

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Timothy J.	2. Court or Organization U.S. District Court for the District of Columbia	3. Date of Report 03/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Prettyman U.S. Federal Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	(salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. [REDACTED]	Tuition Agreement	None
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash)	A	Interest	K	T					
3. Account #1 (H)									
4. Wells Fargo Bank Standard Deposit (cash) (X)	A	Interest	J	T					
5. Invesco Tr II Emerging Mkts Sovereign ETF (PCY)	A	Dividend	J	T	Buy	11/10/20	J		
6. Ishares Russell 2000 ETF (IWM)	A	Dividend	K	T	Buy	11/10/20	K		
7. Ishares Core S&P Mid ETF (IJH)	A	Dividend	J	T	Buy	11/10/20	J		
8. Ishares Core S&P 500 Index Fd (IVV)	A	Dividend	K	T	Buy	11/10/20	K		
9. Ishares Core U.S. Aggregate Bond ETF (AGG)	A	Dividend	K	T	Buy	11/10/20	K		
10. Ishares Iboxx High Yield Corp Bond ETF (HYG)	A	Dividend	J	T	Buy	11/10/20	J		
11. Ishares S&P 500 Growth ETF (IVW)	A	Dividend	K	T	Buy	11/10/20	K		
12. Ishares S&P 500 Value ETF (IVE)	A	Dividend	K	T	Buy	11/10/20	K		
13. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend	K	T	Buy	11/10/20	K		
14. Vanguard Intl Equity Index Fds Ftse Emerging Mkts ETF (VWO)	A	Dividend	K	T	Buy	11/10/20	K		
15. Vanguard Mid Cap ETF (VO)	A	Dividend	K	T	Buy	11/10/20	K		
16. Baron Select Funds Emerging Markets Fd Instl Shs (BEXIX)		None			Buy	06/16/20	J		
17.					Buy (add'l)	06/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18.					Sold	11/10/20	K	C	
19. Eagle Small Cap Growth Fund I (HSIIX)		None			Sold	11/10/20	J	B	
20. Dodge & Cox FDS Intl Stk Fd (DODFX)		None			Sold	06/16/20	J	A	
21. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend			Sold	11/10/20	J	A	
22. AMG Times Square Mid Cap Growth Fund N (TQMIX)		None			Sold	11/10/20	J	B	
23. John Hancock Classic Value Fund I (JCVIX)		None			Buy (add'l)	04/24/20	J		
24.					Sold	06/16/20	J	B	
25. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend			Buy (add'l)	06/16/20	J		
26.					Sold	11/10/20	K	B	
27. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)		None			Sold	06/16/20	J	A	
28. MFS Value Fund Class I (MEIIX)	A	Dividend			Buy (add'l)	06/16/20	J		
29.					Sold	11/10/20	K	B	
30. MFS Emerging Markets Debt I (MEDIX)	A	Dividend			Sold	11/10/20	J	A	
31. MainStay Large Cap Growth Fund I (MLAIX)		None			Sold (part)	04/24/20	J	A	
32.					Sold	11/10/20	J	C	
33. Wells Fargo Emerging Growth Fund I (WEMIX)		None			Sold	11/10/20	J	B	
34. Invesco Oppenheimer Developing Markets Fund Y (ODVYX)		None			Sold	11/10/20	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. T Rowe Price Intl Fd Overseas Stk Fd (TROX)		None			Buy (add'l)	06/16/20	J		
36.					Sold	11/10/20	K	B	
37. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX)	A	Dividend			Sold	11/10/20	J	A	
38. Victory Sycamore Small Company Opportunity Fund I (VSOIX)		None			Buy (add'l)	04/24/20	J		
39.					Sold	11/10/20	K	B	
40. Voya Large-Cap Growth Fund I (PLCIX)		None			Sold (part)	04/24/20	J	A	
41.					Sold	11/10/20	J	A	
42. Wells Fargo Core Bond Fund I (MBFIX)	A	Dividend			Sold (part)	04/24/20	J	A	
43.					Sold	11/10/20	J	A	
44. Account #2 (H)									
45. Ishares Russell 2000 ETF (IWM)	A	Dividend	J	T	Buy	11/10/20	J		
46. Ishares Core S&P Mid ETF (IJH)	A	Dividend	J	T	Buy	11/10/20	J		
47. Ishares Core S&P 500 Index Fd (IVV)	A	Dividend	J	T	Buy	11/10/20	J		
48. Ishares Core U.S. Aggregate Bond ETF (AGG)	A	Dividend	J	T	Buy	11/10/20	J		
49. Ishares S&P 500 Growth ETF (IVW)	A	Dividend	J	T	Buy	11/10/20	J		
50. Ishares S&P 500 Value ETF (IVE)	A	Dividend	J	T	Buy	11/10/20	J		
51. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend	J	T	Buy	11/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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52. Vanguard Intl Equity Index Fds Ftse Emerging Mkts ETF (VWO)	A	Dividend	J	T	Buy	11/10/20	J		
53. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T	Buy	11/10/20	J		
54. Baron Select Funds Emerging Markets Fd Instl Shs (BEXIX)		None			Buy	07/16/20	J		
55.					Sold	11/10/20	J	A	
56. Eagle Small Cap Growth Fund I (HSIIX) (X)		None			Sold	11/10/20	J	A	
57. Dodge & Cox FDS Intl Stk Fd (DODFX)		None			Sold	06/16/20	J		
58. AMG Times Square Mid Cap Growth Fund N (TQMIX)		None			Sold	11/10/20	J	A	
59. John Hancock Classic Value Fund I (JCVIX)		None			Sold	06/16/20	J		
60. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend			Sold	11/10/20	J	A	
61. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)		None			Sold	06/16/20	J		
62. MFS Value Fund Class I (MEIIX)	A	Dividend			Sold	11/10/20	J	A	
63. MainStay Large Cap Growth Fund I (MLAIX)		None			Sold	11/10/20	J	A	
64. Wells Fargo Emerging Growth Fund I (WEMIX) (X)		None			Sold	11/10/20	J	A	
65. Invesco Oppenheimer Developing Markets Fund Y (ODVYX)		None			Sold	11/10/20	J	A	
66. T Rowe Price Intl Fd Overseas Stk Fd (TROSX)		None			Buy (add'l)	06/16/20	J		
67.					Sold	11/10/20	J	A	
68. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX)	A	Dividend			Sold	11/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. Victory Sycamore Small Company Opportunity Fund I (VSOIX)		None			Sold	11/10/20	J		
70. Voya Large-Cap Growth Fund I (PLCIX)		None			Sold	11/10/20	J	A	
71. Account #3 (H)									
72. Invesco Tr II Emerging Mkts Sovereign ETF (PCY)	A	Dividend	J	T	Buy	11/10/20	J		
73. Ishares Russell 2000 ETF (IWM)	A	Dividend	J	T	Buy	11/10/20	J		
74. Ishares Core S&P Mid ETF (IJH)	A	Dividend	J	T	Buy	11/10/20	J		
75. Ishares Core S&P 500 Index Fd (IVV)	A	Dividend	J	T	Buy	11/10/20	J		
76. Ishares Core U.S. Aggregate Bond ETF (AGG)	A	Dividend	J	T	Buy	11/10/20	J		
77. Ishares Iboxx High Yield Corp Bond ETF (HYG)	A	Dividend	J	T	Buy	11/10/20	J		
78. Ishares S&P 500 Growth ETF (IVW)	A	Dividend	J	T	Buy	11/10/20	J		
79. Ishares S&P 500 Value ETF (IVE)	A	Dividend	J	T	Buy	11/10/20	J		
80. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend	J	T	Buy	11/10/20	J		
81. Vanguard Intl Equity Index Fds Ftse Emerging Mkts ETF (VWO)	A	Dividend	J	T	Buy	11/10/20	J		
82. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T	Buy	11/10/20	J		
83. Baron Select Funds Emerging Markets Fd Instl Shs (BEXIX)		None			Buy	06/16/20	J		
84.					Sold	11/10/20	J	A	
85. Eagle Small Cap Growth Fund I (HSIIX)		None			Sold	11/10/20	J	A	

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86. Dodge & Cox FDS Intl Stk Fd (DODFX)		None			Sold	06/16/20	J		
87. Eaton Vance Income Fund of Boston I (EIBIX)	A	Dividend			Sold	11/10/20	J	A	
88. AMG Times Square Mid Cap Growth Fund N (TQMIX)		None			Sold	11/10/20	J	A	
89. John Hancock Classic Value Fund I (JCVIX)		None			Sold	06/16/20	J	B	
90. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend			Buy (add'l)	06/16/20	J		
91.					Sold	11/10/20	J	A	
92. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)		None			Sold	06/16/20	J		
93. MFS Value Fund Class I (MEIIX)	A	Dividend			Buy (add'l)	06/16/20	J		
94.					Sold	11/10/20	J	A	
95. MFS Emerging Markets Debt I (MEDIX)	A	Dividend			Sold	11/10/20	J	A	
96. MainStay Large Cap Growth Fund I (MLAIX)		None			Sold (part)	05/19/20	J	A	
97.					Sold	11/10/20	J	A	
98. Wells Fargo Emerging Growth Fund I (WEMIX)		None			Sold	11/10/20	J	A	
99. Oppenheimer Invesco Developing Markets Fund Y (ODVYX)		None			Sold	11/10/20	J	B	
100. T Rowe Price Intl Fd Overseas Stk Fd (TROSX)		None			Buy (add'l)	06/16/20	J		
101.					Sold	11/10/20	J	A	
102. Virtus Asset TR Ceredex Mid Cap Value Equity Fd CL I (SMVTX)	A	Dividend			Sold	11/10/20	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Victory Sycamore Small Company Opportunity Fund I (VSOIX)		None			Sold	11/10/20	J	A	
104. Voya Large-Cap Growth Fund I (PLCIX)		None			Sold	11/10/20	J	B	
105. Wells Fargo Core Bond Fund I (MBFIX)	A	Dividend			Sold	11/10/20	J	A	
106. Account #4 (H)									
107. Vanguard Target Retirement 2035 Trust		None	K	T					
108. Account #5 (H)									
109. Vanguard Target Retirement 2035 Trust		None	L	T					
110. Account #6 (H)									
111. Wells Fargo Bank Standard Deposit (cash) (X)	A	Interest	J	T					
112. Goldman Sachs Activebeta US Large Cap Equity ETF (GSLC)	A	Dividend	J	T	Buy	11/10/20	J		
113. Invesco Optimum Diversified Commodity Strategy No K-1 ETF (PDBC)	A	Dividend	J	T	Buy	11/10/20	J		
114. Ishares PFD & Income Secs ETF (PFF)	A	Dividend	J	T	Buy	11/10/20	J		
115. Ishares Core S&P Tot U.S. Stock Mkt ETF (ITOT)	A	Dividend	J	T	Buy	11/10/20	J		
116. Ishares Core S&P 500 Index Fd ETF (IVV)	A	Dividend	J	T	Buy	11/10/20	J		
117. Ishares Core Total USB Bond Market ETF (IUSB)	A	Dividend	K	T	Buy	11/10/20	K		
118. Ishares Core U.S. Aggregate Bond ETF (AGG)	A	Dividend	L	T	Buy	11/10/20	L		
119.					Buy (add'l)	11/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Ishares Iboxx High Yield Corp Bond ETF (HYG)	A	Dividend	J	T	Buy	11/10/20	J		
121. Ishares JP Morgan USD Emerging Mkts ETF (EMB)	A	Dividend	J	T	Buy	11/10/20	J		
122. Ishares MBS ETF (MBB)	A	Dividend	J	T	Buy	11/10/20	J		
123. Vanguard Intermediate Term Corp Bond ETF (VCIT)	A	Dividend	J	T	Buy	11/10/20	J		
124. Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy	11/10/20	J		
125. Xtrackers USD High Yield Corp Bond ETF (HYLB)	A	Dividend	J	T	Buy	11/10/20	J		
126. SPDR Gold Trust ETF (GLD)		None			Sold	11/10/20	J		
127. Market Vectors Gold Miners ETF (GDX)		None			Sold	11/10/20	J		
128. Diamond Hill Large Cap Fund I (DHLRX)		None			Sold	11/10/20	J	B	
129. Franklin Fed. Intermediate-Term Tax-Free Income Fund Advisor (FITZX)	A	Dividend			Sold (part)	06/19/20	J	A	
130.					Sold	11/10/20	J		
131. Goldman Sachs Investor Tax-Exempt Money Market Fund FST (FTXXX)	A	Dividend			Sold	11/10/20	J		
132. Harbor Small Cap Value Fund Institutional (HASCX)		None			Sold	11/10/20	J	B	
133. Harbor Capital Appreciation Fund Institutional (HACAX)		None			Sold (part)	06/19/20	J	A	
134.					Sold	11/10/20	J	C	
135. American Funds New World Fund F-2 (NFFFX)		None			Sold (part)	08/12/20	J	A	
136.					Sold	11/10/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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137. John Hancock Funds Disciplined Value Mid Cap Fund I (JVMIX)		None			Sold	11/10/20	J	A	
138. MFS Municipal High Income Fund I (MMIIX)	A	Dividend			Sold (part)	08/12/20	J	A	
139.					Sold	11/10/20	K		
140. Nuveen Limited Term Municipal Bond Fund I (FLTRX)	A	Dividend			Sold (part)	06/19/20	J	A	
141.					Sold	11/10/20	K	A	
142. Nuveen Intermediate Duration Municipal Bond Fund I (NUVBX)	A	Dividend			Sold (part)	06/19/20	J	A	
143.					Sold	11/10/20	K	A	
144. Nuveen Real Estate Securities Fund I (FARCX)	A	Dividend			Sold	11/10/20	J		
145. Oakmark International Fund Investor Class (OAYIX)		None			Sold	11/10/20	J	A	
146. T. Rowe Price Equity Income Fund (PRFDX)	A	Dividend			Sold	11/10/20	K		
147. T. Rowe Price Summit Mun Fds Inc-Mun FD (PRSMX)	A	Dividend			Sold (part)	06/19/20	J	A	
148.					Sold	11/10/20	K	A	
149. T. Rowe Price Blue Chip Growth Fund (TRBCX)		None			Sold (part)	06/19/20	J	A	
150.					Sold	11/10/20	J	C	
151. T. Rowe Price Mid-Cap Growth Fund (RPMGX)		None			Sold (part)	06/19/20	J	A	
152.					Sold	11/10/20	J	B	
153. Thornburg Limited Term Municipal Fund I (LTMIX)	A	Dividend			Sold	11/10/20	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Account #7 (H)									
155. Wells Fargo (cash)	A	Interest	K	T					
156. Crown Banking (cash) (Y)									
157. Invesco QQQ Tr ETF (QQQ)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
158. Invesco Tr II S&P 500 Low Volatility (SPLV)	A	Dividend	J	T	Buy	03/13/20	J		
159. Ishares Core S&P Smallcap ETF (IJR)	A	Dividend	J	T					
160. Ishares Edge MSCI Min Vol Emerg Mkts ETF (EEMV)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
161. SPDR Dow Jones Indl Avg ETF Tr (DIA)	A	Dividend	J	T					
162. SPDR S&P 500 Trust ETF (SPY)	A	Dividend	J	T					
163. Vanguard Dividend Appreciation ETF (VIG)	A	Dividend	J	T					
164. Vanguard FTSE Developed Mkts ETF (VEA)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
165. Vanguard Index Fds S&P 500 ETF (VOO)	A	Dividend	J	T					
166. Vanguard Mid Cap ETF (VO)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
167. Vanguard Russell 1000 ETF (VONE)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
168. Vanguard Small Cap ETF (VB)	A	Dividend	J	T	Buy (add'l)	03/13/20	J		
169. General Elec Cap Corp Note 5.550% (36966R5P7)		None			Buy	03/13/20	J		
170.					Sold	05/26/20	J	A	

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171. Goldman Sachs Grp Inc Note 5.500% (38141E2G3)	A	Interest			Buy	03/13/20	J		
172.					Sold	05/26/20	J	A	
173. GE Capital Medium Term Note 3.600% (36966THG0)		None			Buy	03/13/20	J		
174.		None			Sold	05/26/20	J	B	
175. GE Capital Medium Term Note 3.750% (36966THL9)		None			Buy	03/13/20	J		
176.					Sold	05/26/20	J	B	
177. Philadelphia PA Hosps & High Ed Facs Auth Rev 5.250% (717825PQ0)	A	Interest			Buy	03/13/20	J		
178.					Redeemed	05/15/20	J		
179. Hempfield PA Area Sch Dist Westmoreland Cnty 5.0% (423725NG1)	A	Interest			Buy	03/13/20	J		
180.					Sold	05/26/20	J	A	
181. Pike Cnty PA Serv B 4.0% (721279FV4)	A	Interest			Buy	03/13/20	J		
182.					Sold	05/26/20	J	A	
183. Monroeville PA Fin Auth UPMC Rev 5.0% (611530AX4)		None			Buy	03/13/20	K		
184.					Sold	05/26/20	K	A	
185. Utah Tran Auth Sales Tax Rev 5.250% (917565HD8)		None			Buy	03/13/20	J		
186.					Sold	05/26/20	J	A	
187. Dauphin Cnty PA 5.0% (238253A73)	A	Interest			Buy	03/13/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold	05/26/20	J	A	
189. Unitiversity TX Univ Revs 5.375% (9151373W1)		None			Buy	03/13/20	J		
190.					Sold	05/26/20	J	A	
191. Pikeville KY Hsp Rev 6.250% (72134PBJ0)	A	Interest			Buy	03/13/20	J		
192.					Sold	11/10/20	J		
193. Pennsbury PA Sch Dist 5.0% (708553PT3)		None			Buy	03/13/20	J		
194.					Sold	05/26/20	K	A	
195. West Chester PA Area Sch Dist 5.0% (952030X66)		None			Buy	03/13/20	J		
196.					Sold	05/26/20	J	A	
197. State Pub Sch Bldg Auth PA Cllg 5.0% (85732MJ73)	A	Interest			Buy	03/13/20	J		
198.					Sold	05/26/20	J	A	
199. Massachusetts St Cons Ln-Ser F 4.0% (57582RAG1)	A	Interest			Buy	03/13/20	J		
200.					Sold	05/26/20	J	A	
201. AMG Times Square Mid Cap Growth Fund CI N (TIMDPX)	A	Dividend	J	T	Buy	03/13/20	J		
202. Invesco Global Real Estate Y (ARGYX)	A	Dividend			Buy	03/13/20	J		
203.					Sold	11/06/20	J		
204. Blair William FDS Intl Growth Fd CI I (BIGIX)	A	Dividend	J	T	Buy	03/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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205. Carillon Ser Tr Eagle Small Cap Growth Fd Cl I (HSIIX)	A	Dividend	J	T	Buy	03/13/20	J		
206. Advisors Inner Circle Fd Cambiar Oppty Fd Instl Cl (CAMWX)	A	Distribution	J	T	Buy	03/13/20	J		
207. Delaware Group Equity Fds II-Value Fd Instl Cl (DDVIX)	A	Dividend	J	T	Buy	03/13/20	J		
208. First Eagle Funds Sogen Overseas Fd Cl I (SGOIX)	A	Dividend	J	T	Buy	03/13/20	J		
209. Hancock John Cap Ser Classic Value Fd Cl I (JCVIX)	A	Dividend	J	T	Buy	03/13/20	J		
210. Hotchkis & Wiley Fds Mid Cap Value Fd Cl I (HWMIX)	A	Dividend	J	T	Buy	03/13/20	J		
211. American Funds Washington Mutual Investors Fund F-2 (WMFFX)	A	Dividend	J	T	Buy	03/13/20	J		
212. Invesco Oppenheimer Developing Markets Fund Y (ODVYX)	A	Dividend	J	T	Buy	03/13/20	J		
213. Lazard Emerging Market Equity Portfolio Institutional (LZEMX)	A	Dividend	J	T	Buy	03/13/20	J		
214. Lord Abbet High Yield Fund Class F (LHYFX)	A	Dividend	J	T	Buy	03/13/20	J		
215. MainStay Large Cap Growth Fund I (MLAIX)	A	Dividend	J	T	Buy	03/13/20	J		
216. Wells Fargo Emerging Growth Fund I (WEMIX)	A	Dividend	J	T	Buy	03/13/20	J		
217. Victory Sycamore Small Company Opportunity Fund I (VSOIX)	A	Dividend	J	T	Buy	03/13/20	J		
218. Voya Large-Cap Growth Fund I (PLCIX)	A	Dividend	J	T	Buy	03/13/20	J		
219. Wells Fargo Money Market Fd Premier Cl (WMPXX) (cash)	A	Dividend			Open	03/13/20	M		
220.					Closed	11/06/20	M		
221. Account #8 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Wells Fargo Expanded Bank Deposit (cash)	A	Interest	J	T	Open	11/09/20	M		
223. Goldman Sachs US Large Cap Equity ETF (GSLC)	A	Dividend	J	T	Buy	11/10/20	J		
224. Invesco Optimum Diversified Commodity Strategy No K-1 ETF (PDBC)	A	Dividend	J	T	Buy	11/10/20	J		
225. Ishares PFD & Income Secs ETF (PFF)	A	Dividend	J	T	Buy	11/10/20	J		
226. Ishares Core S&P Tot U.S. Stock Mkt ETF (ITOT)	A	Dividend	J	T	Buy	11/10/20	J		
227. Ishares Core S&P 500 Index Fd (IVV)	A	Dividend	J	T	Buy	11/10/20	J		
228. Ishares Core Total USB Bond Market ETF (IUSB)	A	Dividend	K	T	Buy	11/10/20	K		
229. Ishares Core U.S. Aggregate Bond ETF (AGG)	A	Dividend	M	T	Buy	11/10/20	M		
230. Ishares Iboxx High Yield Corp Bond ETF (HYG)	A	Dividend	J	T	Buy	11/10/20	J		
231. Ishares JP Morgan USD Emerging Mkts ETF (EMB)	A	Dividend	J	T	Buy	11/10/20	J		
232. Ishares MBS ETF (MBB)	A	Dividend	J	T	Buy	11/10/20	J		
233. Vanguard Intermediate Term Corp Bond ETF (VCIT)	A	Dividend	J	T	Buy	11/10/20	J		
234. Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy	11/10/20	J		
235. Xtrackers USD High Yield Corp Bond ETF (HYLB)	A	Dividend	J	T	Buy	11/10/20	J		
236. Account #9 (H)									
237. American Funds The Growth Fund of America 529-A (CGFAX)	C	Dividend	L	T					
238. American Funds The New Economy Fund 529-A (CNGAX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. American Funds New Perspective Fund 529-A (CNPAX)	B	Dividend	L	T					
240. American Funds New World Fund 529-A (CNWAX)	A	Dividend	K	T					
241. American Funds SmallCap World Fund 529-A (CSPAX)	A	Dividend	K	T					
242. American Funds College 2027 Fund 529-A (CSTAX)	B	Dividend	K	T					
243. Account #10 (H)									
244. American Funds The Growth Fund of America 529-A (CGFAX)	A	Dividend	J	T					
245. American Funds The New Economy Fund 529-A (CNGAX)	A	Dividend	J	T					
246. American Funds New Perspective Fund 529-A (CNPAX)	A	Dividend	J	T					
247. American Funds New World Fund 529-A (CNWAX)	A	Dividend	J	T					
248. American Funds SmallCap World Fund 529-A (CSPAX)	A	Dividend	J	T					
249. American Funds College 2030 Fund 529-A (CTHAX)	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kelly, Timothy J.

Date of Report

03/15/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Kelly, Timothy J.

Date of Report

03/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Timothy J. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544