

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JORGENSEN, CINDY K.	2. Court or Organization UNITED STATES COURT-ARIZONA	3. Date of Report 04/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 405 W CONGRESS, SUITE 5180 TUCSON, AZ 857015701		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Arizona Elected Officials retirement Plan	\$32,547.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Arizona Public Safety Retirement System(retired)
2. 2020	Arizona State Retirement Systems
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
JORGENSEN, CINDY K.	04/13/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley IRA-Pimco Enhanced Shrt Mtrt Exc	A	Dividend			Sold	01/20/20	L	A	
2. Morgn Stanley IRA-First Trust Japan		None			Sold	01/22/20	K		
3. Morgan Stanley IRA-Invesco S&P Ultra dividend		None			Sold	01/22/20	L	B	
4. Morgan Stanley IRA-Ishares China Large Cap ETF		None			Sold	01/22/20	J		
5. Morgan Stanley IRA-Ishares Core MSCI EAFE ETF	A	Dividend	K	T	Sold (part)	01/22/20	K	C	
6. Morgan Stanley IRA-Ishares Core MSCI EAFE ETF		None			Buy (add'l)	04/03/20	J		
7. Morgan Stanley IRA-Ishares Core MSCI Emerging	A	Dividend	J	T	Buy (add'l)	01/22/20	J		
8. Morgan Stanley IRA-Ishares Core MSCI EAFE ETF		None	K	T	Buy (add'l)	04/01/20	J		
9. Morgan Stanley IRA-Ishares Global Financials ETF		None			Sold	01/22/20	K	A	
10. Morgan Stanley IRA-Ishares Inc MSCI Japan ETF	A	Dividend	J	T	Buy (add'l)	01/24/20	J		
11. Morgan Stanley IRA-Ishares Inc MSCI Japan ETF		None			Buy (add'l)	04/01/20	J		
12. Morgan Stanley IRA-Ishares US Consumer Ser ETF		None			Sold	01/22/20	K	D	
13. Morgan Stanley IRA-Pacer GBL Cash Cos Dividend		None			Sold	01/22/20	L		
14. Morgan Stanley IRA-Vanguard Info Tech ETF		None			Sold	01/22/20	K	D	
15. Morgan Stanley IRA-Vanguard TTL STK MKT ETF		None			Sold	01/22/20	L	D	
16. Morgan Stanley IRA-Wisdom Tree Trust Japan Hedge EQ	A	Dividend	K	T	Buy	01/22/20	K		
17. Morgan Stanley IRA-Wisdom Tree Trust Japan Hedge EQ		None			Sold (part)	04/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Morgan Stanley IRA-DBX ETF XTRACK MSCI EURP	A	Dividend	K	T	Buy	01/22/20	K		
19. Morgan Stanley IRA-DBX ETF XTRACK MSCI EURP		None			Sold (part)	04/03/20	J		
20. Morgan Stanley IRA-Invesco S&P 500 Equal Weight E	A	Dividend	K	T	Buy	01/22/20	K		
21. Morgan Stanley IRA-Invesco S&P 500 Equal Weight E		None			Sold (part)	03/31/20	J		
22. Morgan Stanley IRA-Invesco S&P 500 pure growth ET	A	Dividend	J	T	Buy	01/22/20	J		
23. Morgan Stanley IRA-Invesco S&P 500 pure growth ET		None			Buy (add'l)	04/01/20	J		
24. Morgan Stanley IRA-Invesco S&P 500 Pure Value ETF	A	Dividend	K	T	Buy (add'l)	01/22/20	J		
25. Morgan Stanley IRA-Invesco S&P 500 Pure Value ETF		None			Buy (add'l)	04/01/20	J		
26. Morgan Stanley IRA-Edge MSCI Min Vol EAFE	A	Dividend	K	T	Buy (add'l)	01/22/20	K		
27. Morgan Stanley IRA-Ishare Edge MSCI Min Vol EAFE		None	K	T	Buy (add'l)	04/01/20	J		
28. Morgan Stanley IRA-Ishare Edge MSCI Min Vol EMER	A	Dividend	K	T	Buy	01/22/20	K		
29. Morgan Stanley IRA-Ishare Edge MSCI Min Vol EMER		None			Buy (add'l)	04/01/20	J		
30. Morgan Stanley IRA-Ishare Edge MSCI Min Vol USA	A	Dividend	K	T	Buy	01/22/20	K		
31. Morgan Stanley IRA-Ishare Edge MSCI Min Vol USA		None			Buy (add'l)	04/01/20	J		
32. Morgan Stanley IRA-Ishare Edge MSCI US Momentum	A	Dividend	K	T	Buy	01/22/20	K		
33. Morgan Stanley IRA-Ishare Edge MSCI US Momentum		None			Sold (part)	04/01/20	J		
34. Morgan Stanley IRA-Ishare Edge MSCI US QLTQ FAC	A	Dividend	K	T	Buy	01/22/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Morgan Stanley IRA-Ishare Edge MSCI US QLT FAC		None			Sold (part)	04/01/20	J		
36. Morgan Stanley IRA-Ishare Edge MSCI Intl Multifactor	A	Dividend	K	T	Buy	01/22/20	K		
37. Morgan Stanley IRA-Ishare Edge MSCI Intl Multifactor		None			Sold (part)	04/01/20	J		
38. Morgan Stanley IRA-Ishare MSCI Pac Ex-JPN ETF	A	Dividend	J	T	Buy	01/22/20	J		
39. Morgan Stanley IRA-Ishare MSCI Pac Ex-JPN ETF		None			Buy (add'l)	04/01/20	J		
40. Morgan Stanley IRA-Ishare MSCI multifactor USA	A	Dividend	K	T	Buy	01/22/20	K		
41. Morgan Stanley IRA-Ishare MSCI Pac Ex-JPN ETF		None	K	T	Buy (add'l)	04/01/20	J		
42. Morgan Stanley IRA-Ishare Russell 1000 GRW ETF	A	Dividend	L	T	Buy	01/22/20	K		
43. Morgan Stanley IRA-Ishare Russell 1000 GRW ETF		None			Buy (add'l)	04/01/20	J		
44. Morgan Stanley IRA-Ishare Russell 1000 Value ETF	A	Dividend	L	T	Buy	01/22/20	K		
45. Morgan Stanley IRA-Ishare Russell 1000 GRW ETF		None	L	T	Buy (add'l)	04/01/20	J		
46. Morgan Stanley IRA-Ishare Russell 2000 Growth ETF	A	Dividend	J	T	Buy	01/22/20	J		
47. Morgan Stanley IRA-Ishare Russell 2000 Growth ETF		None			Sold (part)	04/01/20	J		
48. Morgan Stanley IRA-Ishare Russell 2000 Value ETF	A	Dividend	J	T	Buy	01/22/20	J		
49. Morgan Stanley IRA-Ishare Russell 2000 Value ETF		None			Sold (part)	04/01/20	J		
50. Morgan Stanley IRA-Ishare Russell MIDCAP G ETF	A	Dividend	J	T	Buy	01/22/20	J		
51. Morgan Stanley IRA-Ishare Russell MIDCAP G ETF		None			Buy (add'l)	04/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Morgan Stanley IRA-Ishare Russell MIDCAP V ETF	A	Dividend	J	T	Buy	01/22/20	J		
53. Morgan Stanley IRA-Ishare Russell MIDCAP V ETF		None			Buy (add'l)	04/01/20	J		
54. Morgan Stanley IRA-SCH FND LG ETF	A	Dividend	K	T	Buy	01/22/20	K		
55. Morgan Stanley IRA-SCH FND LG ETF		None			Sold (part)	04/01/20	J		
56. Morgan Stanley IRA-SPDR S&P Dividend	A	Dividend	K	T	Buy	04/01/20	K		
57. Morgan Stanley IRA-SPDR S&P Dividend		None			Sold (part)	04/01/20	J		
58. Morgan Stanley IRA-Vanguard FTSE Europe ETF	A	Dividend	K	T	Buy	01/22/20	K		
59. Morgan Stanley IRA-Vanguard FTSE Europe ETF		None			Buy (add'l)	04/01/20	J		
60. Wells Fargo Savings	A	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

JORGENSEN, CINDY K.

Date of Report

04/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CINDY K. JORGENSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544