

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§
101-111)*

1. Person Reporting (last name, first, middle initial) LEE, TOM S.	2. Court or Organization UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF MS	3. Date of Report 08/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR UNITED STATES DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 501 East Court Street Suite 4.756 Jackson, MS 39201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	General Partner	Tom S. Lee Family Limited Partnership
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

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DATEPARTIES AND TERMS

1. 2020

State Employee Pension Fund; pension upon retirement age 65

2.

3.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME*(yours, not spouse's)*

1.

2.

3.

4.

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2020

Advisory Board of Community Bancshares of MS, Inc.

2.

3.

4.

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

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SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions)☒ NONE (No reportable gifts.)SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions)☒ NONE (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

NONE (No reportable income, assets, or transactions.)

☐

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J- P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. His Nationwide VA (H)									
2. Managed Risk Asset Allocation		None	N	T					
3. His IRA (H)									
4. Am. Corp. Bond	A	Dividend	J	T	Buy	08/07/20	J		
5. Am. E.M. Bond	A	Dividend	K	T	Buy	08/07/20	J		
6. New World	A	Dividend	K	T					
7. Bond Fund of America	C	Dividend	M	T					
8. Capital Income Builder	A	Dividend	K	T					
9. Growth Fund of America	B	Dividend	M	T					
10. Goldman Sachs Small Cap Growth	A	Dividend	K	T					
11. Inter. Bond Fund of America	B	Dividend	K	T					
12. Investment Company of America	B	Dividend	L	T					
13. Smallcap World	A	Dividend	L	T					
14. Washington Mutual Investors	B	Dividend	M	T					
15. His. Rev. Trust (H)									
16. Am. Corp. Bond	A	Dividend	J	T	Buy	08/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

17	Am. E.M. Bond	A	Dividend	J	T	Buy	08/07/20	J		
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J- P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. New World	A	Dividend	K	T					
19. Bond Fund of America	C	Dividend	M	T					
20. Capital Income Builder	A	Dividend	K	T					
21. Growth Fund of America	A	Dividend	L	T					
22. Goldman Sachs Small Cap. Growth	A	Dividend	K	T					
23. Inter. Bond Fund of America	A	Dividend	K	T					
24. Investment Co. of America	A	Dividend	L	T					
25. Small Cap. World	A	Dividend	L	T					
26. Washington Mutual	B	Dividend	M	T					
27. His FLP (H)									
28. Am. Corp. Bond	A	Dividend	K	T	Buy	08/07/20	K		
29. Am. E.M. Bond	A	Dividend	K	T	Buy	08/07/20	K		
30. New World	A	Dividend	L	T					
31. Bond Fund of America	D	Dividend	N	T					
32. Capital Income Builder	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

33.	Growth Fund of America	B	Dividend	M	T					
34.	Goldman Sachs Small Cap Growth	A	Dividend	K	T					

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35. Inter. Bond Fund of America	C	Dividend	L	T					
36. Investment Co. of America	B	Dividend	M	T					
37. Small Cap. World	A	Dividend	M	T					
38. Washington Mutual	B	Dividend	M	T					
39. Her IRA (H)									
40. Franklin Income	A	Dividend	J	T					
41. Templeton Global Balanced	A	Dividend	J	T					
42. Her Rev. Trust (H)									
43. Am. Corp. Bond	A	Dividend	J	T	Buy	08/07/20	J		
44. Am. E.M. Bond	A	Dividend	J	T	Buy	08/07/20	J		
45. New World	A	Dividend	K	T					
46. Bond Fund of America	B	Dividend	L	T					
47. Capital Income Builder	A	Dividend	J	T					
48. Growth Fund of America	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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NONE (No reportable income, assets, or transactions.)

49.	Goldman Sachs Small Cap. Growth	A	Dividend	J	T					
50.	Inter. Bond of America	A	Dividend	K	T					
51.	Investment Co. of America	A	Dividend	K	T					

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52. Small Cap. World	A	Dividend	K	T					
53. Washington Mutual	A	Dividend	L	T					
54. Her VOYA VA (H)									
55. Invesco Equity and Income		None	K	T					
56. Her VOYA VA II (H)									
57. Invesco Equity and Income		None	J	T					
58. His VOYA VA (H)									
59. Invesco Equity & Income		None	J	T					
60. Her Prudential VA (H)									
61. Wellington Hedged Equity		None	L	T					
62. Advanced Strategies Portfolio		None	L	T					
63. Western Core Plus Bond		None	M	T					
64. His Prudential VA (H)									

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NONE (No reportable income, assets, or transactions.)

65.	Wellington Hedged Equity		None	L	T					
66.	Advanced Strategies Portfolio		None	L	T					
67.	Western Core Plus Bond		None	M	T					
68.	Shares of Community Bancshares of MS, Inc.	E	Dividend	P1	T					

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69. Land in Scott County, MS		None	K	W					
70. Phoenix Life (Whole Life)		None	M	T					
71. Community Bancshares of MS accounts	B	Interest	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ TOM S. LEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure

Administrative Office of the United States Courts

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Washington, D.C. 20544