

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lemmon, Mary A.	2. Court or Organization Eastern District of LA	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Courthouse 500 Poydras Street, C-406 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	General Partner	☐ Partnership
2.	General Partner	Heirs of ☐ Minerals Partnership
3.	General Partner	☐ Land Partnership
4.	Board Member Emeritus	Reconcile New Orleans
5.	Board of Directors	Federal Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2020	Since July 26, 1996, I have been in the Louisiana State Employees Retirement System for State Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	La. State Employees Retirement System	\$66,541.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	La. State Employees Retirement System
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Capital One Stock	B	Dividend	M	T					
2. Capital One Checking	A	Interest	K	T					
3. Oil Royalty - St. Charles Parish	A	Royalty	L	W					
4. Ameriprise Common Stock	D	Dividend	M	T					
5. Ariel Common		None	J	T					
6. John Hancock Funds: Large Cap Equity A	A	Dividend	K	T					
7. Partnership Orleans Parish, LA		None	J	W					
8. Laura Plantation	B	Dividend	K	W					
9. 3.31% interest in Cutrone Carline Properties	B	Distribution	K	W					
10. Capital One Checking Acct		None	J	W					
11. Minerals Partnership St.Charles Parish, LA		None	J	W					
12. Merrill Lynch Stocks: AT&T	A	Dividend	J	T					
13. Alcoa		None	J	T					
14. J.P. Morgan Chase	C	Dividend	M	T					
15. Vail Resorts	B	Dividend	M	T					
16. BP Amoco PLC	B	Dividend	J	T					
17. Rental Property Orleans Parish, LA	D	Rent	N	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. St. Charles Land Trust	A	Dividend	J	W					
19. U.S. Savings Bonds, Series, HH	A	Interest	K	T					
20. Merrill Lynch Stocks: Com Cast	A	Dividend	J	T					
21. Series HH/H Bonds	B	Interest	L	T					
22. Merrill Lynch Money Account	A	Interest	M	T					
23. Merrill Lynch IRA-Cash Equivalent Account	A	Interest	K	T					
24. Merrill Lynch IRA-Cash Equivalent Account	B	Interest	K	T					
25. Merrill Lynch: Ginnie Mae	A	Interest	J	T					
26. Putnam Diversified	C	Dividend	M	T					
27. La. 529 START Saving Program (age based LS income) #1	A	Interest	J	T					
28. La. 529 START Saving Program (age based LS income) #2	A	Interest	J	T					
29. La. 529 START Saving Program (age based LS income) #4	A	Interest	K	T	Distributed (part)	04/03/20	J		
30.					Distributed (part)	07/20/20	J		
31.					Distributed (part)	08/31/20	J		
32. La.529 STARTSaving Program (age based LS Conservative) #5	B	Interest	L	T					
33. La.529 START Saving Program (age based LS conservative)#6	B	Interest	M	T	Distributed (part)	08/31/20	J		
34. La.529 START Saving Program (age based LS conservative)#7	B	Interest	M	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. La.529 START Saving Program (age based LS conservative)#8	B	Interest	M	T					
36. La.529 START Saving Program (age based LS conservativ3)#9	B	Interest	M	T	Distributed (part)	12/07/20	J		
37. La.529 START Saving Program (age based LS moderate) #10	B	Interest	M	T					
38. La.529 START Saving Program (age based LS moderate) #11	B	Interest	M	T	Distributed (part)	12/14/20	J		
39. La.529 START Saving Program (age based LS moderate) #12	B	Interest	M	T					
40. La.529 START Saving Program (La. Princ Prot Fund)#13	B	Interest	L	T	Distributed (part)	12/01/20	J		
41. -American Cap Agy Corp AGNC INV CORP	A	Dividend	J	T					
42. -Century Link Inc./Lumon Technologies	A	Dividend	J	T					
43. -Chimera Investment Corp	A	Dividend	J	T					
44. -First Eagle Mutual Fund	B	Dividend	K	T					
45. Merrill Lynch: Mutual Fund-Black Rock Global	A	Dividend	J	T					
46. Batture Lease-St. Charles Parish, LA Assessed Value \$1531	D	Rent	J	S					
47. Kinder Morgan Inc.	B	Dividend	K	T					
48. La.529 START Saving Program (La. Princ Prot Fund)#14	A	Interest	J	T					
49. Black Rock National	A	Dividend	L	T					
50. Franklin Louisiana Tare Free	B	Interest	L	T					
51. Merrill Lynch Stock: Hownet Aerospace	A	Dividend	J	T					

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52. Merrill Lynch Stock: Apple (X)	A	Dividend	K	T					
53. Merrill Lynch Stock: Citigroup(X)	A	Dividend	J	T					
54. Merrill Lynch Stock: MicroSoft(X)	A	Dividend	J	T					
55. Merrill Lynch Stock: Vodofone(X)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: Name of Organization/Entity, continued . . .

#1 [REDACTED] Partnership

Part I: Name of Organization/Entity, continued

#2 [REDACTED] Limited Partnership

Part I: Name of Organization/Entity, continued . . .

#3 Land partnership: A [REDACTED] Partnership owning undeveloped marshland inherited. I was replaced as General Partner in 3/96. My ownership of the assets of the partnership were transferred to the [REDACTED] Partnership in 1994.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary A. Lemmon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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