

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Kallon, Abdul K.                                                                                                                     | <b>2. Court or Organization</b><br><br>Northern District of Alabama                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>05/15/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U. S. District Judge                                                          | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
| <b>7. Chambers or Office Address</b><br><br>419 Hugo Black United States Courthouse<br>1729 Fifth Avenue North<br>Birmingham, AL 35203                                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u>            |
|-----------------|-----------------------------------------------|
| 1. Director     | Children's Village Board of Directors         |
| 2. Director     | Bethel Baptist Historic Renovation Foundation |
| 3. Director     | Habitat for Humanity Birmingham               |
| 4. Advisor      | Robert R. Meyer Foundation Advisory Committee |
| 5. Director     | Sister Cities Commission of Birmingham        |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|-------------|------------------------|-------------------------------------------------------|
| 1.          |                        |                                                       |
| 2.          |                        |                                                       |
| 3.          |                        |                                                       |
| 4.          |                        |                                                       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                 |
|-------------|----------------------------------------|
| 1. 2020     | United States Federal Courts -- salary |
| 2.          |                                        |
| 3.          |                                        |
| 4.          |                                        |

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Kallon, Abdul K.         | 05/15/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Regions Bank Account 1                                                                                                  | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 2. Regions Bank Account 2                                                                                                  | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. Regions Bank Account 3                                                                                                  | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Bank of America Account                                                                                                 | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. American Express Bank                                                                                                   | A                                      | Interest                               |                                                |                                    | Closed                                    | 02/13/20         | J                        |                         |                                                            |
| 6. Bank of America Account 2                                                                                               |                                        | None                                   | J                                              | T                                  | Open                                      | 02/13/20         | J                        |                         |                                                            |
| 7. Goldman Sachs Marcus Bank                                                                                               | B                                      | Interest                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. Rental Property, Birmingham, AL (2015<br>\$617,000)                                                                     | D                                      | Rent                                   | O                                              | R                                  |                                           |                  |                          |                         |                                                            |
| 9. Merrill Edge CMA Account (H)                                                                                            |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 10. --Amazon.Com                                                                                                           |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 11. --Berkshire Hathaway Class B                                                                                           |                                        | None                                   | M                                              | T                                  | Buy<br>(add'l)                            | 03/19/20         | K                        |                         |                                                            |
| 12. --General Electric                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. --Google Common Stock -- Class A                                                                                       |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. --Google Class C                                                                                                       |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. --Mueller Water Products                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. --Senseonics Holdings                                                                                                  |                                        | None                                   | J                                              | T                                  | Buy                                       | 06/08/20         | J                        |                         |                                                            |
| 17.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/11/20         | J                        |                         |                                                            |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. --Vulcan Materials Common Stock                                                                                        | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. --Merril Lynch Direct Deposit                                                                                          | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Schwab Brokerage IRA (H)                                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 21. --Vanguard 500 Index Fund                                                                                              | D                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. --Vanguard Mid-Cad Index Fund                                                                                          | C                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. --Vanguard Small Cap Growth Index Fund                                                                                 | B                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. --Vanguard Total Int'l Stock Index Fund                                                                                | C                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. --Vanguard Wellington Fund                                                                                             | E                                      | Dividend                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. Vanguard Roth IRA (H)                                                                                                  |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 27. --Vanguard 500 Index Fund                                                                                              | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. --Vanguard Total Int'l Stock Index Fund                                                                                | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Vanguard Brokerage Account (H)                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 30. --Total Stock Market Index Fund                                                                                        | B                                      | Dividend                                      | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. Merrill Edge Account (H)                                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32. --Alibaba                                                                                                              |                                        | None                                          | K                                              | T                                         | Buy<br>(add'l)                                  | 03/20/20                | J                               |                                |                                                                   |
| 33.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/11/20                | J                               |                                |                                                                   |
| 34. --Amazon                                                                                                               |                                        | None                                          | O                                              | T                                         | Buy<br>(add'l)                                  | 01/30/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/12/20         | J                        |                         |                                                            |
| 36.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/18/20         | J                        | C                       |                                                            |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/08/20         | J                        |                         |                                                            |
| 38.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/29/20         | J                        |                         |                                                            |
| 39.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/14/20         | J                        |                         |                                                            |
| 40.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/23/20         | J                        |                         |                                                            |
| 41.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/27/20         | J                        |                         |                                                            |
| 42.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/29/20         | J                        |                         |                                                            |
| 43.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/03/20         | J                        |                         |                                                            |
| 44.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/08/20         | J                        |                         |                                                            |
| 45.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/11/20         | J                        |                         |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/16/20         | J                        |                         |                                                            |
| 47.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/22/20         | J                        |                         |                                                            |
| 48.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/11/20         | J                        |                         |                                                            |
| 49.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/18/20         | J                        |                         |                                                            |
| 50. --Apple                                                                                                                | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 03/20/20         | J                        |                         |                                                            |
| 51. --Bank of America                                                                                                      | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 03/19/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/25/20         | J                        |                         |                                                            |
| 53.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/29/20         | J                        |                         |                                                            |
| 54.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/02/20         | J                        |                         |                                                            |
| 55. --Berkshire Hathaway                                                                                                   |                                        | None                                   | K                                              | T                                  | Buy                                       | 03/24/20         | J                        |                         |                                                            |
| 56.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/16/20         | J                        |                         |                                                            |
| 57.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/04/20         | J                        |                         |                                                            |
| 58. --China Mobile                                                                                                         | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. China Telecom                                                                                                          | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 60. --Cisco                                                                                                                | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 04/22/20         | J                        |                         |                                                            |
| 61. --Clearbridge Aggressive Growth Fund                                                                                   | D                                      | Distribution                           | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62.                                                                                                                        | A                                      | Dividend                               |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 63. --Clearbridge Large Cap Growth                                                                                         | B                                      | Distribution                           | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 64.                                                                                                                        | A                                      | Dividend                               |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 65. --Clearbridge Large Cap Value                                                                                          | B                                      | Distribution                           | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 66.                                                                                                                        | A                                      | Dividend                               |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 67. --Designer Brands (formerly DSW)                                                                                       |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 68. --Dunkin                                                                                                               | A                                      | Dividend                               |                                                |                                    | Distributed                               | 12/18/20         | J                        | D                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 69. --Ebay                                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. --Facebook                                                                                                             |                                        | None                                          | M                                              | T                                         | Buy<br>(add'l)                                  | 03/26/20                | J                               |                                |                                                                   |
| 71.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/29/20                | J                               |                                |                                                                   |
| 72. --First Solar                                                                                                          |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. --Ford                                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. --Goldman Sachs                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. --Google Class A                                                                                                       |                                        | None                                          | M                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. --Google Class C                                                                                                       |                                        | None                                          | M                                              | T                                         | Buy<br>(add'l)                                  | 02/03/20                | J                               |                                |                                                                   |
| 77.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/06/20                | J                               |                                |                                                                   |
| 78.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/20/20                | J                               |                                |                                                                   |
| 79.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 04/29/20                | J                               |                                |                                                                   |
| 80.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 06/16/20                | J                               |                                |                                                                   |
| 81.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 08/03/20                | J                               |                                |                                                                   |
| 82.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/08/20                | J                               |                                |                                                                   |
| 83.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/18/20                | J                               |                                |                                                                   |
| 84.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/09/20                | J                               |                                |                                                                   |
| 85.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 12/15/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. --General Electric                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 87. --JP Morgan Chase                                                                                                      | A                                      | Dividend                               | J                                              | T                                  | Buy<br>(add'l)                            | 03/06/20         | J                        |                         |                                                            |
| 88.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/09/20         | J                        |                         |                                                            |
| 89. --Lam Research                                                                                                         |                                        |                                        | J                                              | T                                  | Buy                                       | 11/04/20         | J                        |                         |                                                            |
| 90. --Lord Abbett Intermediate Tax Free                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 91. --Nissan                                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 92. --Pfizer                                                                                                               | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 93. --Proctor & Gamble                                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 94. --Regions                                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 95. --Republic Bancorp                                                                                                     | A                                      | Dividend                               | K                                              | T                                  | Buy<br>(add'l)                            | 03/26/20         | J                        |                         |                                                            |
| 96. --Teladoc                                                                                                              |                                        | None                                   | K                                              | T                                  | Buy<br>(add'l)                            | 01/14/20         | J                        |                         |                                                            |
| 97.                                                                                                                        |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/27/20         | J                        |                         |                                                            |
| 98.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/19/20         | J                        | B                       |                                                            |
| 99.                                                                                                                        |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 03/20/20         | J                        | B                       |                                                            |
| 100.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/20/20         | J                        |                         |                                                            |
| 101.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/24/20         | J                        |                         |                                                            |
| 102.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/02/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/15/20         | J                        |                         |                                                            |
| 104.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/05/20         | J                        |                         |                                                            |
| 105.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 06/15/20         | J                        | A                       |                                                            |
| 106.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/01/20         | J                        | A                       |                                                            |
| 107.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/02/20         | J                        | B                       |                                                            |
| 108.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/16/20         | J                        | A                       |                                                            |
| 109.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/06/20         | J                        |                         |                                                            |
| 110.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/26/20         | J                        |                         |                                                            |
| 111.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/11/20         | J                        |                         |                                                            |
| 112.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/28/20         | J                        |                         |                                                            |
| 113. --Tesla                                                                                                               |                                        | None                                   | J                                              | T                                  | Buy<br>(add'l)                            | 05/01/20         | J                        |                         |                                                            |
| 114.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/06/20         | J                        | A                       |                                                            |
| 115.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 07/16/20         | J                        | A                       |                                                            |
| 116.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/14/20         | J                        |                         |                                                            |
| 117.                                                                                                                       |                                        |                                        |                                                |                                    | Sold<br>(part)                            | 12/28/20         | J                        | C                       |                                                            |
| 118. --Tocqueville Int'l Value                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 119. --Toyota                                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. --Twitter                                                                                                             |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 121. --Valero Energy                                                                                                       |                                        |                                        |                                                |                                    | Buy                                       | 12/04/20         | J                        |                         |                                                            |
| 122. --Vanguard FTSE Emerging                                                                                              | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 123. --Vanguard Dividend Growth                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 124. --Vanguard 500 Index                                                                                                  | B                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 01/07/20         | J                        |                         |                                                            |
| 125.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/22/20         | J                        |                         |                                                            |
| 126.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/04/20         | J                        |                         |                                                            |
| 127.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/18/20         | J                        |                         |                                                            |
| 128.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 129.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/17/20         | J                        |                         |                                                            |
| 130.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/02/20         | J                        |                         |                                                            |
| 131.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/06/20         | J                        |                         |                                                            |
| 132.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/20/20         | J                        |                         |                                                            |
| 133.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/04/20         | J                        |                         |                                                            |
| 134.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/18/20         | J                        |                         |                                                            |
| 135.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/09/20         | J                        |                         |                                                            |
| 136.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/22/20         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/06/20         | J                        |                         |                                                            |
| 138.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/20/20         | J                        |                         |                                                            |
| 139.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/03/20         | J                        |                         |                                                            |
| 140.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/17/20         | J                        |                         |                                                            |
| 141.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/08/20         | J                        |                         |                                                            |
| 142.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/21/20         | J                        |                         |                                                            |
| 143.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/06/20         | J                        |                         |                                                            |
| 144.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/19/20         | J                        |                         |                                                            |
| 145.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/02/20         | J                        |                         |                                                            |
| 146.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/16/20         | J                        |                         |                                                            |
| 147.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/07/20         | J                        |                         |                                                            |
| 148.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/21/20         | J                        |                         |                                                            |
| 149. --Vanguard Small Cap                                                                                                  | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 150. --Verizon                                                                                                             | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 151. --Viatris Inc                                                                                                         |                                        |                                        | J                                              | T                                  | Spinoff<br>(from line 92)                 | 11/16/20         | J                        |                         |                                                            |
| 152. --WAB Tech                                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 153. Wells Fargo Small Cap World Fund                                                                                      | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. CollegeCounts 529 Plan -- (H)                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 155. --Vanguard 500 Index 529                                                                                              |                                        | None                                   | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 156.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/14/20         | J                        |                         |                                                            |
| 157.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/28/20         | J                        |                         |                                                            |
| 158.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/14/20         | J                        |                         |                                                            |
| 159.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/28/20         | J                        |                         |                                                            |
| 160.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/16/20         | J                        |                         |                                                            |
| 161.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/30/20         | J                        |                         |                                                            |
| 162.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/14/20         | J                        |                         |                                                            |
| 163.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/28/20         | J                        |                         |                                                            |
| 164.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/14/20         | J                        |                         |                                                            |
| 165.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/28/20         | J                        |                         |                                                            |
| 166.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/15/20         | J                        |                         |                                                            |
| 167.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/29/20         | J                        |                         |                                                            |
| 168.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/14/20         | J                        |                         |                                                            |
| 169.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/28/20         | J                        |                         |                                                            |
| 170.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/14/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/28/20         | J                        |                         |                                                            |
| 172.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/14/20         | J                        |                         |                                                            |
| 173.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/28/20         | J                        |                         |                                                            |
| 174.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/14/20         | J                        |                         |                                                            |
| 175.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/28/20         | J                        |                         |                                                            |
| 176.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/16/20         | J                        |                         |                                                            |
| 177.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/30/20         | J                        |                         |                                                            |
| 178.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/14/20         | J                        |                         |                                                            |
| 179.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/28/20         | J                        |                         |                                                            |
| 180.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/29/20         | J                        |                         |                                                            |
| 181. --Vanguard Small-Cap Growth Index 529                                                                                 |                                        | None                                   | J                                              | T                                  | Buy                                       | 12/23/20         | J                        |                         |                                                            |
| 182. --Vanguard Total International Stock Index 529                                                                        |                                        | None                                   | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 183. CollegeCounts 529 Plan -- (H)                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 184. --Vanguard 500 Index 529                                                                                              |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 185.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/07/20         | J                        |                         |                                                            |
| 186.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/14/20         | J                        |                         |                                                            |
| 187.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/21/20         | J                        |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/28/20         | J                        |                         |                                                            |
| 189.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/04/20         | J                        |                         |                                                            |
| 190.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/11/20         | J                        |                         |                                                            |
| 191.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/18/20         | J                        |                         |                                                            |
| 192.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/25/20         | J                        |                         |                                                            |
| 193.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 194.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/17/20         | J                        |                         |                                                            |
| 195.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/24/20         | J                        |                         |                                                            |
| 196.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 197.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/20         | J                        |                         |                                                            |
| 198.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/14/20         | J                        |                         |                                                            |
| 199.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/21/20         | J                        |                         |                                                            |
| 200.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/28/20         | J                        |                         |                                                            |
| 201.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/05/20         | J                        |                         |                                                            |
| 202.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/12/20         | J                        |                         |                                                            |
| 203.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/19/20         | J                        |                         |                                                            |
| 204.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/26/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/20         | J                        |                         |                                                            |
| 206.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/09/20         | J                        |                         |                                                            |
| 207.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/16/20         | J                        |                         |                                                            |
| 208.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/23/20         | J                        |                         |                                                            |
| 209.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/30/20         | J                        |                         |                                                            |
| 210.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/07/20         | J                        |                         |                                                            |
| 211.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/14/20         | J                        |                         |                                                            |
| 212.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 213.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/28/20         | J                        |                         |                                                            |
| 214.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | J                        |                         |                                                            |
| 215.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/11/20         | J                        |                         |                                                            |
| 216.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/18/20         | J                        |                         |                                                            |
| 217.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/25/20         | J                        |                         |                                                            |
| 218.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/01/20         | J                        |                         |                                                            |
| 219.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/08/20         | J                        |                         |                                                            |
| 220.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/15/20         | J                        |                         |                                                            |
| 221.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/22/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/29/20         | J                        |                         |                                                            |
| 223.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/05/20         | J                        |                         |                                                            |
| 224.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/12/20         | J                        |                         |                                                            |
| 225.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/19/20         | J                        |                         |                                                            |
| 226.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/26/20         | J                        |                         |                                                            |
| 227.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/03/20         | J                        |                         |                                                            |
| 228.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/10/20         | J                        |                         |                                                            |
| 229.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/17/20         | J                        |                         |                                                            |
| 230.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/24/20         | J                        |                         |                                                            |
| 231.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/01/20         | J                        |                         |                                                            |
| 232.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/08/20         | J                        |                         |                                                            |
| 233.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/15/20         | J                        |                         |                                                            |
| 234.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/22/20         | J                        |                         |                                                            |
| 235.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/29/20         | J                        |                         |                                                            |
| 236. --Vanguard Growth Index 529                                                                                           |                                        | None                                   | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 237.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/07/20         | J                        |                         |                                                            |
| 238.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/14/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 239.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/21/20         | J                        |                         |                                                            |
| 240.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 01/28/20         | J                        |                         |                                                            |
| 241.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/04/20         | J                        |                         |                                                            |
| 242.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/11/20         | J                        |                         |                                                            |
| 243.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/18/20         | J                        |                         |                                                            |
| 244.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 02/25/20         | J                        |                         |                                                            |
| 245.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/03/20         | J                        |                         |                                                            |
| 246.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/10/20         | J                        |                         |                                                            |
| 247.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/17/20         | J                        |                         |                                                            |
| 248.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/24/20         | J                        |                         |                                                            |
| 249.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 03/31/20         | J                        |                         |                                                            |
| 250.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/07/20         | J                        |                         |                                                            |
| 251.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/14/20         | J                        |                         |                                                            |
| 252.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/21/20         | J                        |                         |                                                            |
| 253.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 04/28/20         | J                        |                         |                                                            |
| 254.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/05/20         | J                        |                         |                                                            |
| 255.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/12/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 256.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/19/20         | J                        |                         |                                                            |
| 257.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 05/26/20         | J                        |                         |                                                            |
| 258.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/02/20         | J                        |                         |                                                            |
| 259.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/09/20         | J                        |                         |                                                            |
| 260.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/16/20         | J                        |                         |                                                            |
| 261.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 06/23/20         | J                        |                         |                                                            |
| 262.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/07/20         | J                        |                         |                                                            |
| 263.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/14/20         | J                        |                         |                                                            |
| 264.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/21/20         | J                        |                         |                                                            |
| 265.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 07/28/20         | J                        |                         |                                                            |
| 266.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/04/20         | J                        |                         |                                                            |
| 267.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/11/20         | J                        |                         |                                                            |
| 268.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/18/20         | J                        |                         |                                                            |
| 269.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 08/25/20         | J                        |                         |                                                            |
| 270.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/02/20         | J                        |                         |                                                            |
| 271.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/15/20         | J                        |                         |                                                            |
| 272.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/22/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 273.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 09/29/20         | J                        |                         |                                                            |
| 274.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/06/20         | J                        |                         |                                                            |
| 275.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/12/20         | J                        |                         |                                                            |
| 276.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/20/20         | J                        |                         |                                                            |
| 277.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 10/27/20         | J                        |                         |                                                            |
| 278.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/03/20         | J                        |                         |                                                            |
| 279.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/10/20         | J                        |                         |                                                            |
| 280.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/17/20         | J                        |                         |                                                            |
| 281.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 11/24/20         | J                        |                         |                                                            |
| 282.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/01/20         | J                        |                         |                                                            |
| 283.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/08/20         | J                        |                         |                                                            |
| 284.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/15/20         | J                        |                         |                                                            |
| 285.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/22/20         | J                        |                         |                                                            |
| 286.                                                                                                                       |                                        |                                        |                                                |                                    | Buy<br>(add'l)                            | 12/29/20         | J                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

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B =\$1,001 - \$2,500

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R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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S =Assessment

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P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I inadvertently omitted the 529 plans (lines 154 - 286) in prior filings. Here is the relevant information for the two plans for the years omitted:

1. College Counts 529 [REDACTED] -- opened on June 27, 2017. Funded at the J value code based on the T value method code as follows:

(A) 2017 -- Vanguard 500 Index 529: June 27; July 14 & 28; Aug. 14 & 28; Sept. 14 & 28; Oct. 16 & 30; Nov. 14 & 28; Dec. 5, 14, 19, 26, & 28. Vanguard Total International Stock Index 529: Dec. 26 only.

(B) 2018 -- Vanguard 500 Index 529: Jan 16 & 29; Feb. 14 & 28; March 14 & 28; April 16 & 30; May 14 & 29; June 14 & 28; July 16 & 30; Aug. 14 & 28; Sept. 14 & 28; Oct. 15 & 29; Nov. 14 & 28; Dec. 12, 14, & 28. Vanguard Total International Stock Index 529: Aug. 27 only.

(C) 2019 -- Jan 14 & 28; Feb. 14 & 28; March 14 & 28; Apr. 15 & 29; May 14 & 28; June 14 & 28; July 15 & 29; Aug. 14 & 28; Sept. 16 & 30; Oct. 14 & 28; Nov. 14 & 29; Dec. 16 & 30. Vanguard Total International Stock Index 529: Dec. 30 only.

Value code for the Vanguard 500 Index 529 Fund at end of 2017 was J, and K for 2018 and 2019, using the T value method. J value code for the Total International Stock Index 529 Fund for 2017, 2018, and 2019.

2. College Counts 529 [REDACTED] -- opened on September 23, 2019. Funded at the J value code based on the T value method code as follows: (A) Vanguard Growth Index 529: Sept. 23 & 24; Oct. 1, 8, 14, 22, & 29; Nov. 5, 13, 19, & 26; Dec. 3, 10, 17, 24, 30, & 31; (B) Vanguard 500 Index 529: Sept. 23 & 24; Oct. 1, 8, 14, 22, & 29; Nov. 5, 13, 19, & 26; Dec. 3, 10, 17, 24, 30, & 31. At the end of the year, the Growth Index 529 and the 500 Index 529 each had a value code of J.

# FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

Kallon, Abdul K.

Date of Report

05/15/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Abdul K. Kallon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544