

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Juneau, Michael J.	2. Court or Organization Western District of Louisiana	3. Date of Report 08/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 800 Lafayette Street, Suite 4200 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	☐ Trust #3
2.	Co-tTrustee	☐ Trust #4
3.	Co-Trustee	☐ Trust #5
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Juneau, Michael J.

Date of Report

08/14/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Juneau, Michael J.	08/14/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. YACKX mutual fund	D	Distribution	N	T					
2. YACKX					Buy (add'l)	03/05/20	J		
3. YACKX					Buy (add'l)	03/23/20	J		
4. YACKX					Buy (add'l)	03/25/20	K		
5. GBLFX mutual fund	D	Distribution	M	T					
6. GBLFX					Buy (add'l)	07/20/20	J		
7. HASCX mutual funda	D	Distribution	L	T					
8. HASCX					Buy (add'l)	07/16/20	K		
9. HASCX					Buy (add'l)	07/20/20	J		
10. ODVYX mutual fund					Sold	03/23/20	M	D	
11. IVWIX mutual fund	E	Distribution	O	T					
12. IVWIX					Buy (add'l)	03/23/20	N		
13. IVWIX					Buy (add'l)	03/25/20	L		
14. IVWIX					Buy (add'l)	07/20/20	J		
15. PRWCX mutual und	D	Distribution	O	T					
16. PRWCX					Buy (add'l)	07/20/20	J		
17. LPXIX mutual fund	D	Distribution	L	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. LPXIX					Buy	07/16/20	K		
19. LPXIX					Buy (add'l)	07/20/20	J		
20. TEBAX mutual fund	E	Distribution	O	T					
21. TEBAX					Buy	03/23/20	N		
22. TEBAX					Buy (add'l)	03/24/20	N		
23. TEBAX					Buy (add'l)	03/25/20	M		
24. PIMIX mutual fund	D	Distribution	L	T					
25. PIMIX					Buy	07/16/20	K		
26. PIMIX					Buy (add'l)	07/20/20	J		
27. TRBCX mutual fund					Sold	03/23/20	K	B	
28. VWIAX mutual fund	C	Distribution	L	T					
29. ABNFX mutual fund	C	Distribution	L	T					
30. ABNFX					Buy	07/16/20	L		
31. ABNFX					Buy (add'l)	07/20/20	J		
32. NFFFX mutual fund	E	Distribution	N	T					
33. NFFFX					Buy	03/23/20	M		
34. NFFFX					Buy (add'l)	03/25/20	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. NFFFX					Buy (add'l)	07/16/20	J		
36. NFFFX					Buy (add'l)	07/20/20	J		
37. IWP ETF					Sold	03/23/20	N	E	
38. AOM ETF					Sold	03/24/20	N	E	
39. AOR ETF					Sold	03/23/20	N	E	
40. SHM ETF					Sold	03/23/20	N	E	
41. VNQ ETF					Sold	03/24/20	L	D	
42. VB ETF	C	Distribution	M	T					
43. VB					Buy (add'l)	01/08/20	K		
44. VB					Buy (add'l)	03/05/20	J		
45. VB					Buy (add'l)	03/23/20	L		
46. VO ETF	A	Distribution	O	T					
47. VO					Buy	03/23/20	N		
48. DODIX mutual fund	E	Distribution	N	T					
49. DODIX					Sold (part)	03/24/20	N	E	
50. DODIX					Buy (add'l)	07/06/20	K		
51. DODIX					Buy (add'l)	07/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Juneau, Michael J.

Date of Report

08/14/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. DODIX					Buy (add'l)	07/20/20	J		
53. SGIIX mutual fund	D	Distribution	O	T					
54. SGIIX					Buy (add'l)	03/05/20	J		
55. SGIIX					Buy (add'l)	03/23/20	J		
56. SGIIX					Buy (add'l)	03/25/20	L		
57. SGIIX					Buy (add'l)	07/20/20	K		
58. JBALX mutual fund	D	Distribution	N	T					
59. JBALX					Buy	03/05/20	J		
60. JBALX					Buy (add'l)	03/23/20	M		
61. JBALX					Buy (add'l)	03/25/20	L		
62. MMIX mutual fund	D	Distribution	M	T					
63. MMIX					Buy	03/23/20	L		
64. MMIX					Buy (add'l)	03/25/20	K		
65. FLTRX mutual fund					Sold	03/23/20	O	E	
66. NUVBX mutual fund					Sold	03/24/20	M	E	
67. OAYLX mutual fund					Sold	03/24/20	N	E	
68. NVHIX mutual fund					Sold	03/23/20	N	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. JTFYX mutual fund					Sold	03/24/20	L	D	
70. PRSMX mutual fund					Sold	03/23/20	N	D	
71. TGEIX mutual fund	D	Distribution	N	T					
72.					Sold (part)	03/24/20	K	B	
73. TGEIX					Buy (add'l)	03/25/20	J		
74. TGEIX					Buy (add'l)	07/16/20	K		
75. TGEIX					Buy (add'l)	07/20/20	J		
76. VWIUX mutual fund					Sold	03/23/20	N	E	
77. EISMX mutual fund	C	Distribution	M	T					
78. EISMX					Buy (add'l)	07/16/20	J		
79. EISMX					Buy (add'l)	07/20/20	J		
80. TRBCX mutual fund	D	Distribution	N	T					
81. TRBCX					Buy	03/23/20	L		
82. TRBCX					Buy (add'l)	03/25/20	K		
83. LTMIX mutual fuind	E	Distribution	O	T					
84. LTMIX					Buy	03/23/20	O		
85. LTMIX					Buy (add'l)	03/25/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Juneau, Michael J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. CMAX mutual fund	B	Distribution	J	T					
87. CMAX					Buy	03/25/20	J		
88. CITAX mutual fund	B	Distribution	J	T					
89. CFAAX mutual fund	B	Distribution	J	T					
90. CIRAX mutual fund	B	Distribution	J	T					
91. CCWAX mutual fund	A	Distribution	J	T					
92. CIMAX mutual fund	B	Distribution	J	T					
93. Chase Bank cash accounts	A	Interest	O	T					
94. Capital One Bank cash account	A	Interest	J	T					
95. Wells Fargo cash account	A	Interest	L	T					
96. VWIUX mutual fund	C	Dividend	M	T					
97. VVWIX					Sold (part)	02/07/20	L	B	
98. VWIUX					Sold (part)	03/24/20	L	B	
99. VWIUX					Sold (part)	09/14/20	M	C	
100. VWIUX					Sold (part)	11/16/20	M	A	
101. VWIUX					Buy (add'l)	12/30/20	K		
102. VTTVX mutual fund		None	O	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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103. VSMAX mutual fund					Redeemed	09/14/20	M	D	
104. GABAX mutual fund					Redeemed	02/20/20	M	E	
105. Prudential Whole Life Policies	A	None	M	T					
106. NW Mutual Whole Life Policy	A	None	K	T					
107. Ameritas Whole Life Policies	B	None	L	T					
108.									
109.									
110.									
111.									
112.									
113.									
114.									
115.									
116.									
117.									
118.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

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P2 =\$5,000,001 - \$25,000,000

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael J. Juneau**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544