

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kennedy, David S.	2. Court or Organization Bankruptcy Court	3. Date of Report 06/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2020 to 04/30/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Bankruptcy Court 200 Jefferson Ave Suite 950 Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Kennedy, David S.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Sun Trust Bank	A	Int./Div.	J	T					
2. Pac Annuity registerd as Qualified IRA	C	Int./Div.			Closed	02/24/21	L	C	
3. Premier Plus Indiv. 302 (H)									
4. - Fidelity Government Cash Reserves	A	Dividend	J	T					
5. -American Growth Fund of America	A	Dividend	K	T					
6. -Americanl Income Fund of America Cl F2	A	Dividend	J	T					
7. -T Rowe Price Tax Free Sh rt Interm Adv	A	Dividend	J	T					
8. -Columbia Acorn CL I2	A	Distribution	J	T					
9. -Diamond Hill Long Short CL I	A	Distribution	J	T					
10. -MFS Municipal High Income Fund	A	Dividend	J	T					
11. -Invesco Oppenheimer Discover MidCap Growth Class Y	A	Distribution	J	T					
12. Lincoln New Directions 6 Fixed Annuity	C	Int./Div.	M	T					
13. Lincoln Choice Plus IRA	B	Distribution			Closed	02/26/21	K	B	
14. Premier Plus Bene IRA 299 (H)									
15. -Fidelity Govt Cash Reserves	A	Dividend			Closed	02/11/21	J	A	
16. -Invesco High Yield Fund A	A	Dividend			Sold (part)	01/28/20	J	A	
17.					Closed	02/11/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Franklin Rising Dividend Class A	A	Dividend			Closed	02/11/21	J	A	
19. -Marsico Growth Fund	A	Distribution			Closed	02/11/21	K	A	
20. -Fidelity International Index Premium	A	Dividend			Sold	02/13/20	J	A	
21. -Delaware Diversified Income Fund	A	Dividend			Closed	02/11/21	K	A	
22. Genworth SPDA III Deferred Life Annuity - see notes	B	Int./Div.	L	T					
23. Fidelity IRA (H)									
24. -Marsico Growth			K	T	Open	02/11/21	K		
25. -Delware Diversified Income FD Cl1	A	Dividend	K	T	Open	02/11/21	K		
26.					Buy (add'l)	04/07/21	K		
27. - Fidelity Govt Cash Reserves	A	Dividend	J	T	Open	02/11/21	J		
28.					Buy (add'l)	03/04/21	M		
29.					Sold (part)	03/31/21	K		
30.					Sold (part)	04/07/21	L		
31. -Franklin Rising Dividends - Adv	A	Dividend	K	T	Open	02/11/21	J		
32.					Buy (add'l)	04/07/21	K		
33. -Invesco High Yield Fund ClassY	A	Dividend	J	T	Open	02/11/21	J		
34. -Delaware Small Cap Value			K	T	Open	03/31/21	K		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Davis Fundamental ETF			J	T	Buy	03/31/21	J		
36. -American New World Fund Cl F2			J	T	Buy	03/31/21	J		
37. -Ishares Trust U.S. Treasury	A	Dividend	J	T	Buy	03/31/21	J		
38. -American High Income Trust F2	A	Dividend	J	T	Buy	03/31/21	J		
39. -Pimco Low Duration CL13			K	T	Buy	04/07/21	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Final

Part VII No. 2 Pac Annuity Guaranteed Income; now closed.

Part VII No. 13 Lincoln Chioce Plus Guaranteed Rate, now closed.

Part VII No. 22 Genworth Deferred Annuity - Guaranteed Income.

Part VII The Pac Annuity IRA (No.2), the Lincoln Cloice Plus IRA (No. 13) and the Premier Plus IRA (No. 14) accounts have been closed. The 3 accounts were closed on different dates. A new Fidelity IRA (No.23) has been opened; the sub accounts have different opening dates relating to dates funds were received from the closed IRA accounts.

Part VII - Fidelity Govt Mkt Money was converted to Fidelity Government Cash Reserves on 5/6/20.

Part VII - Oppenheimer Discover MidCap Growth converted to Invesco Oppenheimer Discover MidCap Growth Class Y on 6/23/20.

Part VII - T Rowe Price Tax Free Shrt Interm Adv was converted to T Rowe Price Tax Free Shrt Interm on 6/23/20.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David S. Kennedy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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