

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 228 WALNUT STREET HARRISBURG, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR	AUBURN GOLF CAR CO. INC.
2. DIRECTOR	BUNKER HILL GOLF COURSE (CORP)
3. DIRECTOR	FAIRVIEW GOLF COURSE INC.
4. DIRECTOR	HIDDEN VALLEY GOLF COURSE INC.
5. DIRECTOR	PAT MAR CO. INC.
6. DIRECTOR	PHOENIX CONTRACTING CO. (CORP)
7. DIRECTOR	WEDGEWOOD GOLF COURSE INC.
8. DIRECTOR	WILLOW HOLLOW GOLF COURSE INC.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED PENSION
2.	
3.	

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
JONES III, JOHN E.	05/15/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

JONES III, JOHN E.

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05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BUNKER HILL GOLF COURSE INC. - FAMILY BUSINESS		None	O	W					
2. WILLOW HOLLOW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
3. WEDGEWOOD GOLF COURSE INC. - FAMILY BUSINESS		None	O	W					
4. HIDDEN VALLEY GOLF COURSE INC. - FAMILY BUSINESS		None	M	W					
5. FAIRVIEW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
6. AUBURN GOLF CAR CO. INC. - FAMILY BUSINESS		None	K	W					
7. PARKWAY ANTHRACITE INC. - FAMILY BUSINESS		None	J	W					
8. PAT MAR CO. INC. - FAMILY BUSINESS		None	M	W					
9. RIVERVIEW BANK - CHECKING		None	K	T					
10. LOAN RECEIVABLE - PHOENIX CONTRACTING	C	Interest	M	T					
11. MRK COMMON STOCK - IRA	A	Dividend	K	T					
12. PEPSICO INC. - IRA	A	Dividend	K	T					
13. VERIZON - COMMON STOCK - IRA	A	Dividend	K	T					
14. WELLS FARGO & CO - NEW - IRA	A	Dividend			Sold	05/14/20	J		
15. INTEL CORP - COMMON STOCK - IRA	A	Dividend	K	T					
16. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	L	T	Sold (part)	10/14/20	J	C	
17. WALT DISNEY CO	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PHOENIX CONTRACTING - FAMILY BUSINESS	F	Dividend	J	W					
19. AT&T INC - IRA	A	Dividend	J	T					
20. JOHNSON AND JOHNSON - JNJ - IRA	A	Dividend	K	T					
21. ABBVIE INC - IRA	B	Dividend	K	T					
22. AMGEN - IRA	A	Dividend	K	T					
23. APPLE INC - IRA	A	Dividend	L	T					
24. BLACKROCK INC - IRA	A	Dividend	K	T					
25. DOW - IRA	A	Dividend	K	T					
26. JP MORGAN CHASE - IRA	B	Dividend			Sold	05/14/20	K	D	
27. KLA CORP - IRA	A	Dividend	K	T					
28. NEXTERA ENERGY INC - IRA	A	Dividend	L	T					
29. TOTAL SESPON ADS - IRA	A	Dividend	J	T					
30. RIVERVIEW BANK - SAVINGS ACCT	A	Interest	K	T					
31. HOME DEPOT INC - IRA	A	Dividend	K	T					
32. TRUIST BK DEP SWEEP - IRA	A	Interest	M	T					
33. TRUIST BANK SWEEP - IRA 2	A	Interest	J	T					
34. TRUIST BANK SWEEP - IRA 3	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. AT&T	A	Dividend	J	T					
36. ABBVIE INC	A	Dividend	J	T	Sold (part)	11/23/20	J	A	
37. AMGEN INC	A	Dividend	J	T	Sold (part)	12/09/20	J	B	
38. APPLE INC	A	Dividend	J	T	Sold (part)	08/07/20	J	D	
39. BLACKROCK INC	A	Dividend	J	T	Sold (part)	07/13/20	J	B	
40. DOW	A	Dividend	J	T					
41. HOME DEPOT	A	Dividend	J	T	Sold (part)	08/06/20	J	B	
42. INTEL CORP	A	Dividend	J	T	Sold (part)	09/03/20	J	B	
43. JP MORGAN CHASE	A	Dividend			Sold	05/19/20	J	B	
44. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	08/07/20	J	A	
45. KLA CORP	A	Dividend	J	T	Sold (part)	09/03/20	J	C	
46. MERCK & CO INC	A	Dividend	J	T	Sold (part)	09/03/20	J	B	
47. MICROSOFT CORP	A	Dividend	J	T	Sold (part)	09/30/20	J	D	
48. NEXTERA ENERGY	A	Dividend	J	T	Sold (part)	09/03/20	J	C	
49. PEPSICO INC	A	Dividend	J	T	Sold (part)	09/03/20	J	B	
50. TOTAL SESPONS. ADS	A	Dividend	J	T					
51. VERIZON COMM	A	Dividend	J	T	Sold (part)	09/03/20	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. WELLS FARGO & CO NEW	A	Dividend			Sold	05/14/20	J		
53. TRUIST M/M AC	A	Interest	J	T					
54. CME GROUP INC	A	Dividend	J	T	Sold (part)	04/20/20	J	A	
55. CMS ENERGY	A	Dividend	J	T	Sold (part)	08/06/20	J	A	
56. CISCO SYSTEMS	A	Dividend			Sold	10/14/20	J	B	
57. CME GROUP INC IRA	A	Dividend	K	T					
58. CMS ENERGY CORP IRA	A	Dividend	K	T					
59. CISCO SYSTEMS IRA	A	Dividend			Sold	10/14/20	K	C	
60. PRIMAL SPACE SYS INC		None	L	W					
61. EATON CORP PLC IRA	A	Dividend	K	T					
62. CHEVRON CORP IRA	A	Dividend	J	T					
63. CORNING INC IRA	A	Dividend	J	T					
64. CROWN CASTLE INTL IRA	A	Dividend	K	T					
65. DIGITAL REALTY TR. IRA	A	Dividend	K	T					
66. FT UNIT 5986 HIGH INC. SER 19 IRA	E	Dividend	N	T	Sold (part)	09/25/20	K		
67. RAYTHEON IRA	A	Dividend	K	T					
68. SCHUMBERGER IRA	A	Dividend			Sold	03/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. 3 M COMPANY IRA	A	Dividend	J	T					
70. EATON CORP PLC	A	Dividend	J	T	Sold (part)	09/03/20	J	A	
71. CORNING INC.	A	Dividend	J	T					
72. CROWN CASTLE INTL	A	Dividend	J	T	Sold (part)	06/05/20	J	A	
73. DIGITAL REALTY TR INC.	A	Dividend	J	T					
74. RAYTHEON CORP.	A	Dividend	J	T	Sold (part)	04/06/20	J	A	
75. SCHLUMBERGER LTD.	A	Dividend			Sold	03/13/20	J		
76. 3 M COMPANY	A	Dividend			Sold	03/13/20	J		
77. CONTINUOUS PRECISION MEDICINE INC.		None	J	W					
78. MERRILL LYNCH CMA A/C	A	Dividend	J	T					
79. CHEVRON CORP.	A	Dividend	J	T	Sold (part)	02/15/20	J		
80. US BANCORP DEL	A	Dividend	J	T	Sold (part)	12/09/20	J		
81. UNION PACIFIC	A	Dividend	J	T	Sold (part)	11/23/20	J	A	
82. AB LARGE CAP GROWTH - ML	A	Dividend	J	T					
83. ISHARES CORE S&P MID - CORP	A	Dividend	J	T					
84. DEVON ENERGY CORP - ML	A	Dividend			Sold	07/06/20	J		
85. VANGUARD IDX S&P 500 IRA (2)	A	Dividend	L	T	Sold (part)	09/08/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. ISHARES CORE S&P 500 ETF - IRA (3)	A	Dividend	K	T	Sold (part)	01/21/20	J	A	
87. ISHARES CORE MSCI EMERGING - IRA (3)	A	Dividend	J	T	Sold (part)	04/16/20	J		
88. ISHARES TR EAFE SML CP IRA (3)	A	Dividend	J	T	Sold (part)	04/06/20	J	A	
89. ISHARES TR RUS MID CAP IRA (3)	A	Dividend			Sold	10/05/20	J	A	
90. ISHARES TR CORE MSCI EAFE IRA (3)	A	Dividend	J	T	Sold (part)	10/05/20	J	A	
91. ISHARES TR IBOXX HI YD ETF IRA (3)	A	Dividend	J	T					
92. ISHARES TR MBS IRA (3)	A	Dividend	J	T	Sold (part)	10/05/20	J	A	
93. ISHARES TR US TREAS BD IRA (3)	A	Dividend	J	T	Sold (part)	10/05/20	J	A	
94. UNITED PARCEL SERVICES	A	Dividend	J	T					
95. BROADCOM INC	A	Dividend	J	T	Sold (part)	09/03/20	J	A	
96. SYSCO CORP	A	Dividend			Sold	03/26/20	J		
97. BROADCOM INC IRA	B	Dividend	K	T					
98. CV SCIENCES INC IRA		None	J	T					
99. SYSCO CORP IRA	A	Dividend			Sold	03/26/20	J		
100. UNION PACIFIC IRA	A	Dividend	K	T					
101. UNITED PARCEL SERVICES IRA	A	Dividend	J	T					
102. U.S. BANCORP DEL IRA	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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103. VANGUARD SM CAP VALUE IRA-2	A	Dividend	J	T					
104. LORD ABBETT FL RATE IRA-2	A	Dividend			Sold	01/21/20	J		
105. FIRST TRUST NASDAQ 100 TECH IRA-2	A	Dividend	J	T	Sold (part)	09/08/20	J	A	
106. INVESCO ETF TR II IRA-2	A	Dividend			Sold	01/21/20	J		
107. ISHARES TIPS BOND ETF IRA-2	A	Dividend			Sold	01/21/20	K	A	
108. VANGUARD BD INDEX ST IRA-2	A	Dividend	K	T	Sold (part)	03/05/20	J	A	
109. VANGUARD HEALTHCARE IRA-2	A	Dividend			Sold	06/08/20	J	B	
110. VANGUARD INT'L EQ INDEX FG IRA-2	A	Dividend	J	T					
111. CORTEVA INC.	A	Dividend	J	T					
112. AMERICAN ELECTRIC POWER	A	Dividend	J	T	Sold (part)	12/09/20	J		
113. BOEING	A	Dividend			Sold	02/05/20	J		
114. COMCAST CORP. NEW	A	Dividend	J	T	Sold (part)	12/09/20	J	A	
115. DUPONT DE NEMOURS	A	Dividend	J	T					
116. HONEYWELL	A	Dividend	J	T					
117. OUTFRONT MEDIA INC.	A	Dividend			Sold	05/04/20	J		
118. NOVORTIS A G SPON SARAT ADR	A	Dividend	J	T	Sold (part)	10/14/20	J	A	
119. TARGET	A	Dividend	J	T	Sold (part)	09/03/20	J	C	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/15/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. AMERICAN ELECTRIC POWER - IRA	A	Dividend	K	T					
121. BOEING - IRA	A	Dividend			Sold	02/03/20	J		
122. COMCAST CORP. NEW - IRA	A	Dividend	K	T					
123. CORTEVA - IRA	A	Dividend	J	T					
124. DUPONT DE NEMOURS - IRA	A	Dividend	J	T					
125. HONEYWELL - IRA	A	Dividend	J	T					
126. NOVARTIS A G SPONSORED ADR - IRA	A	Dividend	K	T					
127. OUTFRONT MEDIA INC. - IRA	A	Dividend			Sold	05/14/20	J		
128. TARGET - IRA	A	Dividend	K	T					
129. ISHARES TR CORE DIV. GROWTH - IRA (3)	A	Dividend			Sold	10/05/20	J	A	
130. ISHARES TR USD INV. GRADE - IRA (3)	A	Dividend	J	T	Buy (add'l)	10/05/20	J		
131. VANGUARD INDEX FUNDS S&P 500 - IRA (4)	A	Dividend	K	T	Sold (part)	01/21/20	J		
132. CARDINAL HEALTH	A	Dividend	J	T	Buy	03/13/20	J		
133. EMERSON ELECTRIC	A	Dividend	J	T	Buy	02/13/20	J		
134. EMERSON ELECTRIC	A	Dividend			Sold (part)	08/06/20	J		
135. GENUINE PARTS	A	Dividend	J	T	Buy	05/14/20	J		
136. GENUINE PARTS	A	Dividend			Sold (part)	11/23/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

JONES III, JOHN E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

137. PROCTER & GAMBLE	A	Dividend	J	T	Buy	03/26/20	J		
138. QUALCOMM INC.	A	Dividend	J	T	Buy	10/14/20	J		
139. CARDINAL HEALTH - IRA	A	Dividend	J	T	Buy	03/13/20	J		
140. EMERSON ELECTRIC - IRA	A	Dividend	J	T	Buy	02/03/20	J		
141. GENUINE PARTS - IRA	A	Dividend	J	T	Buy	05/14/20	L		
142. PROCTER & GAMBLE - IRA	A	Dividend	J	T	Buy	03/26/20	J		
143. QUALCOMM INC. - IRA	A	Dividend	K	T	Buy	10/14/20	K		
144. VANGUARD SCOTTSDALE	A	Dividend			Buy	01/21/20	K		
145. VANGUARD SCOTTSDALE	A	Dividend			Sold	06/04/20	K	A	
146. TRUIST BANK SWEEP - IRA	A	Dividend	J	T	Buy	01/21/20	J		
147. ISHARES S&P MIDCAP 400 INDEX IRA 3	A	Dividend	J	T	Buy	04/06/20	J		
148. ISHARES EAFE GROWTH IRA 3	A	Dividend	J	T	Buy	04/06/20	J		
149. VANGUARD RUSSELL 1000 GROWTH IRA 5	A	Dividend	J	T	Buy	04/06/20	J		
150. ISHARES 1-5 YEARS IRA 3	A	Dividend			Buy	04/06/20	J		
151. ISHARES 1-5 YEARS IRA 3	A	Dividend			Sold	10/05/20	J	A	
152. ISHARES GOLD TRUST IRA 3	A	Dividend	J	T	Buy	10/05/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

JONES III, JOHN E.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - NAME CHANGE

SUNBANK BECAME TRUIST BANK

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Name of Person Reporting

JONES III, JOHN E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JOHN E. JONES III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544