

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kiel, Edward S.	2. Court or Organization U.S. District Court for the District of New Jersey	3. Date of Report 09/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address Mitchell H. Cohen Building & U.S. Courthouse 4th & Cooper Streets Camden, NJ, 08101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Advanced Eye Care Center (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kiel, Edward S.	09/08/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. CHASE BANK (cash)	A	Interest	M	T					
3. BANK OF AMERICA (cash)	A	Interest	K	T					
4. METLIFE PERMANENT (WHOLE) LIFE INSURANCE POLICY	B	Dividend	M	T					
5. NORTHWESTERN MJTUAL (WHOLE) LIFE INSURANCE POLICY	B	Dividend	L	T					
6. NEW YORK LIFE CUSTOM WHOLE LIFE INSURANCE POLICY	D	Dividend	N	T					
7. ACCOUNT #1 (H)									
8. JPMORGAN DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
9. FIDELITY SALEM STR TR FIDELITY 500 (FXAIX)	D	Dividend	N	T	Buy (add'l)	03/09/20	K		
10.					Sold (part)	03/19/20	K		
11.					Sold (part)	05/11/20	J	A	
12.					Sold (part)	06/08/20	J	B	
13.					Buy (add'l)	09/18/20	J		
14. FIDELITY SALEM STR TR INTL INDEX (FSPSX)	B	Dividend	L	T	Sold (part)	03/09/20	K		
15.					Sold (part)	03/19/20	J		
16. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	K	T	Buy	10/22/20	K		
17. ISHARES MSCI JAPAN ETF EST (EWJ)	A	Dividend	K	T	Sold (part)	02/12/20	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/08/20	J		
19. ISHARES MSCI CANADA ETF (EWC)	A	Dividend	K	T					
20. ISHARES MSCI PACIFIC EX (EPP)	A	Dividend	J	T					
21. VANGUARD INTL EQUITY (VGK)	B	Dividend	L	T	Buy (add'l)	02/12/20	J		
22.					Sold (part)	03/19/20	J		
23.					Buy (add'l)	06/08/20	K		
24.					Sold (part)	10/22/20	J	A	
25. BLACKROCK FUNDS V HIGH YIELD BOND PORTFOLIO K (BRHYX)	B	Dividend	K	T	Buy	03/31/20	K		
26.					Buy (add'l)	05/11/20	J		
27. FEDERATED HERMES INSTL HIGH YIELD BOND CL R6 (FIHLX)	A	Dividend	K	T	Buy	05/11/20	K		
28. ISHARES 20 PLUS YEAR (TLT)	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
29. ISHARES 7-10 YEAR TREASURY (IEF)	A	Dividend			Sold (part)	09/18/20	J	A	
30.					Sold (part)	10/22/20	J	A	
31.					Sold	10/29/20	J	A	
32. ISHARES MBS ETF EST YIELD (MBB)	A	Dividend	K	T					
33. ISHARES TRUST US TREASURY BOND (GOVT)	A	Dividend			Buy	03/09/20	J		
34.					Sold	09/18/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. PGIM TOTAL RETURN BOND (PTRQX)	A	Dividend	J	T	Sold (part)	10/22/20	J		
36.					Sold (part)	06/08/20	J		
37. PIMCO REAL RETURN BOND (PRRIX)	A	Dividend			Sold	03/09/20	J	A	
38. PIMCO SHORT TERM FUND (PTSHX)	A	Dividend	J	T					
39. PIMCO TOTAL RETURN FUND (PTRRX)	A	Dividend			Sold	05/11/20	J	A	
40. ROWE T PRICE NEW INCOME (PRXEX)	B	Dividend	K	T	Sold (part)	03/09/20	J	A	
41.					Sold (part)	09/18/20	K	A	
42. VANGUARD BOND INDEX FD (VBT LX)	B	Dividend	L	T					
43. VANGUARD FIXED INCOME (VFIDX)	B	Dividend	K	T					
44. VANGUARD TOTAL BOND MARKET ETF (BND)	A	Dividend	J	T	Buy	10/29/20	J		
45. VANGUARD TOTAL INTL BOND (BNDX)	B	Dividend	M	T	Buy (add'l)	03/09/20	K		
46. ACCOUNT #2 (H)									
47. AMERICAN NEW WORLD FUND CL F2 (NFFFX)		None			Sold	04/15/20	J		
48. DISNEY WALT CO COM (DIS) (X)		None	J	T					
49. JPMORGAN INTERNATIONAL EQUITY FUND CL I (VSIEX)		None	J	T	Buy	04/14/20	J		
50. MORGAN STANLEY GROWTH PORTFOLIO I (MSEQX)		None	J	T	Buy (add'l)	04/14/20	J		
51.					Sold (part)	09/18/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. VANGUARD EQUITY INCOME ADM FUND (VEIRX)	A	Dividend	J	T	Buy	04/14/20	J		
53.					Buy (add'l)	09/18/20	J		
54. VANGUARD MID CAP INDEX ADMIRAL SHS (VIMAX)	A	Dividend	J	T	Buy	04/14/20	J		
55. WCM FOCUSED INTL GROWTH FUND INSTL (WCMIX)		None			Sold	04/14/20	J		
56. DODGE & COX INCOME (DODIX)	A	Dividend	J	T	Buy (add'l)	03/10/20	J		
57. VANGUARD TOTAL BOND MARKET INDEX ADM (VBTLX)	A	Dividend	J	T	Buy	04/14/20	J		
58.					Buy (add'l)	09/18/20	J		
59. INVESCO EXCHANGE TRADED FD TR INTL DIVI (PID)	A	Dividend			Sold	04/15/20	J		
60. ISHARES CORE S&P MID-CAP ETF (IJH) (Y)									
61. FIRST TRUST CLOUD COMPUTING ETF (SKYY) (X)	A	Dividend	J	T					
62. INVESCO EXCHANGE TRADED FUND II CHINA (CQQQ) (X)		None	J	T					
63. ISHARES CORE S&P SMALL-CAP E (IJR)	A	Dividend	J	T					
64. ISHARES CORE S&P 500 ETF (IVV)	A	Dividend	J	T					
65. ISHARES SELECT DIVIDEND ETF (DVY)	A	Dividend			Sold	04/15/20	J		
66. ISHARES TR NASDAQ BIOTECH (IBB)	A	Dividend	J	T					
67. VANGUARD BOND INDEX FUNDS SHORT TERM BOND (BSV)	A	Dividend	J	T	Buy	04/15/20	J		
68.					Buy (add'l)	09/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	11/18/20	J		
70. ISHARES TR S&P SMALL CAP 600 GROWTH ETF (IJT) (Y)									
71. VANGUARD INDEX FDS MID-CAP VALUE INDEX (VOE) (Y)									
72. VANGUARD WHITEHALL FDS HIGH DIVIDEND (VYM)	A	Dividend			Sold	04/15/20	J		
73. SPDR PORTFOLIO SHORT TERM CORPORATE BOND (SPSB)	A	Dividend			Buy (add'l)	03/11/20	J		
74.					Sold	04/15/20	J	A	
75. VANGUARD CHARLOTTE TOTAL INTL BD INDEX (BNDX)	A	Dividend			Buy (add'l)	03/11/20	J		
76.					Sold	04/15/20	J		
77. ACCOUNT #3 (H)									
78. SCHWAB S&P 500 INDEX FUND (SWPPX)	A	Dividend	J	T					
79. ACCOUNT #4 (H)									
80. AMERICAN FUNDS BALANCED FUND (ABALX)	A	Dividend	J	T					
81. SCHWAB S&P 500 INDEX FUND (SWPPX)	A	Dividend	J	T					
82. ACCOUNT #5 (H)									
83. CHARLES SCHWAB BANK (cash)	A	Interest	K	T					
84. VANGUARD SHORT TERM FEDE (VSGBX)	A	Dividend	J	T					
85. VANGUARD EQUITY INCOME (VEIPX)	D	Dividend	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. VANGUARD GROWTH INDEX FD (VIGAX)	A	Dividend	K	T					
87. VANGUARD TARGET (VTTVX)	C	Dividend	L	T					
88. ACCOUNT #6 (H)									
89. CHARLES SCHWAB BANK DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
90. FEDERAL NATIONAL MTG ASSN GT (FNMA)		None	J	T					
91. WESDOME GOLD MINE (WDOFF)		None	J	T					
92. GABELLI SMALL CAP GROWTH (GABSX)		None	K	T					
93. SCHWAB S&P 500 INDEX FD (SWPPX)	A	Dividend	J	T					
94. ACCOUNT #7 (H)									
95. DFA INTERNATIONALSMALL COMPANY PORTFOLIO (DFISX)	A	Dividend	J	T					
96. DFA TAX MANAGED INTERNATIONAL VALUE (DTMIX)	A	Dividend	K	T					
97. DFA TAX MANAGED US EQUITY PORTFOLIO (DTMEX)	A	Dividend	K	T	Sold (part)	07/02/20	J	A	
98. DFA TAX MANAGED MARKETWIDE VALUE (DTMMX)	A	Dividend	K	T					
99. DFA TAX MANAGED US SMALL CAP PORTFOLIO (DFTSX)	A	Dividend	K	T	Buy (add'l)	07/02/20	J		
100. DIMENSIONAL EMERGING MARKETS VALUE (DFEVX)	A	Dividend	J	T					
101. DFA INTERMEDIATE TERM MUNI BOND INSTL (DFTIX)	A	Dividend	K	T	Sold (part)	07/02/20	J	A	
102. DFA SHORT TERM MUNI BOND PORTFOLIO (DFSMX)	A	Dividend	J	T	Sold (part)	07/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. ACCOUNT #8 (H)									
104. CHEVRON CORP NEW (CVX)	A	Dividend			Buy	04/22/20	J		
105.					Sold	09/21/20	J		
106. CITIGROUP INC (C)	A	Dividend	J	T	Buy	09/21/20	J		
107. DELTA AIR LINES INC (DAL)		None	J	T	Buy	04/22/20	J		
108. LOCKHEED MARTIN CORP COM (LMT)	A	Dividend	J	T	Buy	11/19/20	J		
109. WALMART INC COM (WMT)	A	Dividend	J	T	Buy	07/13/20	J		
110. DODGE & COX INCOME (DODIX)	A	Dividend	J	T					
111. FIRST TR EXCHANGE TRADED FUND DOW JONES (FDN)		None	J	T					
112. INVESCO EXCHANGETRADED FUND TR II CHINA (CQQQ)	A	Dividend	J	T					
113. INVESCO QQQ TR UNIT SER 1 (QQQ)	A	Dividend	K	T					
114. ISHARES CORE S&P SMALL CAP (IJR)	A	Dividend	K	T					
115. ISHARES CORE S&P MID CAP ETF (IJH)	A	Dividend	K	T					
116. ISHARES TR NASDAQ BIOTECH (IBB)	A	Dividend	J	T					
117. ISHARES TR US AER DEF ETF (ITA)	A	Dividend	J	T					
118. JPMORGAN CHASE & CO (JPM)	A	Dividend			Buy	04/22/20	J		
119.					Sold	07/09/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. SELECT SECTOR SPDR TR ENERGY (XLE)	A	Dividend	J	T					
121. SPDR S&P500 ETF TRUST TRUST UNIT (SPY)	A	Dividend	K	T					
122. VANGUARD SECTOR INDEX FDS VANGUARD (VHT)	A	Dividend	J	T					
123. VANGUARD WHITEHALL FDS HIGH DIVIDEND (VYM)	A	Dividend	K	T					
124. ISHARES NATIONAL MUNI BOND ETF (MUB)	A	Dividend			Sold	03/19/20	J		
125. ACCOUNT #9 (H)									
126. FRANKLIN INCOME ALLOCATION 529 PORTFOLIO		None	L	T					
127. FRANKLIN GROWTH ALLOCATION AGE 17-18 YEARS 529 PORTFOLIO		None	K	T	Buy	06/17/20	K		
128. FRANKLIN GROWTH ALLOCATION AGE 15-16 YEARS 529 PORTFOLIO		None			Sold	06/17/20	K		
129. ACCOUNT #10 (H)									
130. FRANKLIN INCOME ALLOCATION 529 PORTFOLIO		None	L	T					
131. FRANKLIN GROWTH ALLOCATION AGE 17-18 YEARS 529 PORTFOLIO		None	K	T	Buy	06/17/20	K		
132. FRANKLIN GROWTH ALLOCATION AGE 15-16 YEARS 529 PORTFOLIO		None			Sold	06/17/20	K		
133. ACCOUNT #11 (H)									
134. FRANKLIN INCOME ALLOCATION 529 PORTFOLIO		None	L	T					
135. NJ TREASURY MANAGED TRUST E		None	L	T	Sold (part)	07/27/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

NJ Treasury-Managed Trust E is the name of the holding. It does not have underlying assets.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Kiel, Edward S.

Date of Report

09/08/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Edward S. Kiel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544