

# FINANCIAL DISCLOSURE REPORT

## FINAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Lewis, Peter C.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S. District Court, Southern District of California                                                                                                                                            | <b>3. Date of Report</b><br><br>02/11/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Magistrate Judge (FT/recalled)                                                | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>01/01/2021 |
|                                                                                                                                                                                                           | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>United States Courthouse<br>333 W. Broadway<br>San Diego, CA 92101                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|            |                                                       |
|------------|-------------------------------------------------------|
| 1. Trustee | Trust #1 (reportable assets are included in Part VII) |
| 2.         |                                                       |
| 3.         |                                                       |
| 4.         |                                                       |
| 5.         |                                                       |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

### A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

*(yours, not spouse's)*

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |

## IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

|    |  |  |  |  |
|----|--|--|--|--|
| 1. |  |  |  |  |
| 2. |  |  |  |  |
| 3. |  |  |  |  |
| 4. |  |  |  |  |
| 5. |  |  |  |  |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Lewis, Peter C.          | 02/11/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Account #1 (H)                                                                                                          |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2. Axos Bank Deposit (cash) (X)                                                                                            | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 3. JP Morgan US Govt Money Market Fund<br>(cash equivalent)                                                                | A                                      | Interest                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. Abbvie Inc (ABBV)                                                                                                       | C                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. Air Products & Chemicals Inc (APD)                                                                                      | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. Apple Inc (AAPL)                                                                                                        | A                                      | Dividend                               | M                                              | T                                  | Buy<br>(add'l)                            | 04/27/20         | K                        |                         |                                                            |
| 7. Automatic Data Processing (ADP)                                                                                         | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 8. Boeing Co (BA)                                                                                                          | A                                      | Dividend                               |                                                |                                    | Sold                                      | 03/23/20         | J                        |                         |                                                            |
| 9. CNX Midstream Partners (CNXM)                                                                                           | B                                      | Dividend                               |                                                |                                    | Sold                                      | 04/27/20         | K                        |                         |                                                            |
| 10. Coca-Cola Company (KO)                                                                                                 | A                                      | Dividend                               | K                                              | T                                  | Buy                                       | 03/23/20         | K                        |                         |                                                            |
| 11. Community Trust Bancorp Inc (CTBI)                                                                                     | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 12. DCP Midstream Lp Cuml Redeem PFD Ser<br>B (DCPB)                                                                       |                                        | None                                   | K                                              | T                                  | Buy                                       | 07/17/20         | K                        |                         |                                                            |
| 13. Digital Realty Trust (DLR)                                                                                             | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. FedEx Corp (FDX)                                                                                                       | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. Huntington Bancshares Inc (HBAN)                                                                                       | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. Johnson & Johnson (JNJ)                                                                                                | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. Kimberly Clark Corp (KMB)                                                                                              | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                     |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                       | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$250,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                       | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

Lewis, Peter C.

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02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 18. Marriott Intl Inc (MAR)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/23/20                | K                               |                                |                                                                   |
| 19. McDonalds Corp (MCD)                                                                                                   | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Medtronic PLC (MDT)                                                                                                    | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. Microsoft Corp (MSFT)                                                                                                  | B                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 04/27/20                | K                               |                                |                                                                   |
| 22. Price T Rowe Group (TROW)                                                                                              | B                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 04/27/20                | K                               |                                |                                                                   |
| 23. PBF Logistics Ltd Partnership (PBFX)                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 04/27/20                | K                               |                                |                                                                   |
| 24. Realty Income Corp (O)                                                                                                 | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. Southern Company (SO)                                                                                                  | C                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. Starbucks Corp (SBUX)                                                                                                  | A                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. Store Capital Corp (STOR)                                                                                              | C                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 03/23/20                | J                               |                                |                                                                   |
| 28. Teekay LNG Partners Lp Units Ser B (TGP)                                                                               | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 07/17/20                | K                               |                                |                                                                   |
| 29. Tallgrass Energy Ltd Partnership (TGE)                                                                                 |                                        | None                                          |                                                |                                           | Sold                                            | 02/21/20                | L                               | B                              |                                                                   |
| 30. Tyson Foods Inc (TSN)                                                                                                  | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. Ventas Inc (VTR)                                                                                                       | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/17/20                | K                               |                                |                                                                   |
| 32. WP Carey Inc (WPC)                                                                                                     | C                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. Walt Disney Co Hldg Co (DIS)                                                                                           |                                        | None                                          | L                                              | T                                         | Buy                                             | 02/21/20                | K                               |                                |                                                                   |
| 34. Welltower Inc (WELL)                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/17/20                | K                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. 3M Company (MMM)                                                                                                       | B                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 36. Enterprise Products (EPD)                                                                                              |                                        | None                                          | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 37. Holly Energy Partners (HEP)                                                                                            | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 04/27/20                | K                               |                                |                                                                   |
| 38. MPLX Ltd Partnership (MPLX)                                                                                            |                                        | None                                          |                                                |                                           | Sold                                            | 04/27/20                | K                               |                                |                                                                   |
| 39. Account #2 (H)                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 40. Abbvie Inc (ABBV) (X)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 41. Air Products & Chemicals (APD)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 42. Apple Inc (AAPL)                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 43. Automatic Data Processing (ADP)                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 44. Community Trust Bancorp Inc (CTBI) (Y)                                                                                 |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 45. Digital Realty Trust Inc (DLR)                                                                                         | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 46. FedEx Corp (FDX) (X)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 47. Huntington Bancshares Inc (HBAN) (Y)                                                                                   |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 48. Johnson & Johnson (JNJ)                                                                                                | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 49. Marriott Intl Inc (MAR) (Y)                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 50. McDonalds Corp (MCD)                                                                                                   | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 51. Medtronic PLC (MDT)                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Microsoft Corp (MSFT)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. Price T Rowe Group (TROW) (X)                                                                                          | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. PBF Logistics Ltd Partnership (PBFX) (Y)                                                                               |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 55. Realty Income Corp (O)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Southern Company (SO)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. Starbucks Corp (SBUX)                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. Store Capital Corp (STOR)                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. Tyson Foods Inc (TSN) (X)                                                                                              |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. Tallgrass Energy Ltd Part (TGE)                                                                                        |                                        | None                                          |                                                |                                           | Sold                                            | 02/21/20                | J                               |                                |                                                                   |
| 61. WP Carey Inc (WPC)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Welltower Inc (HCN) (Y)                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 63. Enterprise Products (EPD) (Y)                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 64. Account #3 (H)                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 65. Morgan Stanley Sweep Account (cash) (Y)                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 66. Account #4 (H)                                                                                                         |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 67. LPL Financial (cash)                                                                                                   | A                                      | Interest                                      | N                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. Abbott Labs (ABT)                                                                                                      |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |

|                                                 |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |
|-------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000<br>J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000<br>K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000<br>L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000<br>M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | Q =Appraisal<br>U =Book Value                                                                                                       | R =Cost (Real Estate Only)<br>V =Other                                                                     | S =Assessment<br>W =Estimated                                                                                                                   | T =Cash Market                                                                                                   |                        |
| 3 Value Method Codes<br>(See Column C2)         |                                                                                                                                     |                                                                                                            |                                                                                                                                                 |                                                                                                                  |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. Abbvie Inc (ABBV)                                                                                                      | A                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 10/30/20                | K                               |                                |                                                                   |
| 70. Air Products & Chemicals (APD)                                                                                         | A                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 11/16/20                | L                               |                                |                                                                   |
| 71. Alphabet Inc CL A (GOOGL)                                                                                              |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 72. Amazon Com Inc (AMZN)                                                                                                  |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 73. Apple Inc (AAPL)                                                                                                       | A                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 10/30/20                | L                               |                                |                                                                   |
| 74. AT&T Inc (T)                                                                                                           |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 75. Automatic Data Processing (ADP)                                                                                        | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. Bristol Myers Squibb Co (BMY)                                                                                          |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 77. Carrier Global Corp (CARR)                                                                                             | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 78. Boeing (BA)                                                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/23/20                | J                               |                                |                                                                   |
| 79. CNX Midstream Partners (CNXM)                                                                                          |                                        | None                                          |                                                |                                           | Sold                                            | 04/27/20                | J                               |                                |                                                                   |
| 80. Coca-Cola Company (KO)                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 03/23/20                | J                               |                                |                                                                   |
| 81. Chevron Corp (CVX)                                                                                                     | B                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 10/30/20                | L                               |                                |                                                                   |
| 82. Community Trust Bancorp (CTBI)                                                                                         | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. Costco Wholesale Corp (COST)                                                                                           | C                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 11/16/20                | M                               |                                |                                                                   |
| 84. Crown Castle Intl Corp (CCI)                                                                                           | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 85. DCP Midstream Lp Cuml Redeem PFD Ser B (DCP)                                                                           |                                        | None                                          | J                                              | T                                         | Buy                                             | 07/17/20                | J                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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| 86. Digital Realty Trust (DLR)                                                                                             | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 87. Dominion Energy Inc (D)                                                                                                |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 88. Exxon Mobil (XOM)                                                                                                      | B                                      | Dividend                                      |                                                |                                           | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 89.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 11/16/20                | L                               |                                |                                                                   |
| 90. FedEx Corp (FDX)                                                                                                       | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 91. General Electric (GE)                                                                                                  |                                        | None                                          |                                                |                                           | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 92.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 11/16/20                | L                               |                                |                                                                   |
| 93. General Mills (GIS)                                                                                                    |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 94. Glaxosmithkline PLC ADS (GSK)                                                                                          |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 95. Honeywell International Inc (HON)                                                                                      | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 96. Huntington Bancshares (HBAN)                                                                                           | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. Intel Corp (INTC)                                                                                                      | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 98. Johnson & Johnson (JNJ)                                                                                                | B                                      | Dividend                                      | M                                              | T                                         | Buy<br>(add'l)                                  | 10/30/20                | L                               |                                |                                                                   |
| 99. Kimberly Clark Corp (KMB)                                                                                              | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. Kinder Morgan Energy Partners (KMI)                                                                                   | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 101. Marriott Intl (MAR)                                                                                                   | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 03/23/20                | J                               |                                |                                                                   |
| 102. McDonalds Corp (MCD)                                                                                                  | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
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| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 103. Medtronic PLC (MDT)                                                                                                   | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 104. Merck & Co Inc New Com (MRK)                                                                                          |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 105. Microsoft Corp (MSFT)                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 04/27/20                | J                               |                                |                                                                   |
| 106. Nextera Energy (NEE)                                                                                                  |                                        | None                                          | M                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 107.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/04/20                | M                               |                                |                                                                   |
| 108. Otis Worldwide Corp (OTIS)                                                                                            |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 109. Pepsico Inc NC (PEP)                                                                                                  |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 110. Philip Morris Intl Inc (PM)                                                                                           | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 111. Phillips 66 (PSX)                                                                                                     |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 112. PPL Corp (PPL)                                                                                                        |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 113. Price T Rowe Group (TROW)                                                                                             | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 04/27/20                | K                               |                                |                                                                   |
| 114. Procter & Gamble Co (PG)                                                                                              |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 115. Raytheon Technologies Corp (RTX)                                                                                      | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 116. PBF Logistics Ltd Partnership (PBFX)                                                                                  |                                        | None                                          |                                                |                                           | Sold                                            | 04/27/20                | J                               |                                |                                                                   |
| 117. Realty Income Corp (O)                                                                                                | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 11/16/20                | K                               |                                |                                                                   |
| 118. Southern Company (SO)                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 119. Starbucks Corp (SBUX)                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
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| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Store Capital Corp (STOR)                                                                                             | A                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 03/23/20                | J                               |                                |                                                                   |
| 121.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/16/20                | K                               |                                |                                                                   |
| 122. Teekay LNG Partners Lp Units Ser B (TGP)                                                                              | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 07/17/20                | J                               |                                |                                                                   |
| 123. Tallgrass Energy Ltd Partnership (TGE)                                                                                |                                        | None                                          |                                                |                                           | Sold                                            | 02/21/20                | J                               | A                              |                                                                   |
| 124. Tyson Foods Inc (TSN)                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. Union Pacific Corp (UNP)                                                                                              | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 126. Verizon Communications (VZ)                                                                                           |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 127. Visa Inc Cl A (V)                                                                                                     | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 128. Vodafone Group PLC (VOD)                                                                                              |                                        | None                                          | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 129. Ventas Inc (VTR)                                                                                                      | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 07/17/20                | J                               |                                |                                                                   |
| 130. WP Carey Inc (WPC)                                                                                                    | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 11/16/20                | K                               |                                |                                                                   |
| 131. Wabtec Corp (WAB)                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 132. Walt Disney Co Hldg Co (DIS)                                                                                          |                                        | None                                          | M                                              | T                                         | Buy                                             | 02/21/20                | J                               |                                |                                                                   |
| 133.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 10/30/20                | L                               |                                |                                                                   |
| 134. Wells Fargo & Co (WFC)                                                                                                | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 10/30/20                | M                               |                                |                                                                   |
| 135.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/16/20                | M                               |                                |                                                                   |
| 136. Welltower Inc (HCN)                                                                                                   | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 10/30/20                | K                               |                                |                                                                   |

|                         |                                 |                            |                               |                                |                        |
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| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. 3M Company (MMM)                                                                                                      | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. Invesco Muni Income Y (VMIX)                                                                                          | A                                      | Dividend                                      | M                                              | T                                         | Buy                                             | 10/30/20                | M                               |                                |                                                                   |
| 139. Pimco Enhanced Short Maturity Active ETF (MINT)                                                                       | A                                      | Dividend                                      | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 140. Vanguard Short Term Bond EFT (BSV)                                                                                    | A                                      | Dividend                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 141. BAC Fixed to Floating Rate Note Based on CPI 3.74 (06048WFM7)                                                         | A                                      | Interest                                      | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 142. MS Floating Rate Note Based on US CPI (61745E5R8)                                                                     | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 01/30/20                | J                               |                                |                                                                   |
| 143. MS Floating Rate Note Based on US CPI (61745E7K1)                                                                     | A                                      | Interest                                      | J                                              | T                                         | Buy                                             | 10/30/20                | J                               |                                |                                                                   |
| 144. United States Treasury Note 2.25% 03/31/2021 (912828C57)                                                              |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 145. United States Treasury Note 2.0% 11/15/2021 (912828RR3)                                                               | A                                      | Interest                                      | M                                              | T                                         | Buy                                             | 10/30/20                | M                               |                                |                                                                   |
| 146. United States Treasury Note 2.0% 02/15/2022 (912828SF8)                                                               |                                        | None                                          | M                                              | T                                         | Buy                                             | 10/30/20                | M                               |                                |                                                                   |
| 147. California St Pub Wks Brd Lease Re OID 3.12% 10/01/2033 (13068LD77)                                                   |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 148. Concord Calif Watewater Rev Ref (206150AN4)                                                                           |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 149. Hesperia Calif JT Pub Fin Auth Lease Re OID 10/1/2027 (42806HAQ3)                                                     |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 150. Hilmar Calif Uni Sch Dis OID 8/01/2028 (43279CB9)                                                                     |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 151. Lynwood Calif Uni Sch Dist Ctf Partn Rev Ref 3.0% 8/1/32 (551802CW9)                                                  |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |
| 152. Maricopa Cnty Ariz Sch Dist NO 4 Mesa UN (566897VH4)                                                                  |                                        | None                                          | L                                              | T                                         | Buy                                             | 10/30/20                | L                               |                                |                                                                   |
| 153. Maricopa Cnty AZ Sch Dist 065 Littleton Sch Impt SerA (567320GH3)                                                     |                                        | None                                          | K                                              | T                                         | Buy                                             | 10/30/20                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. Santa Ana Coll Impt Dist 1 Rancho Santi SerA 3.0% 8/1/2032 (801181AT5)                                                |                                        | None                                   | L                                              | T                                  | Buy                                       | 10/30/20         | L                        |                         |                                                            |
| 155. Yuma & La Paz Cnty Ariz Cmnty Coll StRef 07/01/2025 (988505FZ1)                                                       |                                        | None                                   | J                                              | T                                  | Buy                                       | 10/30/20         | J                        |                         |                                                            |
| 156. Yuma Cnty Ariz Free Libr Dist Ref (988589CK1)                                                                         |                                        | None                                   | K                                              | T                                  | Buy                                       | 10/30/20         | K                        |                         |                                                            |
| 157. First National BK (cash)                                                                                              | A                                      | Interest                               | L                                              | T                                  | Open                                      | 10/30/20         | L                        |                         |                                                            |
| 158. Synchrony 2400 (cash)                                                                                                 |                                        | None                                   | L                                              | T                                  | Open                                      | 10/30/20         | L                        |                         |                                                            |
| 159. Holly Energy Partners (HEP)                                                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 04/27/20         | J                        |                         |                                                            |
| 160. MPLX Ltd Partnership (MPLX)                                                                                           |                                        | None                                   |                                                |                                    | Sold                                      | 04/27/20         | J                        |                         |                                                            |
| 161. Energy Transfer LP Com UT Ltd (ET)                                                                                    |                                        | None                                   | K                                              | T                                  | Buy                                       | 10/30/20         | K                        |                         |                                                            |
| 162. Enterprise Products Partners (EPD)                                                                                    |                                        | None                                   | K                                              | T                                  | Buy<br>(add'l)                            | 10/30/20         | K                        |                         |                                                            |
| 163. Plains All American (PAA)                                                                                             |                                        | None                                   | J                                              | T                                  | Buy                                       | 10/30/20         | J                        |                         |                                                            |
| 164. Western Midstream Partners L P (WES)                                                                                  | A                                      | Dividend                               |                                                |                                    | Buy                                       | 10/30/20         | J                        |                         |                                                            |
| 165.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/16/20         | K                        |                         |                                                            |
| 166. Account #5 (H)                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 167. Morgan Stanley Sweep Account (cash) (Y)                                                                               |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 168. Abbott Labs (ABT)                                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 169. Abbvie Inc. (ABBV)                                                                                                    | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 170. Alphabet Inc CL A (GOOGL)                                                                                             |                                        | None                                   |                                                |                                    | Buy<br>(add'l)                            | 01/10/20         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 172. Amazon Com Inc (AMZN)                                                                                                 |                                        | None                                   |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 173. Apple Inc (AAPL)                                                                                                      | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 174. AT&T Inc (T)                                                                                                          | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 175. Bristol Myers Squibb Co (BMY)                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 176. Carrier Global Corporation (CARR) (X)                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 177. Chevron (CVX)                                                                                                         | D                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 178. Crown Castle Intl Corp (CCI)                                                                                          | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 179. Dominion Res Inc (D)                                                                                                  | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 180. Energy Transfer LP Com UT Ltd (ET)                                                                                    | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 181. Enterprise Prod Ptners LP (EPD)                                                                                       |                                        | None                                   |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 182. Exxon Mobil (XOM)                                                                                                     | D                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 183. General Electric (GE)                                                                                                 | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 184. General Mills (GIS)                                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 185. Glaxosmithkline PLC ADS (GSK)                                                                                         | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 186. Honeywell International Inc (HON)                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 187. Intel Corp (INTC)                                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. Johnson & Johnson (JNJ)                                                                                               | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 189. Kinder Morgan Energy Partners (KMI)                                                                                   | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 190. Merck & Co Inc New Com (MRK)                                                                                          | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 191. Nextera Energy (NEE)                                                                                                  | B                                      | Dividend                               |                                                |                                    | Sold<br>(part)                            | 10/30/20         | K                        |                         |                                                            |
| 192.                                                                                                                       |                                        |                                        |                                                |                                    | Sold                                      | 11/04/20         | M                        |                         |                                                            |
| 193. Otis Worldwide Corp (OTIS) (X)                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 194. Pepsico Inc NC (PEP)                                                                                                  | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 195. Philip Morris Intl Inc (PM)                                                                                           | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 196. Phillips 66 (PSX)                                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 197. Plains All American (PAA)                                                                                             |                                        | None                                   |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 198. PPL Corp (PPL)                                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |
| 199. Procter & Gamble Co (PG)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 200. Union Pacific Corp (UNP)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 201. United Technologies Corp (UTX)                                                                                        | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 202. Verizon Communications (VZ)                                                                                           | B                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | L                        |                         |                                                            |
| 203. Visa Inc Cl A (V)                                                                                                     | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | K                        |                         |                                                            |
| 204. Vodafone Group PLC (VOD)                                                                                              | A                                      | Dividend                               |                                                |                                    | Sold                                      | 10/30/20         | J                        |                         |                                                            |

|                         |                                 |                            |                               |                                |                        |
|-------------------------|---------------------------------|----------------------------|-------------------------------|--------------------------------|------------------------|
| 1 Income Gain Codes:    | A =\$1,000 or less              | B =\$1,001 - \$2,500       | C =\$2,501 - \$5,000          | D =\$5,001 - \$15,000          | E =\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F =\$50,001 - \$100,000         | G =\$100,001 - \$1,000,000 | H1 =\$1,000,001 - \$5,000,000 | H2 =More than \$5,000,000      |                        |
| 2 Value Codes           | J =\$15,000 or less             | K =\$15,001 - \$50,000     | L =\$50,001 - \$100,000       | M =\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N =\$250,001 - \$500,000        | O =\$500,001 - \$1,000,000 | P1 =\$1,000,001 - \$5,000,000 | P2 =\$5,000,001 - \$25,000,000 |                        |
|                         | P3 =\$25,000,001 - \$50,000,000 |                            | P4 =More than \$50,000,000    |                                |                        |
| 3 Value Method Codes    | Q =Appraisal                    | R =Cost (Real Estate Only) | S =Assessment                 | T =Cash Market                 |                        |
| (See Column C2)         | U =Book Value                   | V =Other                   | W =Estimated                  |                                |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. Wabtec Corp (WAB)                                                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/30/20                | J                               |                                |                                                                   |
| 206. Walt Disney Co Hldg Co (DIS)                                                                                          |                                        | None                                          |                                                |                                           | Sold                                            | 10/30/20                | L                               |                                |                                                                   |
| 207. Wells Fargo & Co (WFC)                                                                                                | D                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/30/20                | M                               |                                |                                                                   |
| 208. Welltower Inc (WELL)                                                                                                  | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 209. Western Midstream Partners L P (WES)                                                                                  |                                        | None                                          |                                                |                                           | Sold                                            | 10/30/20                | J                               |                                |                                                                   |
| 210. Pimco Enhanced Short Maturity Active ETF (MINT)                                                                       | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 07/28/20                | L                               |                                |                                                                   |
| 211.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 10/30/20                | L                               |                                |                                                                   |
| 212. Vanguard Short Term Bond EFT (BSV)                                                                                    | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 02/28/20                | K                               |                                |                                                                   |
| 213.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 214. Maricopa Cnty AZ Sch Dist 065 Littleton Sch Impt SerA (567320GH3)                                                     | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 215. Maricopa Cnty Ariz Sch Dist NO 4 Mesa UN (566897VH4)                                                                  | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 216. Concord Calif Watewater Rev Ref (206150AN4)                                                                           | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 217. Yuma & La Paz Cnty Ariz Cmnty Coll StRef 07/01/2025 (988505FZ1)                                                       | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | J                               |                                |                                                                   |
| 218. Yuma Cnty Ariz Free Libr Dist Ref (988589CK1)                                                                         | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 219. Hesperia Calif JT Pub Fin Auth Lease Re OID 10/1/2027 (42806HAQ3)                                                     | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 220. Hilmar Calif Uni Sch Dis OID 8/01/2028 (43279CB9)                                                                     | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 221. Santa Ana Coll Impt Dist 1 Rancho Santi SerA 3.0% 8/1/2032 (801181AT5)                                                | A                                      | Interest                                      |                                                |                                           | Sold                                            | 09/30/20                | L                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. Lynwood Calif Uni Sch Dist Ctfs Partn Rev Ref 3.0% 8/1/32 (551802CW9)                                                 | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 223. California St Pub Wks Brd Lease Re OID 3.0% 10/01/2032 (13068LD77)                                                    | B                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | L                               |                                |                                                                   |
| 224. BAC Fixed to Floating Rate Note Based on CPI 3.46 (06048WCC2)                                                         | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 05/28/20                | K                               |                                |                                                                   |
| 225. BAC Fixed to Floating Rate Note Based on CPI 3.74 (06048WFM7)                                                         | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | K                               |                                |                                                                   |
| 226. MS Floating Rate Note Based on US CPI (61745E5R8, 61745E7K1)                                                          | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | J                               |                                |                                                                   |
| 227. United States Treasury Note 2.5% 06/30/2020 (912828XY1)                                                               | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 06/30/20                | L                               |                                |                                                                   |
| 228. United States Treasury Note 2.5% 03/31/2021 (912828C57)                                                               | B                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | L                               |                                |                                                                   |
| 229. United States Treasury Note 2.0% 11/15/2021 (912828RR3)                                                               | A                                      | Interest                                      |                                                |                                           | Sold                                            | 10/30/20                | M                               |                                |                                                                   |
| 230. United States Treasury Note 2.0% 02/15/2022 (912828SF8)                                                               | A                                      | Interest                                      |                                                |                                           | Buy                                             | 01/10/20                | M                               |                                |                                                                   |
| 231.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 10/30/20                | M                               |                                |                                                                   |
| 232. First National BK (cash)                                                                                              | A                                      | Interest                                      |                                                |                                           | Closed                                          | 10/30/20                | L                               |                                |                                                                   |
| 233. Synchrony 2400 (cash)                                                                                                 | A                                      | Interest                                      |                                                |                                           | Closed                                          | 10/30/20                | L                               |                                |                                                                   |
| 234. Invesco Muni Income Y (VMIX)                                                                                          | C                                      | Dividend                                      |                                                |                                           | Sold                                            | 10/29/20                | M                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Lewis, Peter C.

Date of Report

02/11/2021

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lewis, Peter C.

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02/11/2021

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Peter C. Lewis**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544