A	Dividend	K	T					
2. Parnassus Equity Income PRBLX	A	Dividend	K	T					
3. Parnassus Mid-Cap PARMX	A	Dividend	K	T					
4. Schwab S&P 500 Index Fund SWPPX	B	Dividend	L	T					
5. Schwab Small Cap Index SWSSX	A	Dividend	J	T					
6. Baird Core Plus Bond Inst BCOIX	B	Dividend	K	T					
7. Cash Balance Held by Captrust	A	Int./Div.	K	T					
8. EOF - Semper Vic Partners QP LP		None	M	U					
9. EOF - Kensico Partners LP		None	K	U	Sold (part)	01/02/20	K		Excelsior
10. EOF - Kensico Partners LP		None	K	U	Sold (part)	04/15/20	J		Excelsior
11. EOF - Energy Master Limited Partnerships		None			Sold	12/31/20	M		Excelsior
12. BH Muni Plus Account (H)									
13. Alachua Cnty Fla Sc 07/01/2024 5.000% Call 07/01/2023 100.000	A	Interest	K	T					
14. Allegheny Cnty Pa San Auth Swr 06/01/2032 5.000% Call 06/01/2028 100.0	B	Interest	K	T					
15. Arapahoe Cnty Colo Sch Dist No 12/15/2028 2.500% Call 12/15/2027 100.0	A	Interest	K	T					
16. Bridgeport Conn 08/15/2030 5.000% Call 08/15/2028 100.000	A	Interest	J	T					
17. CALIFORNIA STATEWIDE CMNTYS DE 5% 10/01/2034	A	Interest	K	T	Buy	04/17/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Chattanooga Tenn Health Edl & 10/01/2026 5.000% Call 10/01/2025 100.00	A	Interest	K	T					
19. Cook Cnty Ill Sch 12/01/2023 5.000% Call 12/01/2021 100.000	A	Interest	K	T					
20. COOK CNTY ILL SCH DIST NO 094 4% 12/01/2035	A	Interest	J	T	Buy	07/13/20	J		
21. Crestwood Vlg Ill 12/15/2029 5.000% Call 12/15/2023 100.000	A	Interest	K	T					
22. Denver Colo City & Cnty Ctfs P 06/01/2027 5.000% Call 06/01/2026 100.0	A	Interest	K	T					
23. DUNDEE TWP ILL PK DIST 3% 12/15/2030	A	Interest	K	T	Buy	11/20/20	K		
24. Folsom Cordova Calif Uni Sch D 4.000 10/01/35 10/01/2035 4.000% Call 1	A	Interest	J	T					
25. Garvin Cnty Okla Edl Facs Auth 09/01/2025 5.000%	A	Interest	J	T					
26. Garvin Cnty Okla Edl Facs Auth 09/01/2029 5.000% Call 09/01/2028 100.0	A	Interest	K	T					
27. Governors St Univ Ill Ctfs Par 07/01/2024 5.000%	B	Interest	K	T					
28. HAMDEN CONN 5% 08/01/2032	A	Interest	J	T	Buy	07/23/20	J		
29. HAMDEN CONN 5% 08/01/2035	A	Interest	J	T	Buy	07/23/20	J		
30. Harrisburg Pa Sch Dist 11/15/2027 5.000%	A	Interest	J	T					
31. Illinois St 05/01/2024 5.000%	A	Interest	J	T					
32. Illinois St 10/01/2020 5.000%	A	Interest			Sold	10/01/20	J		
33. Jackson Cnty Ore Arpt Rev 12/01/2028 5.000% Call 06/01/2026 100.000	A	Interest	K	T					
34. KENDALL KANE & WILL CNTYS ILL 5% 02/01/2029	A	Interest	J	T	Buy	10/22/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Lake Superior St Univ Mich Rev 01/15/2028 4.000%	A	Interest	K	T					
36. Maine St Hsg Auth Mtg Pur 2.600 11/15/34 11/15/2034 2.600% Call 11/15/	A	Interest	K	T					
37. Maine St Hsg Auth Mtg Pur 11/15/2029 3.150% Call 11/15/2027 100.000	A	Interest	J	T					
38. Maine St Hsg Auth Mtg Pur 11/15/2033 3.500% Call 05/15/2027 100.000	A	Interest	K	T					
39. Mifflinburg Area Sch Dist Pa 04/15/2026 3.000% Pre-Refunded 04/15/2020	A	Interest			Sold	04/16/20	K		
40. Minnesota St Hsg Fin Agy Local Or Gtd Hsng Rev 07/01/2021 2.450%	A	Interest			Sold	04/01/20	J		
41. New Hampshire St Business Fin 10/01/2033 2.800%	A	Interest	K	T					
42. NEW JERSEY ECONOMIC DEV AUTH R 5% 11/01/2031	A	Interest	K	T	Buy	01/13/20	K		
43. New Jersey St Edl Facs Auth Re 07/01/2022 4.000%	A	Interest	K	T					
44. New York St Mtg Agy Homeowner 04/01/2031 3.700% Call 10/01/2027 100.00	A	Interest	J	T					
45. Ohio St Hsg Fin Agy Residentia 09/01/2030 2.900% Call 09/01/2026 100.0	A	Interest	J	T	Sold (part)	12/01/20	J		
46. Oregon St Bd Bk Rev 01/01/2031 5.000% Call 01/01/2026 100.000	A	Interest	J	T					
47. OREGON ST HSG & CMNTY SVCS DEP 1.6% 07/01/2021	A	Interest	J	T	Buy	05/28/20	J		
48. OREGON ST HSG & CMNTY SVCS DEP 1.6% 07/01/2021		None	K	T	Sold (part)	07/01/20	J		
49. Oregon St Hsg & Cmnty Svcs Dep 07/01/2030 3.300% Call 07/01/2027 100.0	A	Interest	K	T					
50. Philadelphia Pa 09/01/2021 5.250% Pre- Refunded 09/01/2020 100.00	A	Interest			Sold	09/01/20	J		
51. Port Huron Mich Area Sch Dist 05/01/2028 4.000% Call 05/01/2026 100.00	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Portland Me Arpt Rev 01/01/2026 5.000%	A	Interest	J	T					
53. Portland Ore Wtr Sys Rev 05/01/2026 4.000% Pre-Refunded 05/01/2020 100	A	Interest			Sold	05/01/20	K		
54. SOUTHFIELD MASS REDEV AUTH INF 6% 08/15/2033	A	Interest	K	T	Buy	12/18/20	K		
55. Tradition Community 05/01/2025 4.000% Call 05/01/2024 100.000	A	Interest	K	T					
56. Upper Republican Nat Res Dist 12/15/2022 3.000%	A	Interest	K	T					
57. Viridian Mun Mgmt Dist Tex 12/01/2025 4.000% Call 12/01/2023 100.000	A	Interest	K	T					
58. West Rankin Miss Util Auth Rev 01/01/2027 5.000% Call 01/01/2025 100.0	A	Interest	J	T					
59. Willow Springs Ill 12/15/2020 3.000%	A	Interest			Sold	12/15/20	J		
60. Winston Salem St Un 06/01/2020 5.000%	A	Interest			Sold	06/01/20	J		
61. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2024 2.800% Call 06/01/2024 100.0	A	Interest	J	T					
62. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2025 2.875% Call 06/01/2024 100.0	A	Interest	J	T					
63. Schwab Government Money Market Fund	A	Interest	J	T	Sold (part)	12/21/20	K	A	
64. Cash held by Belle Haven Bond Investment Co.	A	Interest	M	T					
65. Individual Account 1 (H)									
66. American Funds Europacific Growth F3 FEUPX	A	Dividend	M	T	Buy (add'l)	06/30/20	K		
67. Artisan International Value Advisor APDKX		None			Sold	06/30/20	M		
68. Fidelity International Small Cap FISMV		None			Sold	06/30/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. MFS® New Discovery Value I NDVIX	A	Dividend	K	T	Sold (part)	06/30/20	K		
70. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	K	T	Buy (add'l)	02/11/20	J		
71. PIMCO StocksPLUS® Small Institutional PSCSX		None			Sold	06/30/20	K		
72. Schwab US Large-Cap Value ETF™ SCHV		None			Sold	06/30/20	M		
73. Thornburg Inv Income Builder - I TIBIX	B	Int./Div.			Sold	06/30/20	K		
74. Vanguard Growth ETF VUG	A	Dividend	M	T					
75. Vanguard Value VTV	A	Dividend			Sold	06/30/20	K		
76. WisdomTree Midcap Dividend DON	B	Int./Div.			Sold	06/30/20	L		
77. Cash Balance held by Captrust	A	Interest	J	T					
78. Fidelity 500 Index Fund FXAIX	C	Dividend	N	T	Buy	06/30/20	N		
79. Doublin Shiller Enhanced CAP DSEEX	A	Dividend	L	T	Buy	06/30/20	L		
80. MFS International Growth MQGIX	A	Dividend	L	T	Buy	06/30/20	L		
81. JP Morgan Large Cap Growth SEEGX	C	Dividend	L	T	Buy	06/30/20	L		
82. Technology Sector ETF XLK	A	Dividend	K	T	Buy	06/30/20	K		
83. T. Rowe Price US Small Cap Gr TQAIX	A	Dividend	K	T	Buy	06/30/20	K		
84. Healthcare Sector ETF XLV	A	Dividend	K	T	Buy	06/30/20	K		
85. Schwab Small Cap Index SWSSX	A	Dividend	K	T	Buy	11/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. IRA Account 1 (H)									
87. Artisan International Value Advisor APDKX		None			Sold	06/30/20	K		
88. Fidelity International Small Cap FISMIX		None			Sold	06/30/20	J		
89. First Eagle SoGen Global I SGIIX		None			Sold	06/30/20	J		
90. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T					
91. Thornburg Inv Income Builder - I TIBIX	A	Int./Div.			Sold	06/30/20	K		
92. Vanguard Growth ETF VUG	A	Dividend			Sold	06/30/20	K		
93. Vanguard Value VTV	B	Dividend			Sold	06/30/20	L		
94. WisdomTree Midcap Dividend DON	A	Dividend			Sold	06/30/20	K		
95. WisdomTree S/C Dvd Fd (Etf) DES	A	Dividend			Sold	06/30/20	K		
96. Doubleline Total Ret Bd-I DBLTX	B	Int./Div.			Sold	06/30/20	K		
97. PIMCO Foreign Bond Inst PFORX	A	Int./Div.			Sold	06/30/20	K		
98. Riverpark Short Term H/Y- RPHYX	A	Int./Div.			Sold	06/30/20	K		
99. Riverpark Strategic RSIIX	A	Int./Div.			Sold	06/30/20	K		
100. Riverpark Strategic Income Ins TI RPHIX	A	Int./Div.			Sold	03/13/20	J		
101. Thornburg Ltd Term Income I THIIIX	A	Int./Div.			Sold	06/30/20	L		
102. Wells Fargo Advtg Short	A	Int./Div.			Sold	06/30/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. SPDR Gold Shares GLD		None			Sold	06/30/20	J		
104. Cash Balance held by Captrust	A	Interest	J	T					
105. Fidelity 500 Index Fund FXAIX	B	Dividend	M	T	Buy	06/30/20	M		
106. FID Intermediate Bond FTHRX	B	Dividend	L	T	Buy	06/30/20	L		
107. Doubleline Core Fixed Income DBLFX	A	Dividend	L	T	Buy	06/30/20	K		
108. Guggenheim Total Return Bond GIBIX	B	Int./Div.	L	T	Buy	06/30/20	L		
109. Doublin Shiller Enhanced CAP DSEEX	A	Dividend	K	T	Buy	06/30/20	K		
110. PIMCO Income Fund PIMIX	A	Int./Div.	K	T	Buy	06/30/20	K		
111. JP Morgan Large Cap Growth SEEGX	B	Dividend	K	T	Buy	06/30/20	K		
112. Angel Oak Multi-Strategy Income ANGIX	A	Int./Div.	K	T	Buy	06/30/20	K		
113. EuroPacific Growth FEUPX	A	Dividend	K	T	Buy	06/30/20	J		
114. MFS International Growth MQGIX	A	Dividend	K	T	Buy	06/30/20	K		
115. Technology Sector ETF XLK	A	Dividend	K	T	Buy	06/30/20	K		
116. ishares 1-3 Year Treasury Bond SHY	A	Int./Div.	J	T	Buy	09/04/20	K		
117. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T	Buy	06/30/20	J		
118. MFS New Discovery Value Fund NDVIX	A	Dividend	J	T	Buy	06/30/20	J		
119. Healthcare Sector Fund XLV	A	Dividend	J	T	Buy	06/30/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Schwab Small Cap Index SWSSX	A	Dividend	J	T	Buy	11/30/20	J		
121. ishares MBS ETF MBB		None	J	T	Buy	12/15/20	J		
122. IRA Account 2 (H)									
123. American Funds Europacific Growth F3 FEUPX	A	Dividend	J	T	Sold (part)	06/30/20	J		
124. Artisan International Value Advisor APDKX					Sold	06/30/20	J		
125. Artisan Intl Val Fd Investor ARTKX		None			Sold	06/30/20	J		
126. Fidelity International Small Cap FISMV	A	Dividend			Sold	06/30/20	J		
127. MFS® New Discovery Value I NDVIX	A	Dividend	J	T					
128. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T					
129. PIMCO StocksPLUS® Small Institutional PSCSX	A	Dividend			Sold	06/30/20	J		
130. Vanguard Growth ETF VUG	A	Dividend			Sold	06/30/20	J		
131. Vanguard Value VTV	A	Dividend			Sold	06/30/20	K		
132. WisdomTree Midcap Dividend DON	A	Dividend			Sold	06/30/20	J		
133. Doubleline Total Ret Bd-I DBLTX	A	Int./Div.			Sold	06/30/20	J		
134. PIMCO Foreign Bond Inst PFORX	A	Int./Div.			Sold	06/30/20	J		
135. Riverpark Short Term H/Y- RPHYX	A	Int./Div.			Sold	06/30/20	J		
136. RiverPark Short Term High Yield Retail RPHIX	A	Int./Div.			Sold	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Riverpark Strategic Income Ins Tr RSIIX	A	Int./Div.			Sold	06/30/20	J		
138. Thornburg Ltd Term Income Tr THIX	A	Int./Div.			Sold	06/30/20	K		
139. Wells Fargo Advantage Short-Term Bd A	A	Int./Div.			Sold	03/03/20	J		
140. Wells Fargo Advtg Short	A	Int./Div.			Sold	03/03/20	J		
141. SPDR Gold Shares GLD	A	Int./Div.			Sold	06/30/20	J		
142. Cash Balance held by Captrust	A	Interest	J	T					
143. Fidelity 500 Index FXAIX	A	Dividend	K	T	Buy	06/30/20	K		
144. FID Intermediate Bond FTHRX	A	Dividend	K	T	Buy	06/30/20	K		
145. Doubleline Core Fixed Income DBLFX	A	Dividend	K	T	Buy	06/30/20	K		
146. Guggenheim Total Return Bond GIBIX	A	Dividend	K	T	Buy	06/30/20	K		
147. Doubleline Shiller Enhanced CAP DSEEX	A	Dividend	J	T	Buy	06/30/20	J		
148. PIMCO Income Fund PIMIX	A	Dividend	J	T	Buy	06/30/20	J		
149. JP Morgan Large Cap Growth SEEGX	A	Dividend	J	T	Buy	06/30/20	J		
150. Angel Oak Multi-Strategy Income ANGIX	A	Dividend	J	T	Buy	06/30/20	J		
151. MFS International Growth MQGIX	A	Dividend	J	T	Buy	06/30/20	J		
152. Technology Sector ETF XLK	A	Dividend	J	T	Buy	06/30/20	J		
153. iShares 1-3 Year Treasury Bond ETF SHY	A	Dividend	J	T	Buy	09/04/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T	Buy	06/30/20	J		
155. Health Care Sector ETF XLV	A	Dividend	J	T	Buy	06/30/20	J		
156. Schwab Small Cap Index SWSSX	A	Dividend	J	T	Buy	11/30/20	J		
157. iShares MBS ETF MBB	A	Dividend	J	T	Buy	12/15/20	J		
158. Life Path 2030		None	N	T					
159. 401K Account (H)									
160. Dodge and Cox Balanced	F	Dividend	O	T					
161. Fidelity International Index	E	Dividend	N	T					
162. Pimco Total Return Admin	E	Dividend	O	T					
163. Vanguard Growth Index Fund	G	Dividend	O	T					
164. Vanguard MidCap Value Index Fund	F	Dividend	N	T					
165. Wells Fargo Bank Accounts	B	Interest	O	T					
166. Oregon Venture Fund (formerly Angel Fund)	C	Distribution	M	T					
167. State Judicial Pension (PERS)		None	M	T					
168. UGMA Account (H)									
169. Schw Emg Mkt Eq Etf SCHE	A	Dividend			Sold	06/30/20	J		
170. Schw Intl Eq Etf SCHF	A	Dividend			Sold	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Schwab International Small-Cap Eq ETF TM SCHC	A	Dividend			Sold	06/30/20	J		
172. Schwab US Large-Cap Growth ETF TM SCHG	A	Dividend	K	T					
173. Schwab US Large-Cap Value ETF TM SCHV	A	Dividend			Sold	06/30/20	J		
174. Spdr DJ Wilshire Small Cap Value SLV	A	Dividend			Sold	06/30/20	J		
175. SPDR® S&P 400 Mid Cap Value ETF MDYV	A	Dividend			Sold	06/30/20	J		
176. Doubleline Total Return Bond Fund DBLTX	A	Int./Div.			Sold	06/30/20	K		
177. Thornburg Ltd Term Muni Inc LTMFX	A	Int./Div.			Sold	06/30/20	J		
178. Thornburg Ltd Trm Muni Fd THIIX	A	Int./Div.			Sold	06/30/20	K		
179. Cash Balance Held by Captrust	A	Interest	J	T					
180. Fidelity 500 Index FXAIX	A	Dividend	K	T	Buy	06/30/20	K		
181. Nuveen Intermediate Dur Muni NUVBX	A	Dividend	J	T	Buy	06/30/20	J		
182. Fidelity Sch Str Tr Inter Muni FLT MX	A	Dividend	J	T	Buy	06/30/20	J		
183. Angel Oak Multi-Strategy ANGIX	A	Dividend	J	T	Buy	06/30/20	J		
184. Tax-Exempt Bond of America TFE BX	A	Dividend	J	T	Buy	06/30/20	J		
185. Invesco/Oppenheimer Short-Term Muni Fund ODVIX	A	Dividend	J	T	Buy	06/30/20	J		
186. Doubleline Shiller Enhanced CAP DSEEX	A	Dividend	J	T	Buy	06/30/20	J		
187. PIMCO Income Fund PIMIX	A	Dividend	J	T	Buy	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. EuroPacific Growth FEUPX	A	Dividend	J	T	Buy	06/30/20	J		
189. MFS International MQGIX	A	Dividend	J	T	Buy	06/30/20	J		
190. JP Morgan Large-Cap Growth SEEGX	A	Dividend	J	T	Buy	06/30/20	J		
191. Invesco Developing Markets ODVIX	A	Dividend	J	T	Buy	06/30/20	J		
192. T. Rowe Price US Small Cap Growth TQAIX	A	Dividend	J	T	Buy	06/30/20	J		
193. Technology Sector ETF XLK	A	Dividend	J	T	Buy	06/30/20	J		
194. Healthcare Sector ETF XLV	A	Dividend	J	T	Buy	06/30/20	J		
195. Alaska St Hsg Fin Corp 12/01/2030 5.000% Call 06/01/2029 100.000	A	Interest	K	T					
196. BELLINGHAM MASS 2% 11/15/2023	A	Interest	K	T	Buy	06/26/20	K		
197. Broome cnty y loc de corp r 5% 04/01/2031	A	Interest	K	T	Buy	08/14/20	K		
198. Calumet City Ill 03/01/2020 4.000%	A	Interest			Sold	03/02/20	K		
199. Chemeketa Ore Cmnty College Di 06/15/2020 5.000%	A	Interest			Sold	06/15/20	J		
200. Clackamas Cnty Ore Sch Dist No 06/01/2029 5.000% Call 06/01/2027 100.0	A	Interest	K	T					
201. Coachella Calif Redev Agy Succ 09/01/2027 5.000% Call 09/01/2026 100.0	B	Interest	K	T					
202. Cochise Cnty Ariz Cmnty Colleg 07/01/2024 5.000%	B	Interest	K	T					
203. Cook Cnty Ill Sch Dist No 145 4.000 12/01/29 12/01/2029 4.000% Call 12	A	Interest	J	T					
204. Crestwood Vlg Ill 12/15/2026 4.500% Call 12/15/2022 100.000	A	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Eastmark Cmnty Facs Dist No 1 4.000 07/15/31 07/15/2031 4.000% Call 07	A	Interest	J	T					
206. Idaho Hsg & Fin Assn Single Fa 2.150 01/01/30 01/01/2030 2.150% Call 0	A	Interest	K	T					
207. Indianapolis Ind Lo 06/01/2021 5.000%	A	Interest	K	T					
208. Iowa Fin Auth Single Family Mt 07/01/2022 2.000%	A	Interest	K	T					
209. Kentucky Inc Ky Pub Energy 4.000 02/01/50 02/01/2050 4.000% Call 11/01	A	Interest	J	T					
210. Knox and Warren Cntys Ill Cmnty 4% 12/1/2031	A	Interest	J	T	Buy	10/07/20	J		
211. La Mirada Calif Redev Agy Succ Sub Tax Alloc Ref B 08/15/2024 5.000%	A	Interest	J	T					
212. Lane Cmnty College Ore 06/15/2022 4.000%	A	Interest	K	T					
213. Longmont Colo Elect 12/01/2025 4.000% Call 12/01/2022 100.000	A	Interest	K	T					
214. Madison & Jersey Cntys Ill Cmn 12/01/2023 4.000%	A	Interest	K	T					
215. Maricopa Cnty Ariz Uni Sch Dis 5% 07/01/2032	A	Interest	J	T	Buy	04/23/20	J		
216. Marion Cnty Ore Sch Dist No 10 06/01/2031 5.000% Call 06/01/2029 100.0	A	Interest	K	T					
217. Michigan St Hsg Dev Auth 2.300 10/01/29 10/01/2029 2.300% Call 10/01/2	A	Interest	J	T					
218. Midlothian Ill 4.000 01/01/31 01/01/2031 4.000% Call 01/01/2029 100.00	A	Interest	J	T					
219. New Caney Tex In 02/15/2022 6.250%	A	Interest	K	T					
220. New York St Mtg Agy Homeowner 10/01/2025 1.950% Call 04/01/2025 100.00	A	Interest	J	T					
221. Orange Cnty Fla Tourist Dev Ta 10/01/2028 5.000%	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Oregon St Dept Administrative 5.000% Call 04/01/2027 100.00	A	Interest	J	T					
223. Oregon St Facs Auth Rev 5.000%	A	Interest			Sold	10/01/20	J		
224. Oregon St Facs Auth Rev 5.000% Call 05/15/2024 100.000	A	Interest	K	T					
225. Oregon St Facs Auth Rev 6/1/2033 5.000%	A	Interest	K	T	Buy	12/14/20	K		
226. Oregon St Hsg & Cmnty Svcs Dep 01/01/2026 2.700%	A	Interest	K	T					
227. Oregon St Hsg & Cmnty Svcs Dep 07/01/2021 1.600%	A	Interest	J	T					
228. Oregon St Hsg & Cmnty Svcs Dep 07/01/2027 2.950%	A	Interest	K	T					
229. Pawtucket R I 07/15/2028 5.000%	A	Interest	J	T					
230. Port Portland Ore Arpt Rev 5.000% Call 07/01/2025 100.000	A	Interest	K	T					
231. Port Portland Ore Arpt Rev 05/01/2022	A	Interest	J	T	Buy	03/17/20	J		
232. Rhode Island St Tpk & Brdg Aut 10/01/2023 3.000%	A	Interest	K	T					
233. Rio Blanco Cnty Colo Sch Dist 5.500% Call 12/01/2028 100.00	A	Interest	J	T					
234. San Antonio Tex Wtr 05/15/2020 5.000%	A	Interest			Sold	05/15/20	J		
235. Southwestern Polk Cnty Rural 4.000 12/15/26 12/15/2026 4.000%	A	Interest	J	T					
236. St Clair Cnty Ill High Sch Dis 3.000%	A	Interest			Sold	12/01/20	K		
237. Travis Calif Uni Sch Dist Ctf 5.000% Call 09/01/2025 100.0	B	Interest	K	T					
238. Washington Cnty Ore 10/01/2021 5.000%	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. West Lafayette Ind Sch Bldg Co 07/15/2029 5.000% Call 07/15/2027 100.0	A	Interest	K	T					
240. WESTFIELD IN HIGH SCH 95 BLDG CORP 1ST MTG B B/E S 07/15/2025 3.000%	A	Interest	J	T					
241. Whittier Calif Redev Successor 11/01/2026 5.000% Call 11/01/2025 100.0	A	Interest	K	T					
242. Wisconsin Hsg & Economic Dev A 03/01/2025 2.350%	A	Interest	K	T					
243. Wyoming Cmnty Dev Auth Hsg Rev 12/01/2024 2.500%	A	Interest	K	T					
244. Schwab Government Money Fund	A	Interest	J	T					
245. Individual Account 2 (H)									
246. American Funds Europacific Growth F3 FEUPX	B	Dividend	M	T					
247. Artisan International Value Advisor APDKX	A	Dividend			Sold	06/30/20	M		
248. Fidelity International Small Cap FISMIX	A	Dividend			Sold	06/30/20	K		
249. First Eagle SoGen Global I SGIIX	A	Dividend			Sold	06/30/20	L		
250. MFS® New Discovery Value I NDVIX	A	Dividend	K	T	Sold (part)	06/30/20	L		
251. Oppenheimer Developing ODVYX	A	Dividend			Sold	06/30/20	J		
252. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	L	T					
253. PIMCO StocksPLUS® Small Institutional PSCSX	A	Dividend			Sold	06/30/20	K		
254. Schwab US Large-Cap Value ETF™ SCHV	B	Dividend			Sold	06/30/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 19 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
255. Thornburg Inv Income Builder - I TIBIX	B	Int./Div.			Sold	06/30/20	L		
256. Vanguard Growth ETF VUG	B	Dividend	M	T					
257. Vanguard Small Cap ETF VB	A	Dividend			Sold	06/30/20	K		
258. WisdomTree Midcap Dividend DON	B	Dividend			Sold	06/30/20	M		
259. Cash Balance Held by Captrust	A	Interest	J	T					
260. FIDELITY 500 INDEX FUND FXAIX	D	Dividend	N	T	Buy	06/30/20	N		
261. DOUBLINE SHILLER ENHANCED CAP CL I DSEEX	B	Dividend	M	T	Buy	06/30/20	M		
262. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	M	T	Buy	06/30/20	M		
263. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	D	Dividend	M	T	Buy	06/30/20	L		
264. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	L	T	Buy	06/30/20	L		
265. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	B	Dividend	K	T	Buy	06/30/20	K		
266. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	K	T	Buy	06/30/20	K		
267. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend	K	T	Buy	06/30/20	K		
268. IRA 3 (H)									
269. Artisan International Value Advisor APDKX	A	Dividend			Sold	06/30/20	K		
270. Fidelity International Small Cap FISMIX	A	Dividend			Sold	06/30/20	J		
271. Green Growth Brands Inc GGBXF	A	Dividend			Sold	06/30/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 20 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
272. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T					
273. Vanguard Growth ETF VUG	A	Dividend			Sold	06/30/20	K		
274. Vanguard Value VTV	A	Dividend			Sold	06/30/20	K		
275. WisdomTree Midcap Dividend DON	A	Dividend			Sold	06/30/20	K		
276. Doubleline Total Ret Bd-I DBLTX	A	Int./Div.			Sold	06/30/20	J		
277. PIMCO Foreign Bond Inst PFORX	A	Int./Div.			Sold	06/30/20	J		
278. Riverpark Short Term H/Y- RPHYX	A	Int./Div.			Sold	06/30/20	K		
279. Riverpark Strategic Income Ins TI RSIIX	A	Int./Div.			Sold	06/30/20	J		
280. Thornburg Ltd Term Income I LTMIX	A	Int./Div.			Sold	06/30/20	K		
281. Wells Fargo Advantage Short-Term Bd A	A	Int./Div.			Sold	03/02/20	K		
282. Wells Fargo Advtg Short	A	Int./Div.			Sold	03/02/20	J		
283. SPDR Gold Shares GLD	A	Int./Div.			Sold	06/30/20	J		
284. Cash Balance Held by Captrust	A	Interest	J	T					
285. ANGEL OAK MULTI-STRATEGY INCOME INSTL ANGIX	A	Int./Div.	J	T	Buy	06/30/20	J		
286. DOUBLELINE CORE FIXED INCOME FUND CLASS I DBLFX	A	Int./Div.	K	T	Buy	06/30/20	K		
287. DOUBLINE SHILLER ENHANCED CAP CL I DSEEX	A	Dividend	K	T	Buy	06/30/20	K		
288. EUROPACIFIC GROWTH FUND CLASS F3 FEUPX	A	Dividend	J	T	Buy	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. FID INTERMED BOND FTHRX	A	Int./Div.	K	T	Buy	06/30/20	K		
290. FIDELITY 500 INDEX FUND FXAIX	A	Dividend	L	T	Buy	06/30/20	L		
291. GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL GIBIX CLASS	A	Int./Div.	K	T	Buy	06/30/20	K		
292. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend	J	T	Buy	06/30/20	J		
293. ISHARES 1-3 YEAR TREASURY BOND ETF SHY	A	Int./Div.	J	T	Buy	09/04/20	J		
294. ISHARES MBS ETF MBB	A	Int./Div.	J	T	Buy	12/15/20	J		
295. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	J	T	Buy	06/30/20	J		
296. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	J	T	Buy	06/30/20	J		
297. MFS NEW DISCOVERY VALUE FUND CL I NDVIX	A	Int./Div.	J	T	Buy	03/13/20	J		
298. PIMCO INCOME FUND INSTITUTIONAL FUND PIMIX	A	Int./Div.	K	T	Buy	06/30/20	K		
299. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	J	T	Buy	11/30/20	J		
300. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIK	A	Dividend	J	T	Buy	06/30/20	J		
301. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	J	T	Buy	06/30/20	J		
302. IRA 4 (H)									
303. American Funds Europacific Growth F3 FEUPX	A	Dividend	J	T	Sold (part)	06/30/20	J		
304. Artisan International Value Advisor APDKX	A	Dividend			Sold	06/30/20	J		
305. Fidelity International Small Cap FISMV	A	Dividend			Sold	06/30/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 22 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
306. MFS® New Discovery Value I NDVIX	A	Dividend	J	T	Sold (part)	06/30/20	J		
307. Invesco/Oppenheimer Developing Markets I ODVIX	A	Dividend	J	T					
308. PIMCO StocksPLUS® Small Institutional PSCSX	A	Dividend			Sold	06/30/20	J		
309. Vanguard Growth ETF VUG	A	Dividend			Sold	06/30/20	J		
310. Vanguard Value VTV	A	Dividend			Sold	06/30/20	K		
311. WisdomTree Midcap Dividend DON	A	Dividend			Sold	06/30/20	J		
312. Doubleline Total Ret Bd-I DBLTX	A	Int./Div.			Sold	06/30/20	J		
313. Doubleline Total Return Bond Fund DLTNX	A	Int./Div.			Sold	06/30/20	J		
314. PIMCO Foreign Bond Inst PFORX	A	Int./Div.			Sold	06/30/20	J		
315. Riverpark Short Term H/Y- RPHYX	A	Int./Div.			Sold	06/30/20	K		
316. RiverPark Short Term High Yield Retail RPHIX	A	Int./Div.			Sold	06/30/20	J		
317. Riverpark Strategic Income Ins TI RSIIX	A	Int./Div.			Sold	06/30/20	J		
318. Thornburg Ltd Term Income I LTMIX	A	Int./Div.			Sold	06/30/20	K		
319. Wells Fargo Advantage Short-Term Bd A	A	Int./Div.			Sold	06/30/20	J		
320. Wells Fargo Advtg Short	A	Int./Div.			Sold	06/30/20	J		
321. SPDR Gold Shares GLD	A	Int./Div.			Sold	06/30/20	J		
322. Cash Balance Held by Captrust	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
323. ANGEL OAK MULTI-STRATEGY INCOME INSTL ANGIX	A	Int./Div.	J	T	Buy	06/30/20	J		
324. DOUBLELINE CORE FIXED INCOME FUND CLASS I DBLFX	A	Int./Div.	K	T	Buy	06/30/20	K		
325. DOUBLINE SHILLER ENHANCED CAP CL I DLTNX	A	Dividend	J	T	Buy	06/30/20	J		
326. FID INTERMED BOND FTHRX	A	Int./Div.	K	T	Buy	06/30/20	K		
327. FIDELITY 500 INDEX FUND FXAIX	A	Dividend	K	T	Buy	06/30/20	K		
328. GUGGENHEIM TOTAL RETURN BOND FUND INSTITUTIONAL GIBIX CLASS	A	Int./Div.	K	T	Buy	06/30/20	K		
329. HEALTH CARE SELECT SECTOR SPDR FUND XLV	A	Dividend	J	T	Buy	06/30/20	J		
330. ISHARES 1-3 YEAR TREASURY BOND ETF SHY	A	Int./Div.	J	T	Buy	09/04/20	J		
331. ISHARES MBS ETF MBB	A	Int./Div.	J	T	Buy	12/15/20	J		
332. JP MORGAN LARGE-CAP GROWTH FUND SELECT CLASS SEEGX	A	Dividend	J	T	Buy	06/30/20	J		
333. MFS INTERNATIONAL GROWTH FD CL I MQGIX	A	Dividend	J	T	Buy	06/30/20	J		
334. PIMCO INCOME FUND INSTITUTIONAL FUND PIMIX	A	Int./Div.	J	T	Buy	06/30/20	J		
335. SCHWAB SMALL CAP INDEX SELECT SHS SWSSX	A	Dividend	J	T	Buy	11/30/20	J		
336. T. ROWE PRICE QM US SMALL CAP GR EQ I TQAIX	A	Dividend	J	T	Buy	06/30/20	J		
337. TECHNOLOGY SELECT SECTOR SPDR FUND XLK	A	Dividend	J	T	Buy	06/30/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 25 of 25

Name of Person Reporting

Immergut, Karin

Date of Report

05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Karin Immergut

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544