

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawson, Jr., Roger H.	2. Court or Organization Middle District, Georgia	3. Date of Report 05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address P.O. Box 838 475 Mulberry Street Macon, Georgia 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/96	Georgia Retirement System for Superior Court Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Georgia Judicial Retirement System-Retirement	\$94,808.76
2. 2020	Judicial Retirees & Survivors Admin Office of the US Courts	\$209,275.17
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Teacher's Retirement System of Georgia--Retirement
2. 2020	First United Methodist Church--Wages
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. John Deere Credit	Tractor Loan	J
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sunmark Bacnshares, Inc.	A	Dividend	J	U					
2. Merrill Lynch IRA									
3. --Merrill Lynch - Bank of America	A	Interest	J	T					
4. --Lincoln National Life Legacy III Plus		None	L	T					
5. Merrill Lynch									
6. --Merrill Lynch Bank USA		None	K	T					
7. --Transamerica Life Ins. Co. - Transamerica Landmark Annuity		None	L	T					
8. --Transamerica Life Ins. Co. - Transamerica Landmark Annuity		None	K	T					
9. 270.00 Acres, Hawkinsville, Pulaski County, GA		None	N	W					
10. Wells Fargo-High Yield Bond Fund A	A	Dividend			Sold	07/15/20	J	A	
11. Franklin Georgia Tax-Free Income Fund- Class A	A	Dividend		T	Sold	07/15/20	J	A	
12. Rental House, ██████████ Hawkinsville, Pulaski County, GA	C	Rent	K	W					
13. Subdivision, Pulaski County, Georgia Und. Interest		None	K	W					
14. Rental House, ██████████ Hawkinsville,GA Und Inter	D	Rent	K	W					
15. Merrill Lynch									
16. Merrill Lynch Deposit Account	A	Dividend	K	T					
17. --Regions Financial Corp	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Pimco Income Fund Cl C	A	Dividend	K	T					
19. --Aralez Pharmaceuticals		None	J	T					
20. --Nuveen Georgia Muni Bond Fund	E	Dividend	O	T					
21. --Virnetx Hldg Corp	A	Dividend	J	T					
22. Sunmark Community Bank-Accounts	A	Interest	J	T					
23. Merrill Lynch									
24. --Merrill Lynch Deposit Account	A	Interest	J	T					
25. --Abbott Laboratories Common	A	Dividend	J	T					
26. --American Intl Group Inc Common	A	Dividend	J	T					
27. --Abbvie Inc SHS	A	Dividend	J	T					
28. --A T & T Inc	A	Dividend	J	T					
29. --Automatic Data Processing Inc Common	A	Dividend	J	T					
30. --Chevron Texaco Corp Common	A	Dividend	J	T					
31. --Cintas Corp Common	A	Dividend	K	T					
32. --CDK Global Inc SHS	A	Dividend	J	T					
33. --Coca-Cola Co Common	A	Dividend	J	T					
34. --General Electric Co Common	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --Walt Disney Co Common	A	Dividend	K	T					
36. --Dupont De Nemours Inc	A	Dividend	J	T					
37. --Dow Inc Reg	A	Dividend	J	T					
38. --Pareteum Corp		None	J	T					
39. --Exxon Mobile Corp Common	A	Dividend	J	T					
40. --Illinois Tool Works Inc	A	Dividend	K	T					
41. --Eli Lilly & Co Common	A	Dividend	J	T					
42. --McDonald's Corp Common	A	Dividend	J	T					
43. --Medtronic Inc Common	A	Dividend	J	T					
44. --Pfizer Inc Common	A	Dividend	J	T					
45. --Proctor & Gamble Co Common	A	Dividend	J	T					
46. --Western Asset Managed Municipals Fd CL	C	Dividend	M	T					
47. --Walgreens Boots Alliance Inc	A	Dividend	J	T					
48. --Chemours Co	A	Dividend	J	T					
49. --Wells Fargo & Co New Common	A	Dividend	J	T					
50. --WT 01 21 American Intl		None	J	T					
51. --Western Assets Managed Municipals	B	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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52. --Merck and Co Inc	A	Dividend	J	T					
53. --Viatris Inc			J	T	Sold (part)	11/20/20	J	A	
54. Merrill Lynch									
55. --Blackrock Strategic Income Opprts	A	Dividend	J	T					
56. --IShares Select	A	Dividend	J	T					
57. --IShares Edge MSCI	A	Dividend	K	T					
58. --IShares S & P 100	A	Dividend	K	T					
59. --IShares Core High Dividend	A	Dividend	K	T					
60. --Lord Abbett Bond	A	Dividend	J	T					
61. --Pimco Income Fund	A	Dividend	K	T					
62.	A	Distribution							
63. --Amer Funds Income Funds	A	Dividend			Sold	06/02/20	J	A	
64. --American Capital Income	A	Dividend			Sold	05/12/20	J	A	
65. --Vanguard Value ETF	A	Dividend	K	T					
66. --Vanguard Growth ETF	A	Dividend	L	T					
67. --JP Morgan Exchange ETF	A	Dividend	J	T	Sold (part)	11/30/20	J	A	
68. --Pimco Low Duration	A	Dividend	K	T					

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69.	A	Distribution							
70. --Lord Abbett Short Duration	A	Dividend	J	T					
71. --ISHares Core S & P Total	A	Dividend	J	T					
72. --Invesco S & P 500 Low Volatility	A	Dividend	K	T					
73. --WABTEC	A	Dividend	J	T					
74. --Blackrock Total Return	A	Dividend	J	T					
75.	A	Distribution							
76. --Davis Global Fund	A	Dividend	K	T					
77.	A	Distribution							
78. --Corteva inc Reg SHS	A	Dividend	J	T					
79. --American Growth Fund	A	Dividend	K	T	Buy	05/12/20	K		
80.	A	Distribution							

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Original Line 68 last year, JP Morgan Exchange ETF was listed as sold, but it should have been listed as Sold (Part).
2. Last year we had IShares MSCI EAFE Index listed and also IShares Edge MSCI. These are actually the same account. There should have only been one of those listed on the report. Therefore, we are deleting IShares MSCI EAFE Index this year.
3. Line 53, Viatis, Inc came about due to Pfizer, Inc. paying dividends in shares of Viatis. 33 shares paid on 11/19/20.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Roger H. Lawson, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544